

Bruce J, Thornton AJ, Powell R, Johnston M, Wells M, Heys SD, Thompson AM, Smith WC, Chambers WA & Scott NW (2014) Psychological, surgical, and sociodemographic predictors of pain outcomes after breast cancer surgery: A population-based cohort study, *Pain*, 155 (2), pp. 232-243.

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DOI: <http://dx.doi.org/10.1016/j.pain.2013.09.028>

## Accepted Manuscript

Psychological, surgical and sociodemographic predictors of pain outcomes after breast cancer surgery: a population-based cohort study

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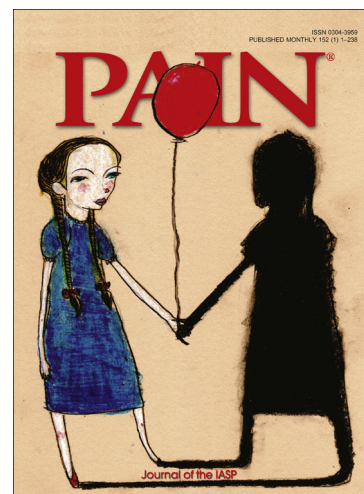
PII: S0304-3959(13)00538-1  
DOI: <http://dx.doi.org/10.1016/j.pain.2013.09.028>  
Reference: PAIN 9005

To appear in: *PAIN*

Received Date: 4 June 2013  
Revised Date: 26 September 2013  
Accepted Date: 29 September 2013

Please cite this article as: J. Bruce, A.J. Thornton, R. Powell, M. Johnston, M. Wells, S.D. Heys, A.M. Thompson, W. Cairns Smith, W. Alastair Chambers, N.W. Scott, On behalf of the Recovery Study Group. Psychological, surgical and sociodemographic predictors of pain outcomes after breast cancer surgery: a population-based cohort study, *PAIN* (2013), doi: <http://dx.doi.org/10.1016/j.pain.2013.09.028>

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1 **TITLE PAGE**2 **(i) Title**

3 Psychological, surgical and sociodemographic predictors of pain outcomes after breast  
4 cancer surgery: a population-based cohort study

5

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14 (iv) Number of text pages of manuscript including figures and tables: 48. Number of  
15 figures and tables: n=1 figure; n=7 tables.

16

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1

2 **INTRODUCTION**

3

4 Improvements in breast cancer survival resulting from earlier diagnosis and  
5 advances in therapy have refocused efforts towards reducing the long-term sequelae of  
6 cancer treatment. Persistent or chronic post-surgical pain (CPSP) is a well-recognised  
7 adverse event, with prevalence studies suggesting up to half of women report pain persisting  
8 for 1 to 2 years after breast cancer surgery [1; 20]. We previously reported on the long-term  
9 prognosis and impact of persistent pain after mastectomy, whereby half of women reporting  
10 chronic pain at 3 years postoperatively continued to experience painful symptoms up to 12  
11 years postoperatively, with associated reduced quality of life compared to those whose  
12 chronic pain had resolved [30; 50].

13 Recent research has focused attention upon the identification of subgroups at  
14 greatest risk of adverse painful outcomes, with calls made for prospective surgical studies  
15 incorporating detailed assessment of multiple factors at repeated time points [24; 28; 54].  
16 Epidemiological studies with larger sample sizes are required to elucidate the relative  
17 contribution of psychosocial and clinical risk factors for acute pain onset and pain chronicity.

18 Current thinking, supported by empirical evidence, accepts that CPSP is  
19 predominantly, but not entirely, neuropathic in character. A recent review suggested that  
20 two-thirds of women with CPSP after breast cancer surgery experience neuropathic pain,  
21 although this judgement was retrospectively applied to older studies undertaken before the  
22 development of standardised neuropathic assessment instruments [21]. To date, no studies  
23 have assessed the contribution of *preoperative* neuropathic pain to CPSP after breast  
24 cancer surgery; it is theoretically plausible that women experience continuation of pre-  
25 existing painful symptoms. No large-scale epidemiological studies have accounted for the  
26 contribution of intraoperative nerve handling, as a potential risk factor or effect modifier, to  
27 pain development. In breast cancer surgery, the intercostobrachial nerve (ICBN) can be  
28 sacrificed during axillary dissection for lymph node sampling or clearance, but there is lack of

1 agreement regarding attribution of postoperative chest and upper arm pain to intraoperative  
2 nerve damage [18; 33; 45]. Despite changes in surgical technique with increasing rates of  
3 breast conservation surgery and sentinel lymph node biopsy (SLNB), the proximity of the  
4 ICBN to surgical incision and sentinel node(s) may result in nerve irritation, damage or  
5 division, potentially contributing to subsequent postoperative sequelae. Acute postoperative  
6 numbness and sensory abnormalities may mask painful symptoms that subsequently  
7 become apparent after wound and tissue healing.

8

9 Depression, pain catastrophizing and psychological distress are associated with established  
10 CPSP and predict acute postoperative outcome when measured preoperatively. Certain  
11 psychological traits, such as dispositional optimism, appear to be protective, predicting  
12 improved recovery and a range of favourable postoperative outcomes [38; 39; 48]. We have  
13 previously reported acute postoperative pain outcomes from our prospective epidemiological  
14 study of women undergoing surgery for breast cancer; chronic preoperative pain and  
15 dispositional psychological robustness were independent predictors of severe acute pain in  
16 the first week after surgery [11]. Our primary aim, herein reported, was to investigate the  
17 relative contribution of psychological, sociodemographic, perioperative and acute  
18 postoperative factors associated with the persistence of pain at 4 and 9 months after breast  
19 cancer surgery.

1

2 **METHODS**

3

4 *Study design and participants*

5 The Study of Recovery after Breast Cancer Surgery (The Recovery Study) was an  
6 epidemiological, prospective cohort study that recruited women from four breast cancer  
7 units, serving a large catchment population across the North of Scotland. Study methodology  
8 and calculation of sample size has been fully described in a previous publication [11]. In  
9 brief, we aimed to recruit 405 women aged 18 years or over, with newly diagnosed,  
10 histologically proven primary invasive or non-invasive breast cancer, requiring surgical  
11 excision of tumour with or without axillary surgery. Males, women aged <18 years, pregnant  
12 women and those with a history of major psychiatric disorder, previous breast or axillary  
13 surgery, bilateral surgery, recurrent disease or detectable metastatic disease at the time of  
14 initial diagnosis, were excluded.

15

16 *Recruitment procedure*

17 Participant recruitment and consent was undertaken at breast clinics and screening centres  
18 or on the hospital ward when patients were admitted prior to surgery. Clinical or research  
19 staff invited patients to participate and provided packs containing an information sheet,  
20 consent form and baseline questionnaire. Consent was obtained for access to medical  
21 records for research purposes. Ethical approval was granted by Fife and Forth Valley  
22 National Health Service (NHS) Multicentre Research Ethics Committee with local  
23 governance approvals obtained from each regional NHS organisation.

24

25 *Data collection*

26 Data collection was undertaken at four time points: preoperatively, and at 1 week, 4 and 9  
27 months postoperatively. Questionnaires and data collection tools were modelled on our  
28 previous studies investigating CPSP and informed by literature review [2; 9; 30; 43; 50].

1 Instruments were piloted on a sample of women to assess face validity. Sociodemographic  
2 variables including age, marital status, highest educational qualification achieved,  
3 employment status and residential location, were measured preoperatively by questionnaire.  
4 Social deprivation was captured using a geographical-based relative measure of deprivation  
5 based upon postcode: participants were allocated to a Scottish Index of Multiple Deprivation  
6 (SIMD) quintile, whereby 1 equates to most deprived, and 5, most affluent) [11].

