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1 **A Review of Carotenoid Utilisation and Function in Crustacean Aquaculture**

2

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11

12 Abstract

13 Studies over a number of years have consistently shown that dietary carotenoid  
14 supplementation is beneficial for crustacean aquaculture across a range of  
15 commercially relevant parameters. Most obvious is the effect on pigmentation,  
16 where carotenoid inclusion levels in feeds and duration of feeding diets with  
17 carotenoids have been extensively optimised across many species to improve  
18 product colour, and subsequently quality and price. However, beneficial effects  
19 of carotenoid inclusion have increasingly been demonstrated on other  
20 parameters including survival, growth, reproductive capacity, disease resistance  
21 and stress resistance. A number of natural and synthetic carotenoid sources have  
22 been utilised in crustacean aquaculture. This review focuses on the type,  
23 metabolic conversion and function of carotenoids used in crustacean nutrition,  
24 and explores the physiological benefits this class of molecules brings to these  
25 animals.

26

27 Keywords:

28 Shrimp, color, astaxanthin

29

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## 34 **1 Introduction**

35 Carotenoids form the basis of the pigmentation of a wide variety of aquatic  
36 organisms (Matsuno, 2001, Britton and Goodwin, 1982, Maoka, 2011), and  
37 marine animals extensively utilise a variety of properties that carotenoids  
38 possess. Due to their diversity and broad distribution, carotenoid types,  
39 structure, metabolism and function have been extensively studied across a wide  
40 range of organisms (Britton *et al.*, 2008). Among those organisms studied,  
41 crustaceans utilise a range of different carotenoids that vary across species,  
42 within individual crustacean tissues or are dependent on various physiological,  
43 geographic or ecological parameters (Castillo *et al.*, 1982).

44 Very little attention has been paid to the specific effects of carotenoid  
45 supplementation in crustacean aquaculture, aside from the affect on  
46 pigmentation (Bjerkeng, 2008). Up until recently, the physiological effects  
47 beyond pigmentation have been inferred from other studies, mostly from fish.  
48 The present review summarises the recent progress in the use of carotenoids as  
49 a dietary nutrient in crustacean aquaculture, and outlines the effects of this  
50 dietary carotenoid supplementation on various aspects specific to crustacean  
51 physiology.

## 52 **2 Carotenoids in Crustaceans**

### 53 *2.1 Tissue Distribution and Carotenoid Types*

54 The majority of crustaceans and crustacean tissues attribute their colouration to  
55 the presence of various carotenoids. This topic has largely been covered  
56 extensively in the past (Castillo *et al.*, 1982, Lenel *et al.*, 1978) and is not the  
57 focus of this review. All wild and cultured crustacean species report the presence  
58 of free and esterified forms of various carotenoids, predominantly astaxanthin  
59 (Axn) (Castillo *et al.*, 1982, Lenel *et al.*, 1978, Tanaka *et al.*, 1976a). The  
60 distribution of these forms of carotenoids also varies with species, life history  
61 stages, developmental stage, moult stage and the organ or tissue of the animals  
62 (Ribeiro *et al.*, 2001, Lenel *et al.*, 1978, Sachindra *et al.*, 2005, Okada *et al.*, 1994,  
63 Pan and Chien, 2000, Dall, 1995, Petit *et al.*, 1998, Pan *et al.*, 1999, Valin *et al.*,  
64 1987, Katayama *et al.*, 1971, Petit *et al.*, 1997). The esterification of Axn with  
65 specific fatty acids and the presence of carotenoid isomers can significantly

66 increase the complexity of the interaction between the carotenoid and other  
67 biological molecules or membranes (Britton, 1995, Goodwin, 1986, Liaaen-  
68 Jensen, 1997). The accumulation of certain carotenoids in the tissues of different  
69 crustaceans not only indicates that these animals are able to interconvert one  
70 carotenoid to another, but also implies that there is a specific function for  
71 particular carotenoid in certain tissues.

72

## 73 2.2 Carotenoid Interconversion and Metabolism

74 Like most animals, crustaceans cannot synthesise carotenoids and must obtain  
75 them from their diets (Goodwin, 1952). However, for some time there has been  
76 strong evidence that various Decapod crustaceans can convert different dietary  
77 carotenoids (including canthaxanthin, lutein or zeaxanthin) into the  
78 predominant carotenoid Axn (Castillo and Lenel, 1978, Castillo *et al.*, 1980, Chien  
79 and Jeng, 1992, Kour and Subramoniam, 1992, Petit *et al.*, 1991, Yamada *et al.*,  
80 1990, Castillo and Negre-Sadargues, 1995, Negre-Sadargues *et al.*, 1993, Mantiri  
81 *et al.*, 1995, Vernon-Carter *et al.*, 1996, D' Abrahmo *et al.*, 1983, Tanaka *et al.*,  
82 1976b, Tanaka *et al.*, 1976a). Many years ago, the carotenoid metabolic  
83 transformative capacity of crustaceans was summarised (Negre-Sadargues,  
84 1978, Schiedt *et al.*, 1993, Castillo *et al.*, 1982). The major pathway by which  $\beta$ -  
85 carotene is converted to Axn is summarised in Figure 1, although it would appear  
86 that crustaceans are able to perform a variety of other carotenoid  
87 transformations (Castillo *et al.*, 1982). Crustaceans fall into two broad classes  
88 based on their metabolic conversion capacity: those that can convert  $\beta$ -carotene  
89 to Axn in their internal organs, such as Penaeid shrimp; or those that can convert  
90  $\beta$ -carotene to Axn in their internal organs but also convert metabolic  
91 intermediates in other tissues of their body, such as lobsters and  
92 crabs (Katayama *et al.*, 1973). Dietary paprika has been used as a source of  
93 carotenoids in *P. monodon* broodstock diets, suggesting that the carotenoids  $\alpha$ -  
94 carotene,  $\alpha$ -cryptoxanthin and capxanthin present in paprika were converted  
95 into Axn (Wyban *et al.*, 1997). Similarly, *M. japonicus* has been shown to produce  
96 Axn from  $\alpha$ -carotene, canthaxanthin, echinenone or zeaxanthin (Chien and Jeng,  
97 1992, Tanaka *et al.*, 1976b, Yamada *et al.*, 1990). Carotenoid metabolic capacity is  
98 active throughout crustacean early larval and post-larval development (Mantiri

99 *et al.*, 1995, Mantiri *et al.*, 1996, Petit *et al.*, 1991, Berticat *et al.*, 2000), where the  
100 carotenoids may be metabolised as a source of retinoids (Dall, 1995). Yet despite  
101 the increase in genomic knowledge of crustaceans, including the sequencing of  
102 the complete genome of *Daphnia*, there has been very little progress in defining  
103 the biochemical pathways responsible carotenoid metabolism in this Class of  
104 animals. The variation in different carotenoid types across different  
105 developmental, physiological and ecological parameters strongly suggests that  
106 crustaceans utilise specific carotenoids for different functions during  
107 developmental processes or in response to environmental circumstances.

108

### 109 2.3 Carotenoid Sources in Crustacean Aquaculture

110 Sources of carotenoids that have been used in crustacean diets include synthetic  
111 carotenoids (Castillo and Negre-Sadargues, 1995, Chien and Jeng, 1992, Negre-  
112 Sadargues *et al.*, 1993), Antarctic krill (Maoka *et al.*, 1985), brine shrimp (Pan  
113 and Chien, 2003), shrimp by-products (Mandeville *et al.*, 1991, Chakrabarti,  
114 2002, Meyers and Bligh, 1981), microalgae (Sommer *et al.*, 1991, Supamattaya *et al.*  
115 *et al.*, 2005, Armenta-Lopez *et al.*, 2002, Chien and Jeng, 1992), blue green algae  
116 (Liao *et al.*, 1993, Okada *et al.*, 1991), and plant extracts (Vernon-Carter *et al.*,  
117 1996, D' Abrahmo *et al.*, 1983, Arredondo-Figueroa *et al.*, 2003). More recently,  
118 other potential sources of carotenoids for crustacean aquaculture have been  
119 investigated, including genetic engineering of higher plants to accumulate high  
120 levels of ketocarotenoids such as Axn (Han *et al.*, 2013). Studies assessing the  
121 effect of different sources of carotenoids on pigmentation in crustaceans are  
122 summarised in Table 1.