### 8 *Preoperative Pain*

9 Preoperative pain history, incorporating pain character, location and duration of any existing  
10 pain was assessed by self-completion questionnaire. The International Association for the  
11 Study of Pain (IASP) definition of continuous or intermittent pain lasting for 3 months or  
12 longer was used to define chronicity of preoperative pain [22]. Participants reporting any  
13 ache, pain, discomfort, altered sensations or numbness experienced in the previous week  
14 were asked to complete upper body maps, pain-related symptom grids and validated  
15 neuropathic pain instruments. Upper body diagrams were modified from standard 4-view  
16 body diagrams widely used in chronic pain research. Pain diagrams were redrawn to  
17 illustrate different positions, including arms raised, to allow reporting of location of reported  
18 symptoms. Neuropathic pain scales included the Self-completed Leeds Assessment of  
19 Neuropathic Symptoms and Signs pain scale (S-LANSS) [3; 4], the 'Douleur Neuropathique  
20 4' (DN4) questionnaire [6] and the Brief Pain Inventory [13]. The S-LANSS and DN4 have  
21 been used in epidemiological surveys, whereby scores of  $\geq 12$  and  $\geq 3$  respectively are  
22 indicative of pain with neuropathic characteristics.

23  
24 Given that preoperative investigative tests can cause pain and restrict function, we assessed  
25 arm morbidity before surgery using the Functional Assessment of Cancer Therapy-Breast  
26 questionnaire (FACT-B+4) arm subscale [14]. This scale captures ipsilateral and  
27 contralateral swelling/tenderness, numbness, painful movement, poor range of movement  
28 and stiffness in arm/side of planned breast surgery. Lower scores indicate greater arm



1 morbidity (range 0-20). A chronicity question was added to distinguish pain potentially arising  
2 from diagnostic/investigative tests (e.g. fine needle biopsy) from chronic symptoms:  
3 participants were asked whether preoperative painful symptoms had lasted for more than 3  
4 months before surgery.

#### 6 *Preoperative comorbidity and Quality of Life*

7 Participants were asked to report existing co-morbidity, selecting from a predetermined list of  
8 15 medical conditions. Of these, 10 conditions were considered to be 'painful' (e.g. migraine,  
9 angina, back problems, peripheral neuropathy etc). Quality of life was captured using the  
10 EORTC QLQ-C30 questionnaire [16].

#### 12 *Psychological variables*

13 Standardised instruments were used to measure psychological vulnerability (anxiety,  
14 depression, pain catastrophizing and surgical worry); and protective/ resilience factors  
15 (positive affect and dispositional optimism) before surgery. The State Trait Anxiety Inventory  
16 (STAI) measures state and trait anxiety whereby higher scores indicate greater anxiety  
17 (range 20-80) [51]. The Hospital Anxiety and Depression Scale (HADS) depression sub-  
18 scale was used to capture anxious and depressed mood, with higher scores indicating  
19 poorer mental health (range 0-21) [56]. The 13-item Pain Catastrophizing Scale (PCS) was  
20 used to capture pain catastrophizing, defined as an exaggerated negative orientation to  
21 aversive stimuli [37]. Total PCS scores range from 0-52 with higher values indicating greater  
22 catastrophizing. Worry about forthcoming breast surgery was captured using a single item  
23 asking women to rate '*how worried you are about your operation*' (4-category response)  
24 modified from previous studies [7]. The full Positive and Negative Affect Scale (PANAS) was  
25 used to capture affect, with higher scores indicating greater positive affect (range 10-50)  
26 [55]. The timing of the stem question '*how you generally feel*' was applied. Two indicators of  
27 psychological 'robustness' were assessed: the tendency to experience general positive  
28 affect, captured using the positive affect scale of the PANAS (PANAS-PA); and dispositional

1 optimism, defined as generalized outcome expectancies that good things, rather than bad  
2 things will happen, measured using the Life Orientation Test (LOT) (scale range 0-32) [23;  
3 46].

4

#### 5 *Clinical and surgical variables*

6 Body mass index (BMI) was calculated from height and weight measured on admission for  
7 surgery. Data on tumour grade and status were extracted from medical records. Operative  
8 data were captured on day of surgery and cross-tabulated against medical records. Breast  
9 surgery was categorised as wide local excision (WLE) or mastectomy with or without  
10 immediate reconstruction. Axillary procedures were categorised as sentinel lymph node  
11 biopsy (SLNB), axillary node sample (ANS) or axillary node clearance (ANC).

12

#### 13 *Intercostobrachial nerve (ICBN) handling*

14 Nerve handling data were collected intraoperatively or postoperatively. Senior operating  
15 surgeons were asked to record whether or not the ICBN was identified, and, if identified,  
16 whether the nerve was preserved with no apparent damage, preserved with potential  
17 damage, the main trunk was divided or some branches divided and others preserved at the  
18 time of surgery. Data were analysed as nerve divided or damaged versus nerve preserved  
19 or not identified e.g. due to anatomical variation or surgery not within vicinity of nerve.

20

#### 21 *Anaesthetic variables*

22 A pragmatic, open protocol was permitted for anaesthetic regimes. General anaesthesia was  
23 induced with propofol and fentanyl or alfentanil with volatile maintenance using isoflurane,  
24 sevoflurane or desflurane together with nitrous oxide or air. Intraoperative morphine up to  
25 10mg intravenous was used for mastectomy or axillary clearance, with bupivacaine  
26 infiltration of the breast around the site of skin incision used for WLE's at the end of  
27 surgery. Bupivacaine infiltration was also administered to the axillary wound following ANS  
28 or SLNB. Usual analgesia included intravenous paracetamol (1g) and 10mg or 30mg IV

1 ketorolac, dependent upon age and comorbidity. Postoperative analgesia was 1g  
2 paracetamol 6-8 hourly as required.

3

#### 4 *Radiotherapy, Chemotherapy and Endocrine*

5 Treatment regimens accorded with local and national guidelines. Data on chemotherapy,  
6 radiotherapy and endocrine therapy were extracted from medical records using piloted data  
7 extraction forms. Patients who had breast conservation surgery always underwent  
8 radiotherapy to the breast; those who had undergone mastectomy only received  
9 radiotherapy to the chest wall if there was deemed to be an increased risk of local  
10 recurrence. Patients with an involved sentinel lymph node or positive axillary sample  
11 underwent either axillary radiotherapy or a surgical axillary clearance. Patients with grade III  
12 tumours or those with positive lymph nodes received a standard anthracycline-based  
13 chemotherapy of 6 cycles at three weekly intervals postoperatively. Radiation therapy and  
14 chemotherapy, when administered, were commenced within 4 months of surgery.

15

16 Patients with hormone receptor positive tumours received Tamoxifen if premenopausal, or if  
17 post-menopausal, received an aromatase inhibitor if at an increased risk of recurrence (e.g.  
18 grade III, large primary tumour size or lymph node positive disease) for five years. Those  
19 patients undergoing chemotherapy and whose tumours overexpressed HER2 received  
20 adjuvant trastuzumab for a 12 month period.

21

#### 22 *Acute postoperative pain*

23 Acute postoperative pain character at the wound or related area was captured using the  
24 following pain descriptors: 'ache, pain, discomfort, altered sensations or numbness' [11].

25

26 These descriptors were based upon the literature and from our previous qualitative  
27 interviews with women reporting chronic post-mastectomy pain [2; 30; 50]. Participants were  
28 asked to select the 'best' descriptor for their most painful wound or area. Presence of  
numbness and altered sensations in the first week after surgery were considered

1 neuropathic-type symptoms. Pain intensity at rest and evoked by movement was captured  
2 using a numerical rating scale (NRS 0-10), administered by telephone on the 7<sup>th</sup>  
3 postoperative day.

4

#### 5 *Definition of chronic pain*

6 Incidence of chronic pain at 4 and 9 months, was defined as any ache, pain, discomfort,  
7 altered sensation or numbness in the upper body, first present *after* the primary breast  
8 operation and reported to have been present in the week prior to questionnaire completion.  
9 We selected a timeframe of 4 months postoperatively rather than the generally accepted 3  
10 month period to define postoperative pain chronicity, to account for the likelihood that other  
11 active adjuvant treatment may still have been underway at 3 months. Women reporting  
12 chronic pain at follow-up were asked about analgesic use in the previous 24 hours and use  
13 of alternative therapies. They were also asked whether they thought their symptoms were  
14 due to their breast surgery.

15

#### 16 *Statistical Analysis*

17 The primary research aim was to identify psychological, sociodemographic and acute  
18 postoperative factors associated with chronic pain at 4 and 9 months after surgery. Initial  
19 analyses were conducted to compare women with and without chronic pain at 4 and at 9  
20 months postoperatively. These unadjusted analyses were treated as exploratory and no  
21 adjustment was made for repeated testing. For continuous variables, the independent  
22 samples t-test or Mann-Whitney test was used, depending on whether data were normally  
23 distributed. For unordered and ordered categorical variables, the chi-squared test with  
24 continuity correction and the chi-squared test for trend were used respectively.

25

26 Two multiple logistic regression models were then developed to predict chronic pain status  
27 (presence or absence of chronic pain) at 4 and 9 months after controlling for other variables.  
28 Included variables were specified *a priori* by the Study Group, based upon previous

1 literature: age; type of breast surgery (mastectomy or wide local excision); type of axillary  
2 surgery; whether the ICBN was divided or damaged; having more than one breast or axillary  
3 procedure (a second procedure in relation to the primary surgery); presence of preoperative  
4 chronic pain, pain at rest in the first postoperative week; presence of altered sensations or  
5 numbness on the 7<sup>th</sup> postoperative day, and adjuvant therapy (chemotherapy, radiotherapy  
6 and endocrine therapy). As described previously [11], many of the psychological variables  
7 were correlated, therefore a factor analysis of preoperative psychological measures (STAI,  
8 HADS depression, PCS, PANAS positive affect, LOT and surgical worry) was used to  
9 reduce these to a smaller number of variables. The exploratory factor analysis approach  
10 was used using principal component analysis with promax rotation and Kaiser normalisation.  
11 The Eigenvalues and scree plot suggested a single derived component which was given the  
12 label “psychological robustness” because it was particularly associated with higher values of  
13 PANAS-PA and LOT dispositional optimism, also lower values of STAI trait anxiety and  
14 HADS depression. This single variable therefore represented low levels of psychological  
15 vulnerability factors and high levels of psychological resilience factors. The component was  
16 termed psychological robustness because it appeared to fit with broader dimensions of  
17 resources that characterise psychological positivism, combining a ‘habitual style of  
18 anticipating favourable outcomes’ [11; 47].

19

20 Our *a priori* analysis was based on ‘any’ chronic pain after breast cancer surgery. An  
21 additional secondary analysis was conducted to explore the magnitude and potential impact  
22 of clinically meaningful chronic pain. Using a threshold of  $\geq 4$  on the BPI pain intensity  
23 question (0-10 NRS), we used logistic regression models to investigate risk factors predictive  
24 of moderate to severe chronic pain intensity at 4 and 9 months postoperatively.

1

2 **RESULTS**

3

4 The full sample size was achieved, with 406 women being recruited from participating breast  
5 cancer units across Northern Scotland. Forty-four women (10.8%) were excluded after  
6 recruitment and a further 20 women were excluded or withdrawn at different stages during  
7 follow-up, therefore sample size varied by time point. Preoperative data were available for  
8 362 women, complete acute pain data for 338, 4 and 9 month chronic pain data for 308 and  
9 293 women respectively (Figure 1). Study retention rates were high, with 89% and 87% of  
10 questionnaires returned at 4 and 9 months respectively.

11

12 Median (IQR) time from completion of the baseline questionnaire to surgery was 1 day (1-4  
13 days); 90% of women underwent surgery within two weeks of completion of the baseline  
14 questionnaire. Time from surgery to acute pain assessment was median 8 days (IQR 7-10),  
15 with subsequent follow-up at 4 months (IQR 16.6-19.4 weeks) and 9 months (IQR 38.7-41.4  
16 weeks). Sociodemographic, surgical and psychological characteristics for the full sample  
17 are presented in Table 1.

18

19 *Preoperative pain*

20 Overall, 151/362 (42%) reported painful symptoms in the upper body in the week before  
21 surgery. Of these, a subset of women, 56/362 (15%) had *chronic* painful symptoms in the  
22 upper body persisting for 3 months before breast surgery, suggesting that the remainder had  
23 pain potentially related to preoperative core biopsy. Prevalence of preoperative chronic pain  
24 of predominantly neuropathic origin was low: 8/362 (2%) were S-LANSS positive and 12/362  
25 (3%) DN4-positive. Location of chronic symptoms included the breast or breast area, axilla  
26 and/or upper arm (Table 2).

27

1

2 *Incidence of chronic pain*

3 Using the primary study definition, 210/308 (68%) women reported chronic pain at 4 months  
4 and 184/293 (63%) at 9 months respectively. Rates are based on any symptom of ache,  
5 pain, discomfort, altered sensation or numbness in the upper body, experienced in the  
6 previous week, but absent before breast cancer surgery. Relaxing the stipulation that painful  
7 symptom(s) were experienced in the previous week, 255/308 (83%) and 235/293 (80%)  
8 women reported any chronic pain at 4 and 9 months respectively. Symptom onset was  
9 variable, with only half of women (54%) being aware of pain-related symptoms in the first  
10 postoperative week (Table 3). The pattern of symptoms, in terms of location and frequency,  
11 was relatively stable rather than dynamic, when compared across follow-up time points. By 9  
12 months postoperatively, more than half of women felt that their symptoms were unchanged,  
13 rather than improving over time (Table 3). Regarding symptom attribution, breast surgery  
14 was reported to be the cause of symptoms for 94% women with chronic pain at 4 months  
15 and 89% of women at 9 months after surgery.

16

17 *Pain intensity and character*

18 Most women reported chronic pain of mild intensity (Table 4). Incidence of moderate to  
19 severe/unbearable chronic pain was 23% (47/202) and 27% (49/183) amongst those  
20 reporting chronic pain at 4 and 9 months respectively. Only a small proportion of those with  
21 chronic pain reported having taken analgesics in the previous 24 hours (38% and 22% at 4  
22 and 9 months respectively). At 4 months after surgery, only 7% of women had tried  
23 alternative therapies for their pain; by 9 months postoperatively this had increased slightly to  
24 11%. At 4 and 9 months postoperatively, approximately 40% of those with persistent pain  
25 had neuropathic characteristics, categorised as S-LANSS or DN4-positive (Table 4). Using  
26 the full postoperative sample as denominator, incidence of predominantly neuropathic pain  
27 was 26% and 24% at 4 and 9 months respectively (81/308; 69/293).

28

1 *Predictors of chronic pain at 4 and 9 months, unadjusted analysis*

2 Table 5 presents preoperative, perioperative and postoperative variables for women with  
3 and without chronic pain at 4 and 9 months. Women experiencing chronic pain at 4 months  
4 were younger, had axillary node clearance, had ICBN division or damage and were more  
5 likely to have received chemotherapy. They were also more likely to report more severe  
6 pain, altered sensations or numbness in the first postoperative week. Factors with a  
7 statistically significant association with chronic pain at 9 months included younger age,  
8 intraoperative ICBN division or damage, having mastectomy, having axillary node clearance,  
9 and having received chemotherapy.

10

11 Preoperative quality of life, arm morbidity and psychological factors were associated with  
12 chronic pain at either 4 or 9 months. Chronic pain at 4 months was associated with worse  
13 preoperative scores on the FACT-B+4 arm morbidity scale, HADS anxiety, STAI trait  
14 anxiety, negative affect (PANAS), pain catastrophizing and surgical worry. Preoperative  
15 quality of life, arm morbidity, HADS depression, pain catastrophizing and anxiety (HADS and  
16 STAI-state) were significantly associated with chronic pain at 9 months.

17

18 *Predictors of chronic pain at 4 and 9 months, adjusted analysis*

19 Nine variables were included in the multiple logistic regression models predicting chronic  
20 pain at 4 and 9 months. In the adjusted analysis, there was evidence that younger women,  
21 those with greater preoperative psychological vulnerability and decreased psychological  
22 robustness, and higher acute pain scores at rest in the first postoperative week were more  
23 likely to have chronic pain at 4 months (Table 6). At 9 months, younger women, those  
24 undergoing axillary node clearance and those with more severe pain at rest in the first  
25 postoperative week were more likely to have persistent chronic pain.