## 123 3 Carotenoid Function in Crustaceans

124 Carotenoids are known to be involved in a large number of physiological  
125 functions in plants and animals, and these functions are largely based on the  
126 structure of the carotenoid (Britton, 2008, Goodwin, 1986). As the major  
127 carotenoid in crustacean tissues, Axn provides functions that include  
128 pigmentation, photoprotection, antioxidant and a source of provitamin A  
129 (Britton, 2008). Benefits to the animal include the enhancement of growth,  
130 higher survival, increased stress resistance and improved reproductive potential

131 (Kumar *et al.*, 2009, Supamattaya *et al.*, 2005, Niu *et al.*, 2014, Paibulkichakul *et*  
132 *al.*, 2008, Linan-Cabello *et al.*, 2002a). An example of these benefits was observed  
133 in crayfish exposed to pollution, which had lower levels of vitamins and  
134 carotenoids in the hepatopancreas, suggesting these may play a role in tolerating  
135 polluted environments (Barim and Karatepe, 2010). The conversion of  
136 carotenoids into other biologically active molecules, such as Provitamin A and  
137 retinoids has also been implicated (Linan-Cabello *et al.*, 2002a). Since the initial  
138 proposals of carotenoid function in crustaceans, there has been substantial  
139 progress in gathering scientific evidence to support the range of proposed  
140 functions of Axn and its effects on crustacean physiology, which will be discussed  
141 in further detail in the following sections.

142

### 143 3.1 Carotenoids and Crustacean Colouration

144 The best-established function of carotenoids in crustaceans is pigmentation.  
145 Colour plays a major role in consumer acceptability, perceived quality and price  
146 paid for commercial crustacean species (Parisenti *et al.*, 2011b, Shahidi *et al.*,  
147 1998, Chien and Jeng, 1992, Erickson *et al.*, 2007). Many species of crustacean  
148 lose or do not develop pigmentation if not supplied a diet with sufficient  
149 carotenoids. Among these included hermit crabs (Castillo and Negre-Sadargues,  
150 1995), red king crabs (Daly *et al.*, 2013), crayfish (Sommer *et al.*, 1991), clawed  
151 lobsters (Tlusty and Hyland, 2005), spiny lobsters (D' Abrahmo *et al.*, 1983,  
152 Barclay *et al.*, 2006), and shrimp (Dall, 1995). In shrimp, poor pigmentation was  
153 initially described as a disease status (Howell and Matthews, 1991), although  
154 this was subsequently shown to be ameliorated by dietary carotenoid  
155 supplementation (Menasveta *et al.*, 1993). Recently, pigmentation in banana  
156 shrimp has been shown to be heritable (Nguyen *et al.*, 2014), potentially through  
157 improvements in pigment retention. Crustacean colour variations have also been  
158 observed that are unrelated to dietary carotenoids. Indeed, much of the colour  
159 variation between species is thought to be attributable to differences in the  
160 sequence and expression pattern of pigment gene crustacyanin (Wade *et al.*,  
161 2009), which will be discussed in more detail in later sections. Rare genetic  
162 colour mutations have been observed in clawed lobsters, predominantly  
163 Homarid species (Haggin, 2012), but also in prawns and crabs. The spiny lobster

164 *Panulirus cygnus* undergoes a colour change from deep red to pale pink during a  
165 migratory period (Phillips, 1983). This colour change has been attributed to a  
166 developmental ontogenic change that provides protective camouflage during  
167 migration, as it was not prevented by dietary carotenoid supplementation or  
168 triggered by background substrate colour (Wade *et al.*, 2008). In another  
169 example of colour variation, seasonal appearance of pink crab disease was  
170 shown to be caused by a parasitic infection (Stentiford *et al.*, 2002). Similarly,  
171 colour transitions have been observed between juvenile and adult stages of crabs  
172 (Krause-Nehring *et al.*, 2010).