26



1 *Predictors of moderate to severe chronic pain at 4 and 9 months, adjusted analysis*  
2 Logistic regression analyses, adjusted for the predetermined clinical, psychological and  
3 sociodemographic factors, revealed that more severe pain at rest within the first week of  
4 surgery was associated with clinically meaningful pain of moderate to severe intensity at 4  
5 months postoperatively (Table 7). Decreased psychological robustness, type of axillary  
6 surgery and more severe acute postoperative pain at rest increased the risk of experiencing  
7 moderate to severe pain at 9 months postoperatively. Several risk factors were of borderline  
8 statistical significance: younger age and having had multiple surgical procedures were  
9 associated with greater pain intensity at 4 months, and chronic preoperative pain was  
10 associated with greater pain intensity at 9 months postoperatively.

1

2 **DISCUSSION**

3 This multicentre prospective cohort study investigated psychological, sociodemographic, and  
4 surgical risk factors, adjusted for intraoperative nerve handling, on painful adverse outcomes  
5 captured at multiple time points after resectional surgery for primary breast cancer.

6

7 We found a high incidence of chronic pain, with two-thirds of women reporting pain-related  
8 symptoms in the upper body region, 4 and 9 months after surgery. There was little change in  
9 the proportion reporting chronic persistent pain over time. Rather than restricting our  
10 definition to pain *per se*, our broad definition also accepted any ache, discomfort, altered  
11 sensations or numbness in the area of surgical incision that was not present preoperatively;  
12 this may account for the high incidence. Other population surveys accept any 'aches or  
13 pains' within definitions of regional or widespread pain [31]. We deliberately included  
14 nociceptive pain descriptors (ache/discomfort), to identify whether postoperative non-  
15 neuropathic symptoms are associated with later functional impairment and long-term pain-  
16 related disability. One recent Danish survey found that 50% of women reported 'sensory  
17 disturbances' (yes/no) at 5 to 7 years postoperatively [34], although no preoperative  
18 assessment was undertaken. We captured pre- and postoperative altered sensations and  
19 numbness by location and investigated whether acute postoperative symptoms predicted  
20 painful symptoms later in the recovery timeline. Indeed, acute postoperative numbness and  
21 altered sensations were associated with chronic pain at 4 and 9 months, but were not  
22 statistically significant after adjustment for other factors, nor were they predictive of pain  
23 intensity. A quarter of women experienced pain of moderate or severe intensity and 40%  
24 screened positive on DN4 and S-LANSS.

25

26 At 4 months, younger age and acute postoperative pain were independent predictors of  
27 CPSP, as was our composite variable representing psychological robustness, which was  
28 associated with a 30% reduction in the odds of reporting CPSP. Severity of acute pain

1 predicted moderate to severe chronic pain at 4 and 9 months. Evidence that sensory  
2 abnormality immediately after surgery may predict long-term adverse outcome is scant, but  
3 emerging: neuropathic characteristics occurring within 2 days of thoracic surgery predicted  
4 chronic neuropathic pain at 3 months postoperatively [49].

5

6 At 9 months, CPSP was 3 times more likely after axillary clearance, with younger age and  
7 acute postoperative pain also associated with persistent pain. Younger age independently  
8 predicted CPSP and pain intensity at 4 months; this finding differs from previous work  
9 whereby younger women were more likely to report clinically meaningful pain in the acute  
10 postoperative period, but not by 3 months postoperatively [41]. Explanations for this finding  
11 may relate to increased expectation related to functional recovery or may be biological, with  
12 younger patients potentially having more heightened central nervous system responsiveness  
13 [34]. Younger age has been associated with CPSP in numerous other surgical procedures,  
14 [27; 40; 42], although studies of breast cancer surgery are less conclusive [8; 20; 34; 50; 53].  
15 Younger women are more likely to have a higher histopathological tumour grade and  
16 undergo more aggressive adjuvant treatment, particularly chemotherapy [29]. Unadjusted  
17 analyses suggested that chemotherapy was associated with chronic pain at 4 and 9 months,  
18 however, this relationship was attenuated after adjustment. We found no evidence of  
19 increased risk of CPSP associated with adjuvant therapy; this finding is comparable with  
20 other literature [17; 41], although the large national Danish study identified a relationship  
21 between chronic pain and radiotherapy, but not site of radiotherapy [20].

22

23 Increased psychological distress, captured using scales assessing emotions and cognition,  
24 was predictive of moderate to severe acute postoperative pain and chronic pain intensity.

25 The few prospective studies investigating psychological status *before* breast cancer surgery  
26 have focused upon individual distress variables e.g. anxiety, depression, catastrophizing  
27 [25; 40], rather than more general emotional and cognitive resilience. We explored the role  
28 of psychological robustness to investigate resilience and capacity to withstand adverse

1 circumstances when faced with a potentially life threatening illness and pending surgery. Our  
2 study is novel in examining the role and contribution of both negative and positive  
3 psychological states on pain outcomes after breast cancer surgery. Psychological  
4 robustness indicates the adoption of positive coping strategies in the face of external threats  
5 and suggests a positive postoperative recovery trajectory [12]. Katz [24] reasonably argues  
6 that different risk factors may contribute to the onset and maintenance of pain; indeed, we  
7 found that our derived variable, psychological robustness was protective earlier in the  
8 recovery trajectory, and although a similar effect size was found at 9 months, this did not  
9 maintain significance in multivariate analysis.

10

11 We hypothesized that apparent ICBN damage would predict CPSP. Nerve injury is  
12 necessary, but not sufficient for the development of chronic postoperative neuropathic pain  
13 [32]. Findings from small clinical trials of ICBN division have been contradictory: one found a  
14 greater degree of postoperative numbness, pain severity and arm stiffness after ICBN  
15 division during axillary dissection, compared with nerve preservation [33]. Conversely,  
16 another study concluded that nerve preservation was unnecessary as there was no  
17 difference in postoperative functional outcome after nerve division or preservation [45].

18 Studies of ICBN handling are methodologically weak, either hampered by small sample size  
19 or lacking in preoperative pain characterisation. Breast conserving surgery is less invasive  
20 than mastectomy, with lower risk of tissue damage, although ICBN irritation may still occur.

21 We adjusted for type of axillary surgery, distinguishing between the extent of axillary surgery  
22 performed. Previous studies have categorised surgery as lumpectomy/mastectomy with or  
23 without axillary node surgery [41], whereas our more refined classification highlights the  
24 extent of axillary node surgery performed. The practice of axillary node clearance is  
25 decreasing but is still appropriate for patients with axillary node involvement.

26

27 One methodological and clinical challenge, hitherto not acknowledged in previous studies of  
28 CPSP after breast surgery, was the high rate of second operations on the breast, particularly

1 in women undergoing breast conservation surgery. The need for secondary procedures  
2 (usually to excise margins in those undergoing breast conservation surgery or to undertake  
3 mastectomy when conservation surgery has been attempted but adequate tumour clearance  
4 has not been achieved), is well acknowledged within surgical oncology but not in the pain  
5 literature. One US centre reported that approximately half of women required repeat surgery  
6 for margin or axillary clearance because of a staging procedure (axillary sample or SLNB)  
7 having shown axillary nodal involvement by tumour [36]. We found a marginal association  
8 between repeat surgery and moderate to severe chronic pain at 4 months. Central  
9 sensitization may reflect both neuropathic mechanisms associated with nerve injury and  
10 inflammatory processes associated with the surgical wound [8; 26; 27]. It is highly plausible  
11 that repeated surgical insult to a previously inflamed area may heighten CNS  
12 responsiveness and contribute to central sensitization. This is an area worthy of future  
13 investigation.

14  
15 Our study is limited by number of participants but our sample is geographically  
16 representative of the Northern and Eastern Scotland, incorporating remote-rural, urban and  
17 socially diverse populations. We did not adjust for pain treatment modalities because  
18 perioperative analgesia regimes were standardised, however, this may impact upon pain  
19 reporting. Given the epidemiological design, we accepted self-reported neuropathic  
20 characteristics without confirmation by clinical examination as this was impractical to achieve  
21 on a large, geographically scattered population. However, evidence suggests good  
22 discriminant ability of neuropathic pain screening tools [5; 6].

23  
24 The strengths of our study include being the first epidemiological study to investigate  
25 multiple pain predictors adjusted for intercostobrachial nerve handling. The lack of surgical  
26 pain studies investigating the contribution of nerve handling was recently highlighted in the  
27 *BMJ* [34]. Participating surgeons were supportive of our study and assisted with the design  
28 of intraoperative data collection forms; we achieved 97% complete data on nerve handling.

1 We adjusted for other potential confounding factors, specified *a priori*, identified from existing  
2 literature and from our own research [9-11; 30; 43; 50; 54]. Other strengths include the  
3 detailed preoperative assessment of baseline health status and pain history, often neglected  
4 from surgical cohort studies [2; 17; 20; 44] and experimental studies incorporating sensory  
5 testing [15; 19; 32]. Identification of preoperative upper body pain provided incidence data  
6 on persistent pain arising as a consequence of surgery and related treatment. As with any  
7 large epidemiological study, we were unable to exclude with absolute certainty that the small  
8 subset reporting chronic preoperative breast pain did not have continuing painful symptoms  
9 rather than surgically-induced incident pain; this can only be attempted by detailed clinical  
10 assessment and investigation at the individual level. However, a compelling finding was that  
11 the majority of women (~90%) *attributed* breast surgery as the cause of their painful  
12 symptoms after cancer treatment.

### 13 **CONCLUSION**

14 This study highlights the frequency and persistence of pain-related outcomes as a  
15 consequence of breast cancer treatment and identifies clinical and psychological factors  
16 potentially amenable to intervention. Incidence of pain, altered sensations and numbness is  
17 very high after primary breast cancer surgery, with about one quarter experiencing  
18 neuropathic pain up to 9 months postoperatively. We provide insights into those at risk of  
19 persistent adverse outcomes, namely younger women, those with psychological  
20 vulnerability, axillary clearance surgery and more severe acute postoperative pain.  
21 Preventive strategies should target these risk factors to reduce adverse sequelae of  
22 treatment, supplemented with broader efforts to support the longer term physical and  
23 psychological recovery in cancer survivors.

1

2 **Acknowledgments**

3 This study was funded by Cancer Research UK Project Grant G23143/A7531 and the Chief  
4 Scientist Office Scotland (CZG/2/488). Bruce also received financial support from an IASP  
5 Collaborative Research Grant (2010). The Recovery Study team comprises J Bruce  
6 (Principal Investigator), AJ Thornton, NW Scott (Co-Investigator (CI)/Grant-holder), S  
7 Marfizo, M Johnston (CI), R Powell, SD Heys (CI), AM Thompson (CI), WCS Smith, M Wells,  
8 WA Chambers (CI), I Daltrey and PM Fayers. We thank the senior breast care practitioners  
9 who represent nursing teams from Grampian, Tayside and Highland regions: Val Bain,  
10 Gillian Little, Ann Kemp, Karen Daltrey and Evelyn MacDonald. We also thank the medical  
11 secretaries for assisting with data collection and other staff who contributed to the project  
12 (Jeanette Davidson, Katie Wilde, Dorothy Scott and Fiona McAllan). Dr William Macrae and  
13 Dr Fiona Blyth commented on pilot versions of the questionnaire. Finally, our very grateful  
14 thanks to all the women who gave their time to participate in this study.

15

16 **Conflict of Interest statement**

17 We declare that there are no conflicts of interest.

18

19

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17

1

2 **Figure Captions**

3 Figure 1. Flow chart of recruited participants

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Figure 1. Flow chart of recruited participants

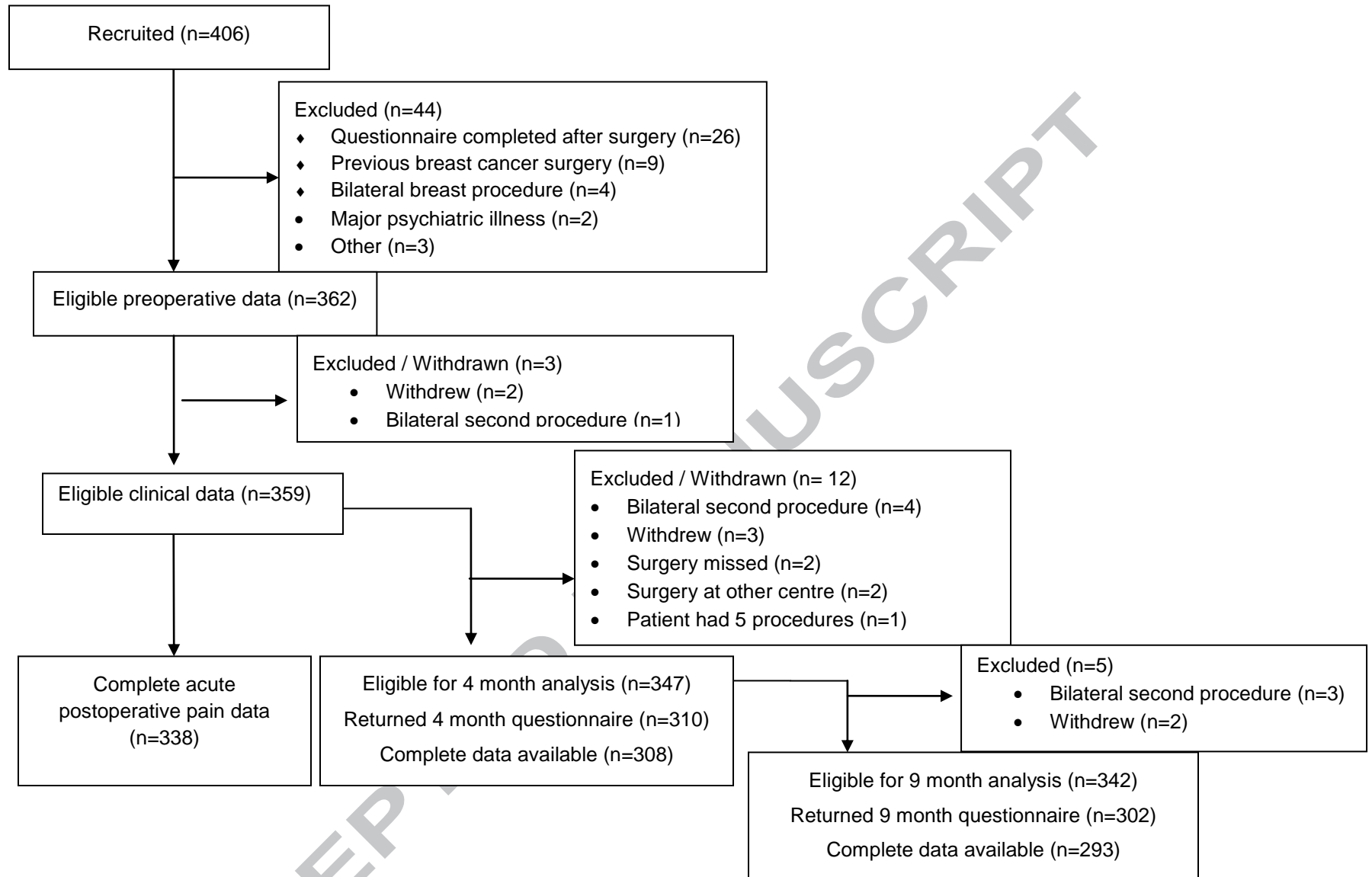


Table 1: Sociodemographic, surgical and pre-operative psychological characteristics

[Eligible N=362]