173

### 174 3.1.1 Carotenoid Type, Inclusion Levels and Feed Duration

175 The majority of the focus of dietary carotenoid inclusion has been on the effects  
176 on crustacean pigmentation, having been studied over many years across a range  
177 of crustacean species. These studies have been summarised in Table 1. In  
178 general, pigment development is largely dependent on the amount of carotenoid  
179 in the feed and the duration for which it is fed. Dietary Axn concentrations  
180 between 50-100 mg/kg fed for one month were sufficient to produce optimal  
181 pigmentation in a range of shrimp species (Niu *et al.*, 2012, Niu *et al.*, 2014,  
182 Yamada *et al.*, 1990, Petit *et al.*, 1997). However, 80-100 mg/kg dietary Axn  
183 supplementation produced a darker external colour more rapidly, although  
184 similar pigmentation levels were achieved over a longer duration of feeding at 50  
185 mg/kg (Chien and Jeng, 1992, Tlusty and Hyland, 2005, Barclay *et al.*, 2006).  
186 Pigmentation of red king crabs was also significantly improved over a 56 day  
187 period when diets were supplemented with 380 mg/kg Axn (Daly *et al.*, 2013),  
188 but no lower inclusion levels or shorter feeding periods were tested. There is  
189 clear evidence that as dietary carotenoid levels increase, so does the Axn content  
190 of the animal, particularly the Axn esters (Yamada *et al.*, 1990, Supamattaya *et*  
191 *al.*, 2005, Boonyaratpalin *et al.*, 2001, Barclay *et al.*, 2006, Kumar *et al.*, 2009,  
192 Wade *et al.*, 2008, Wade *et al.*, 2015b). In order to maintain initial carotenoid  
193 levels, spiny lobsters required 90 or 120 mg/kg dietary Axn (Barclay *et al.*,  
194 2006). In some cases, the body concentration of carotenoids (mg/kg dry weight)  
195 decreased as shrimp grew (Pan *et al.*, 2001, Pan *et al.*, 1999), while in others the  
196 carotenoid concentration was maintained as the animals grew (Yamada *et al.*,

197 1990, Wade *et al.*, 2015b). Accordingly, some studies report that the whole body  
198 tissue Axn concentration is an appropriate indicator of body color of shrimp  
199 (Menasveta *et al.*, 1993, Negre-Sadargues *et al.*, 2000), while others suggest Axn  
200 concentration isn't necessarily reflective of body colour (Tume *et al.*, 2009).  
201 Clearly, further work is required to provide some clarity to the objectivity of this  
202 method of assessment.

203 The type of dietary carotenoid also affects the rate at which pigmentation is  
204 developed. Shrimp (*P. monodon*) fed dietary Axn at 100 mg/kg showed the  
205 highest levels of tissue Axn (16.5 mg/kg body weight) which was 23% and 43%  
206 higher than animals fed 100 mg/kg canthaxanthin or  $\beta$ -carotene, respectively  
207 (Yamada *et al.*, 1990). Pigmentation of juvenile Kuruma shrimp, *Marsupenaeus*  
208 *japonicus*, was better when animals were fed 100 mg/kg Axn for one month,  
209 compared with animals fed 50 mg/kg Axn or 20 – 200 mg/kg  $\beta$ -carotene (Chien  
210 and Jeng, 1992). A similar improved carotenoid tissue deposition was also  
211 observed in shrimp fed 100 mg/kg Axn, compared with either canthaxanthin or  
212 an Axn-canthaxanthin mixture (Negre-Sadargues *et al.*, 1993). For *P. monodon* to  
213 achieve a similar colour to that achieved using 50 mg/kg dietary Axn over 4  
214 weeks,  $\beta$ -carotene was required at 125 mg/kg over 7-8 weeks, which was  
215 reduced to 5-6 weeks by using 175 mg/kg (Boonyaratpalin *et al.*, 2001). Shrimp  
216 fed a diet supplemented with *Artemia* nauplii (which were enriched with 80%  
217 canthaxanthin) for 4 weeks had improved deposition of free and esterified Axn  
218 compared with those fed a diet supplemented with mauxia shrimp (55%  $\beta$ -  
219 carotene) (Pan and Chien, 2003). Dietary supplementation of 200-300 mg/kg of  
220 the  $\beta$ -carotene enriched microalgal pigment from *Dunaliella* was required for  
221 optimal pigmentation in *Penaeus monodon* (Supamattaya *et al.*, 2005). These  
222 observations support that the efficiency with which carotenoid intermediates are  
223 converted to Axn depends on their position in the relevant metabolic conversion  
224 pathways. Dietary Axn levels greater than 200 mg/kg did not lead to  
225 improvements in pigmentation or tissue carotenoid accumulation (Yamada *et al.*,  
226 1990, Merchie *et al.*, 1998), but other potential benefits of these high dietary  
227 carotenoid levels were not examined in these studies. Later sections of this  
228 review will explore further research in this area.