	Mean (SD) [N]
Age, years,	59.1 (10.8) [356]
BMI,	28.0 (5.9) [350]
	N (%)
Married	241/362 (66.6)
<b>Highest Educational level</b>	N (%)
School only	109 (30.3)
Work or college qualification	180 (50.0)
Degree qualification	71 (19.7)
Missing	2
<b>Deprivation score quintile (SIMD)</b>	N (%)
1 (most deprived)	17 (4.7)
2	35 (9.7)
3	65 (18.0)
4	145 (40.1)
5 (most affluent)	100 (27.6)
<b>Arm morbidity</b>	Median (IQR) [N]
FACT-B arm subscale	20 (20-20) [361]
<b>Preoperative psychological health</b>	Median (IQR) [N] {Cronbach's $\alpha$ }
STAI State	40 (30-50) [347] {0.86}



STAI Trait	32.5 (26-42) [350] {0.94}
HADS Anxiety	7 (3-10) [360] {0.89}
HADS Depression	1.5 (0-4) [360] {0.85}
LOT	24 (16-32) [345] {0.51}
	Median (IQR) [N] {Cronbach's $\alpha$ }
PANAS Positive	30.5 (4.9) [355] {0.76}
PANAS Negative	23.0 (4.5) [355] {0.64}
PCS Total Score	11.0 (9.2) [349] {0.94}
<b>Surgical worry</b>	N (%)
Not at all/a little	221 (61.7)
Quite a bit/very much	137 (38.3)
Missing	4
<b>Any painful co morbidity</b>	N (%)
Yes	231 (63.8)
No	131 (36.2)
<b>Chronic pain (&gt;3 months) before surgery*</b>	N (%)
Yes	56 (15.6)
No	303 (84.4)
Missing	3
<b>Breast surgery</b>	N (%)
WLE	228 (63.9)
Mastectomy	92 (25.8)

Mastectomy with reconstruction	15 (4.2)
Missing	5
<b>Axillary surgery</b>	N (%)
SLNB	146 (42.1)
ANS	94 (26.0)
ANC	107 (29.6)
Missing	15
<b>ICBN status</b>	N (%)
Not identified	96 (27.4)
Preserved	144 (41.1)
Divided /damaged	110 (31.4)
Missing	12
<b>Cancer status</b>	N (%)
Invasive	342 (95%)
Non-invasive	17 (5%)

\*Including women with ache, pain, discomfort, altered sensations or numbness in the upper body in the previous week

SD: standard deviation; BMI: body mass index; SIMD: Scottish Index of Multiple Deprivation; WLE: wide local excision; SLNB: sentinel lymph node biopsy; ANS: axillary node sample; ANC: axillary node clearance; FACT: Functional Assessment of Cancer Therapy; IQR: interquartile range; STAI: State Trait Anxiety Inventory; HADS: Hospital Anxiety and Depression Scale; PANAS: Positive and Negative Affect Scale; PCS: Pain Catastrophizing Scale; LOT: Life Orientation Test. Table published [reference 11]

Table 2: Location and character of chronic pain and related symptoms\*

	Chronic preoperative pain [N=56]	Chronic pain at 4 months [N=210]	Chronic pain at 9 months [N=184]
	n (%)	n (%)	n (%)
<b>Breast or breast area</b>			
Pain	9 (16)	58 (28)	46 (25)
Ache or discomfort	30 (54)	106 (50)	90 (49)
Numbness or altered sensations	9 (16)	98 (47)	92 (50)
<b>Axilla</b>			
Pain	2 (4)	33 (16)	20 (11)
Ache or discomfort	12 (21)	77 (37)	72 (39)
Numbness or altered sensations	4 (7)	119 (57)	84 (46)
<b>Upper arm</b>			
Pain	3 (5)	17 (8)	11 (6)
Ache or discomfort	10 (18)	39 (19)	46 (25)
Numbness or altered sensations	5 (9)	99 (47)	79 (43)

\*Symptoms occurred in the last week. Where preoperative, symptoms persisting for at least 3 months. Postoperatively, symptoms must be first present after the primary breast operation.

Table 3: Onset and pattern of pain-related symptoms

	Chronic pain at 4 months [N=210]	Chronic pain at 9 months [N=184]
	n (%)	n (%)
When did you first notice these symptoms?		
Within the first week	112 (54)	n/a
More than 1 week but within 1 month	61 (29)	n/a
Between 1- 2 months after surgery	19 (9)	n/a
Between 2-4 months after surgery	15 (7)	n/a
More than 4 months after my surgery	n/a	30 (16)
Not known	3	1
How often have you had these symptoms?		
Continuously	92 (44)	89 (48)
Once or more a day	70 (33)	48 (26)
Once or more a week	38 (18)	36 (20)
Once or more a month	6 (3)	6 (3)
Less than once a month	1 (0)	0
Not known	3	5
Do you think these symptoms are due to your breast surgery?		
Yes	194 (94)	158 (89)
No	13 (6)	20 (11)
Not known	3	6
Do you think these symptoms are:		
Getting better	123 (59)	67 (36)

Getting worse	7 (3)	11 (6)
Staying just the same	78 (38)	105 (57)
Not known	2	1

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Table 4: Pain intensity and neuropathic characteristics

	Preoperative chronic pain [N=56]	Chronic pain at 4 months [N=210]	Chronic pain at 9 months [N=184]
<b>Pain intensity in previous week [NRS 0-10]24 hours</b>	<b>n (%)</b>	<b>n (%)</b>	<b>n (%)</b>
None (0)	3 (5)	28 (14)	27 (15)
Mild (1-3)	34 (61)	127 (63)	107 (58)
Moderate (4-7)	15 (27)	44 (22)	43 (23)
Severe /Unbearable (8-10)	4 (7)	3 (2)	6 (3)
Missing	2	8	1
<b>BPI</b>	<b>Median (IQR) [N]</b>	<b>Median (IQR) [N]</b>	<b>Median (IQR) [N]</b>
BPI Pain severity (4 items)	2 (1-4) [53]	1.25 (0.75-2.75) [202]	1.75 (0.75-3) [182]
BPI Pain intensity (7 items)	1 (0.29-3) [55]	0.43 (0-1.71) [206]	0.43 (0-1.86) [183]
<b>Treatment</b>	<b>N (%)</b>	<b>N (%)</b>	<b>N (%)</b>
Taking pain medication	17/56 (30)	46/210 (38)	40/184 (22)
Tried alternative therapies for pain	10/53 (19)	13/197 (7)	20/174 (11)
<b>S-LANSS</b>	<b>5 (0-18) [55]</b>	<b>11 (0-24) [194]</b>	<b>10 (0-24) [169]</b>
S-LANSS negative < 12	47 (85)	113 (58)	100 (59)
S-LANSS positive ≥12	8 (15)	81 (42)	69 (41)
Missing	1	16	15

<b>DN4</b>	1 (0-7) [51]	2 (0-7) [189]	2 (0-7) [178]
DN4 negative (%)	39 (76)	114 (60)	105 (59)
DN4 positive (%)	12 (24)	75 (40)	73 (41)
Missing	5	21	6

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**Table 5: Sociodemographic, clinical and psychological factors associated with chronic pain at 4 and 9 months after breast cancer surgery**

		4 months			9 months		
		Chronic pain (max N=210)	No chronic pain (max N=98)		Chronic pain (max N=184)	No chronic pain (max N=109)	
		Mean (SD) [N]	Mean (SD) [N]	p-value (t- test)	Mean (SD) [N]	Mean (SD) [N]	p-value (t- test)
<b>Age</b>		57.7 (10.3) [206]	64.1 (9.4) [96]	<0.001	58.1 (10.2) [181]	62.3 (10.3) [108]	0.001
		<b>N (%)</b>	<b>N (%)</b>	<b>p-value (<math>\chi^2</math> test)</b>	<b>N (%)</b>	<b>N (%)</b>	<b>p-value (<math>\chi^2</math> test)</b>
<b>Marital status</b>	Single	13 (65)	7 (35)	1.00	11 (58)	8 (42)	0.39
	Living with partner	13 (69)	6 (32)		10 (59)	7 (41)	
	Married	142 (69)	64 (31)		121 (61)	78 (39)	



	Separated	6 (67)	3 (33)		8 (89)	1 (11)	
	Divorced	10 (67)	5 (33)		12 (80)	3 (20)	
	Widowed	26 (67)	13 (33)		22 (65)	12 (35)	
		<b>N (%)</b>	<b>N (%)</b>	<b>p-value (<math>\chi^2</math> test for trend)</b>	<b>N (%)</b>	<b>N (%)</b>	<b>p-value (<math>\chi^2</math> test for trend)</b>
<b>SIMD</b>	1	5 (42)	7 (58)	0.24	4 (40)	6 (60)	0.60
<b>Deprivation</b>	(most deprived)						
	2	22 (69)	10 (31)		20 (59)	14 (41)	
	3	38 (67)	19 (33)		35 (70)	15 (30)	
	4	88 (71)	36 (29)		76 (64)	43 (36)	
	5	57 (69)	26 (31)		49 (61)	31 (39)	
	(most affluent)						
<b>Surgical Unit</b>	1	72 (65)	38 (35)	0.49	69 (65)	37 (35)	0.15

	2	89 (73)	33 (27)		70 (61)	44 (39)	
	3	21 (68)	10 (32)		23 (77)	7 (23)	
	4	28 (62)	17 (38)		22 (51)	21 (49)	
		<b>Mean (SD) [N]</b>	<b>Mean (SD) [N]</b>	<b>p-value (t-test)</b>	<b>Mean (SD) [N]</b>	<b>Mean (SD) [N]</b>	<b>p-value (t-test)</b>
<b>Body mass index</b>		27.8 (5.5) [204]	28.6 (5.8) [94]	0.24	28.1 (5.4) [178]	27.7 (5.5) [105]	0.48
		<b>N (%)</b>	<b>N (%)</b>	<b>p-value (<math>\chi^2</math> test)</b>	<b>N (%)</b>	<b>N (%)</b>	<b>p-value (<math>\chi^2</math> test)</b>
<b>Any painful comorbidity preoperatively</b>	Yes	136 (68)	65 (32)	0.89	59 (57)	44 (43)	0.19
	No	74 (69)	33 (31)		125 (66)	65 (34)	
<b>Multiple procedures</b>	Yes	49 (77)	15 (23)	0.14	134 (60)	90 (40)	0.08

	No	161 (66)	83 (34)		50 (72)	19 (28)	
<b>Type of breast surgery</b>	WLE	127 (65)	69 (35)	0.12	108 (57)	82 (43)	0.006
	Mastectomy	83 (74)	29 (26)		76 (74)	27 (26)	
<b>Type of axillary surgery</b>	ANC	74 (81)	17 (18)	0.006	71 (81)	17 (19)	<0.001
	ANS	54 (63)	32 (37)		41 (52)	38 (48)	
	SLNB	76 (62)	46 (38)		65 (56)	51 (44)	
	Not known	6	3		7	4	
<b>ICBN status</b>	Not identified/ preserved	111 (60)	74 (40)	<0.001	91 (52)	83 (48)	<0.001
	Divided/ damaged	93 (80)	23 (20)		89 (78)	25 (22)	
	Not known	6	1		4	2	
		<b>N(%)</b>	<b>N(%)</b>	<b>p-value (<math>\chi^2</math> test)</b>	<b>N(%)</b>	<b>N(%)</b>	<b>p-value (<math>\chi^2</math> test)</b>

<b>Chemotherapy</b>	Yes	80 (76)	25 (24)	0.04	81 (76)	25 (24)	<0.001
	No	130 (64)	73 (36)		103 (55)	84 (45)	
<b>Radiotherapy</b>	Yes	106 (69)	48 (31)	0.90	136 (61)	86 (39)	0.41
	No	104 (68)	50 (32)		48 (68)	23 (32)	
<b>Endocrine therapy</b>	Yes	118 (64)	65 (36)	0.12	144 (62)	90 (38)	0.46
	No	92 (74)	33 (26)		40 (68)	19 (32)	
		<b>Mean (SD) [N]</b>	<b>Mean (SD) [N]</b>	<b>p-value (t-test)</b>	<b>Mean (SD) [N]</b>	<b>Mean (SD) [N]</b>	<b>p-value (t-test)</b>
<b>EORTC QLQ-C30</b>	Baseline Global health status/QoL	77.4 (18.9) [210]	80.8 (18.3) [97]	0.13	75.7 (19.2) [184]	82.3 (18.1) [108]	0.004
		<b>Median (IQR) [N]</b>	<b>Median (IQR) [N]</b>	<b>p-value (Mann-Whitney)</b>	<b>Median (IQR) [N]</b>	<b>Median (IQR) [N]</b>	<b>p-value (Mann-Whitney)</b>
<b>FACT-B+4</b>	Baseline arm	20 (20-20) [210]	20 (20-20) [97]	0.05	20 (20-20)	20 (20-20)	0.02

	morbidity subscale				[184]	[109]	
<b>HADS</b>	Baseline depression	2 (0-4) [208]	1 (0-4) [98]	0.20	2 (0-5) [182]	1 (0-3.5) [109]	0.05
	Baseline anxiety	7 (4-11) [208]	6 (2-8) [98]	<0.001	7 (4-11) [182]	6 (2.5-9) [109]	0.003
<b>STAI</b>	Baseline state anxiety	43.3 (30-50) [207]	36.7 (26.7-48.3) [89]	0.10	40 (30-50) [179]	36.7 (26.7-46.7) [102]	0.03
	Baseline trait anxiety	33 (27-42) [207]	29 (24-39) [93]	0.01	33 (27-43) [179]	30.5 (25-39.3) [106]	0.10
<b>LOT</b>	Baseline	24 (17-31) [200]	24 (16-32) [92]	0.72	24 (16-32) [176]	24 (17-32) [104]	0.65
<b>Pain Catastrophizing Score (PCS)</b>	Baseline total score	10 (5-16) [206]	6 (1-14) [91]	0.005	9 (5-15.8) [180]	7 (3-15.8) [104]	0.07
		<b>Mean (SD) [N]</b>	<b>Mean (SD) [N]</b>	<b>p-value (t-test)</b>	<b>Mean (SD) [N]</b>	<b>Mean (SD) [N]</b>	<b>p-value (t-test)</b>

<b>PANAS</b>	Baseline positive affect	30.4 (5.0) [208]	30.7 (4.9) [95]	0.63	30.4 (4.9) [182]	30.6 (4.9) [108]	0.74
	Baseline negative affect	23.4 (4.8) [208]	21.8 (3.8) [95]	0.001	23.0 (4.7) [182]	22.3 (4.2) [108]	0.15
		<b>N(%)</b>	<b>N(%)</b>	<b>p-value (<math>\chi^2</math> test for trend)</b>	<b>N(%)</b>	<b>N(%)</b>	<b>p-value (<math>\chi^2</math> test for trend)</b>
<b>How worried about operation</b>	Not at all	17 (61)	11 (39)	0.03	14 (50)	14 (50)	0.20
	A little	108 (65)	59 (35)		96 (61)	61 (39)	
	Quite a bit	54 (77)	16 (23)		52 (75)	17 (25)	
	Very much	31 (78)	9 (23)		21 (58)	15 (42)	
	Not known	0	3		1	2	
		<b>Mean (SD) [N]</b>	<b>Mean (SD) [N]</b>	<b>p-value (t-test)</b>	<b>Mean (SD) [N]</b>	<b>Mean (SD) [N]</b>	<b>p-value (t-test)</b>
<b>Component 1 at</b>	"Psychological	0.024 (1.01)	-0.293 (1.00)	0.02	-0.002	-0.304 (0.98)	0.02

<b>baseline</b>	<b>robustness"</b>	[193]	[81]		(0.98) [167]	[94]	
		<b>N(%)</b>	<b>N(%)</b>	<b>p-value (<math>\chi^2</math> test)</b>	<b>N(%)</b>	<b>N(%)</b>	<b>p-value (<math>\chi^2</math> test)</b>
<b>Chronic pain at baseline</b>	Yes	39 (83)	8 (17)	0.03	34 (72)	13 (28)	0.18
	No	169 (65)	90 (35)		149 (61)	96 (39)	
	Not known	2	0		1	0	
		<b>Median (IQR) [N]</b>	<b>Median (IQR) [N]</b>	<b>p-value (Mann-Whitney)</b>	<b>Median (IQR) [N]</b>	<b>Median (IQR) [N]</b>	<b>p-value (Mann-Whitney)</b>
<b>Acute pain at rest*</b>		3 (2-5) [199]	2 (1-4) [95]	0.001	3 (2-5) [177]	2 (1-4) [104]	0.11
		<b>N(%)</b>	<b>N(%)</b>	<b>p-value (<math>\chi^2</math> test)</b>	<b>N(%)</b>	<b>N(%)</b>	<b>p-value (<math>\chi^2</math> test)</b>
<b>Altered</b>	Yes	93 (77)	28 (23)	0.005	81 (69)	36 (31)	0.07

<b>sensations or numbness (acute)**</b>							
	No	106 (61)	69 (39)		96 (58)	70 (42)	
	Not known	11	1		6	3	

\*Pain at rest in first postoperative week. \*\*First postoperative week.