229



### 230 3.1.2 Chromatophores and Pigmentary Effectors

231 The colour of crustaceans is present in either the exoskeleton, or in pigment  
232 structures within the underlying hypodermal layer known as chromatophores  
233 (Rao, 1985). These structures are able to expand and contract, which strongly  
234 contributes to the degree of individual colouration, particularly for species with  
235 thin opaque shells like shrimp (Fingerman, 1965, Fingerman, 1966). Such  
236 physiological colour changes can be rapid, are reversible and often rhythmic in  
237 some species of crustaceans. This expansion and contraction is controlled by  
238 hormones secreted from glands in the eyestalks of crustaceans: pigment  
239 dispersing hormone (PDH) and red pigment concentrating hormone (RPCH), as a  
240 response to various physiological cues (Bagnara and Hadley, 1973, Rao, 2001).  
241 These cues can span aspects such as background colour, light source and  
242 photoperiod (Latscha, 1990, Rao, 1985).

243 Short-term exposure to black substrates has been shown to improve prawn  
244 pigmentation through expansion of hypodermal chromatophores (Parisenti *et al.*,  
245 2011a, Tume *et al.*, 2009, Wade *et al.*, 2015a). An example of the effect that  
246 background exposure has on the chromatophores in shrimp epithelial tissue is  
247 shown in Figure 2. In addition to expanding and contracting, the chromatophores  
248 completely change their pigment content in response to different substrates. In  
249 response to dark backgrounds, animals with expanded chromatophores  
250 contained high levels of free Axn, while white adapted animals with contracted  
251 chromatophores contained high levels of Axn mono-esters (Tume *et al.*, 2009,  
252 Wade *et al.*, 2015b). This expansion was also shown to be linked with the  
253 accumulation of the colour protein crustacyanin in the hypodermal tissues  
254 (Wade *et al.*, 2012), presumably bound to free Axn to create the darker  
255 colouration. Tank colour was also shown to affect larval colour, survival and  
256 development in crabs (Rabbani and Zeng, 2005). When exposed to constant light,  
257 the body color of shrimp (*P. aztecus*) faded and chromatophores lost their  
258 diurnal rhythm (Lakshmi *et al.*, 1976). Similarly, the body color of *P. monodon*  
259 also became faint when cultured indoors under low light intensity less than 1000  
260 lx (Tseng *et al.*, 1998). However, shrimp (*P. monodon*) subjected to constant light  
261 maintained higher carotenoid levels as they grew (Pan *et al.*, 2001). Without  
262 addition of Axn in diet, metal halide illumination at 2500 lux resulted in the

263 significant accumulation of Axn in whole body of *L. vannamei* to over 4 mg/kg,  
264 compared with animals held in complete darkness at just over 2 mg/kg (You *et*  
265 *al.*, 2006).

266 Lastly, the colour of *P. monodon* has been observed to become redder when  
267 subjected to thermal and hypoxic stress, but this pigment effect was reversible  
268 when the stress was removed and hypoosmotic stress had no effect on colour (de  
269 la Vega *et al.*, 2007). Hypoxia was shown to increase the levels of CRCN-C1  
270 abundance in the hepatopancreas of *Litopenaeus vannamei* (Jiang *et al.*, 2009),  
271 although why this may be occurring is not understood. Other reports of the effect  
272 of stress on pigmentation are largely anecdotal, and there is presently very little  
273 understanding of why this might be occurring.

### 274 3.1.3 Carotenoproteins and Crustacyanin

275 Carotenoids and associated carotenoprotein complexes have been found in many  
276 invertebrate species with tissue distribution ranging from the skin and gonads to  
277 the blood, eggs and shell (Zagalsky, 1985, Lakshman and Okoh, 1993, Cheesman  
278 *et al.*, 1967, Bhosale and Bernstein, 2007). Carotenoprotein complexes can be  
279 divided into two types: lipovitellins and true carotenoproteins. Lipovitellins  
280 possess a less stable and non-specific association of the carotenoid with the lipid  
281 portion of a lipoprotein and are responsible colouration of such tissues as the  
282 blood, epithelium, eggs and ovaries (Zagalsky, 1985, Cheesman *et al.*, 1967). True  
283 carotenoproteins display a highly specific and stoichiometric relationship  
284 between the carotenoid and a carotenoid binding protein (CBP), and appear to  
285 be particularly widespread among the animals in class *Crustacea* as the  
286 mechanism of shell colour production (Zagalsky, 1985, Lakshman and Okoh,  
287 1993, Cheesman *et al.*, 1967).