Table 6: Multiple logistic regression models predicting chronic pain at 4 and 9 months

		Chronic pain at 4 months (N=243)		Chronic pain at 9 months (N=235)	
		Odds ratio (95% CI)	p-value	Odds ratio (95% CI)	p-value
<b>Age</b>		0.91 (0.87, 0.95)	<0.001	0.95 (0.91, 0.98)	0.002
<b>Type of breast surgery</b>	WLE	1	0.44	1	0.88
	Mastectomy	1.38 (0.60, 3.15)		1.07 (0.43, 2.67)	
<b>Type of axillary surgery</b>	SLNB	1	0.11	1	0.02
	ANS	0.70 (0.31, 1.54)		0.62 (0.30, 1.29)	
	ANC	2.40 (0.82, 6.94)		2.97 (1.09, 8.06)	
<b>ICBN status</b>	Not identified/preserved	1	0.25	1	0.49
	Divided/damaged	1.72 (0.68, 4.30)		1.35 (0.57, 3.16)	
<b>Preoperative chronic pain</b>	No	1	0.19	1	0.73

	Yes	1.98 (0.71, 5.47)		1.16 (0.50, 2.66)	
<b>Preoperative psychological robustness*</b>		0.70 (0.49, 0.99)	0.04	0.78 (0.56, 1.09)	0.14
<b>Multiple procedures</b>	No	1	0.06	1	0.10
	Yes	2.44 (0.95, 6.24)		2.00 (0.87, 4.57)	
<b>Pain at rest in first postoperative week (VAS 0-10)</b>		1.34 (1.12, 1.60)	0.001	1.17 (1.00, 1.37)	0.05
<b>Numbness / altered sensations within first postoperative week</b>	No	1	0.09	1	0.41
	Yes	1.80 (0.90, 3.59)		1.31 (0.69, 2.46)	
<b>Chemotherapy</b>	No	1	0.05	1	0.60
	Yes	0.29 (0.81, 1.01)		0.80 (0.35, 1.85)	

<b>Radiotherapy</b>	No	1	0.24	1	0.34
	Yes	1.69 (0.71, 4.00)		0.62 (0.24, 1.66)	
<b>Endocrine therapy</b>	No	1	0.19	1	0.99
	Yes	0.49 (0.17, 1.41)		1.01 (0.45, 2.23)	

\*Composite psychological variable based upon factor analysis.

The model performance was adequate for both logistic regression models (Hosmer and Lemeshow goodness of fit test:  $\chi^2=6.11$ ,  $df=8$ ,  $p=0.64$  (4 months);  $\chi^2=5.73$ ,  $df=8$ ,  $p=0.68$  (9 months)).

Table 7: Multiple logistic regression models predicting chronic pain of moderate to severe intensity ( $\geq 4$ ) at 4 and 9 months

		Moderate to severe chronic pain at 4 months (N=237)		Moderate to severe chronic pain at 9 months (N=234)	
		Odds ratio (95% CI)	p-value	Odds ratio (95% CI)	p-value
<b>Age</b>		0.96 (0.91, 1.00)	0.08	1.00 (0.95, 1.04)	0.82
<b>Type of breast surgery</b>	WLE	1	0.75	1	0.47
	Mastectomy	0.86 (0.32, 2.26)		0.47 (0.17, 1.24)	
<b>Type of axillary surgery</b>	SLNB	1	0.19	1	0.007
	ANS	0.41 (0.14, 1.21)		0.31 (0.09, 1.01)	
	ANC	1.25 (0.37, 4.18)		2.72 (0.87, 8.46)	
<b>ICBN status</b>	Not identified/preserved	1	0.60	1	0.59
	Divided/damaged	0.75 (0.25, 2.18)		0.76 (0.28, 2.05)	

<b>Preoperative chronic pain</b>	No	1	0.13	1	0.05
	Yes	2.05 (0.81, 5.16)		2.41 (0.99, 5.87)	
<b>Preoperative psychological robustness*</b>		0.86 (0.58, 1.26)	0.44	0.52 (0.35, 0.77)	0.001
<b>Multiple procedures</b>	No	1	0.05	1	0.68
	Yes	2.77 (0.98, 7.81)		1.25 (0.43, 3.61)	
<b>Pain at rest in first postoperative week (VAS 0-10)</b>		1.54 (1.27, 1.87)	<0.001	1.30 (1.08, 1.56)	0.006
<b>Numbness / altered sensations within first postoperative week</b>	No	1	0.66	1	0.21
	Yes	0.83 (0.36, 1.89)		0.60 (0.26, 1.34)	
<b>Chemotherapy</b>	No	1	0.57	1	0.91

	Yes	0.62 (0.12, 3.14)		1.10 (0.21, 5.71)	
	No	1	0.37	1	0.15
<b>Radiotherapy</b>	Yes	0.60 (0.20, 1.80)		0.47 (0.16, 1.33)	
	No	1	0.59	1	0.77
<b>Endocrine therapy</b>	Yes	0.68 (0.16, 2.78)		1.26 (0.27, 5.87)	

\*Composite psychological variable based upon factor analysis.

The model performance was adequate for both logistic regression models (Hosmer and Lemeshow goodness of fit test:  $\chi^2=10.05$ ,  $df=8$ ,  $p=0.26$  (4 months);  $\chi^2=9.39$ ,  $df=8$ ,  $p=0.31$  (9 months)).

1 **ABSTRACT**

2 Chronic post-surgical pain (CPSP) is a common postoperative adverse event affecting up to  
3 half of women undergoing breast cancer surgery, yet few epidemiological studies have  
4 prospectively investigated the role of pre-, intra- and postoperative risk factors for pain onset  
5 and chronicity. We prospectively investigated preoperative sociodemographic and  
6 psychological factors, intraoperative clinical factors and acute postoperative pain in a  
7 prospective cohort of 362 women undergoing surgery for primary breast cancer.

8 Intraoperative nerve handling (division or preservation) of the intercostobrachial nerve was  
9 recorded. At 4 and 9 months after surgery, incidence of chronic painful symptoms, not  
10 present preoperatively, was 68% and 63% respectively. Univariate analysis revealed that  
11 multiple psychological factors and nerve division was associated with chronic pain at 4 and 9  
12 months. In a multivariate model independent predictors of CPSP at 4 months included  
13 younger age and acute postoperative pain (OR 1.34, 95% CI 1.12, 1.60), whereas  
14 preoperative psychological 'robustness' (OR 0.70, 95% CI 0.49, 0.99), a composite variable  
15 comprising high dispositional optimism, high positive affect and low emotional distress, was  
16 protective. At 9 months, younger age, axillary node clearance (OR 2.97, 95% CI 1.09, 8.06)  
17 and severity of acute postoperative pain (OR 1.17, 95% CI 1.00, 1.37) were predictive of  
18 pain persistence. Of those with CPSP, a quarter experienced moderate to severe pain and  
19 40% ~~25%~~ were positive on DN4 and S-LANSS. Overall, A high proportion of women report  
20 painful symptoms, altered sensations and numbness, in the upper body within the first 9  
21 months after resectional breast surgery and cancer treatment.

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