288 Pigmentation in crustaceans is produced by a combination of the abundance and  
289 degree of expansion of different coloured chromatophores, yellow, blue and red  
290 (Rao, 1985), although visibility of chromatophores can be influenced by the  
291 thickness of the exoskeleton in some species. As noted earlier, dietary Axn  
292 supplementation increases the abundance of epithelial Axn, particularly Axn  
293 esters (Yamada *et al.*, 1990, Supamattaya *et al.*, 2005, Boonyaratpalin *et al.*, 2001,  
294 Barclay *et al.*, 2006, Kumar *et al.*, 2009, Wade *et al.*, 2015b). Similarly,  
295 background colour modifies pigment proportions in epithelial tissues, with

296 contracted chromatophores containing high levels of carotenoid esters, and  
297 expanded chromatophores containing high levels of free Axn (Tume *et al.*, 2009,  
298 Wade *et al.*, 2015b, Wade *et al.*, 2015a) Within the exoskeleton and hypodermal  
299 tissue of crustaceans, free Axn is often bound within a multimeric protein  
300 complex called crustacyanin (CRCN) (Wald *et al.*, 1948). CRCN is a member of the  
301 lipocalin protein family, a functionally diverse group of proteins that bind small  
302 hydrophobic molecules such as steroid hormones, carotenoids, odourants and  
303 pheromones (Flower, 1996, Flower *et al.*, 2000). The interaction of CRCN and  
304 Axn modifies the naturally red carotenoid to blue or any other colour in the  
305 visible spectrum, producing the diverse array of colours seen in the exoskeleton  
306 of crustaceans (Cianci *et al.*, 2002). During cooking, this interaction is disrupted,  
307 releasing the distinct red colouration of cooked seafood. The dimeric  $\beta$ -  
308 crustacyanin ( $\beta$ -CRCN) is formed by two types of CRCN subunits (A and C, also  
309 called H<sub>1</sub> and H<sub>2</sub>) in association with two Axn molecules (Cianci *et al.*, 2002).  
310 Eight of these dimers form a larger molecular weight complex known as  $\alpha$ -  
311 crustacyanin ( $\alpha$ -CRCN), which has been extensively studied using  
312 crystallographic techniques (reviewed in, (Chayen *et al.*, 2003, Zagalsky, 2003)).  
313 At present, two genes that encode CRCN-A and CRCN-C have been identified  
314 across a range of crustaceans (Wade *et al.*, 2009, Ertl *et al.*, 2013, Wang *et al.*,  
315 2007). Their expression is restricted to the outer layer of the hypodermis (Wade  
316 *et al.*, 2009, Wang *et al.*, 2007), and the spatial regulation of the *CRCN* genes is  
317 thought to define the species-specific shell colors and patterns that different  
318 crustaceans display (Wade *et al.*, 2009). In further support of this theory,  
319 reconstitution of recombinant CRCN monomers (either A or C) formed  
320 complexes with distinct absorption spectra, and the presence of CRCN in various  
321 species correlated with the ability to produce certain shell colours (Ferrari *et al.*,  
322 2012).

323 The development of colour over time in pigment deficient clawed lobsters  
324 (*H. americanus*) was dependent on dietary carotenoid concentration, and  
325 progressed over three months through either a predominantly red or a  
326 predominantly blue phase before achieving a colour considered equivalent to  
327 those from the wild (Tlustý and Hyland, 2005). In freshwater shrimp  
328 (*M. rosenbergii*), external colour was removed by specific knockdown of a CRCN

329 homolog using RNAi (Yang *et al.*, 2011). In this study, the blue pigment attributed  
330 to the Axn-CRCN interaction was removed by decreasing CRCN gene expression,  
331 and hence protein abundance, which modified the shrimp colour to red.  
332 Although not directly measured, the red colour that remained was likely the  
333 underlying red chromatophores containing predominantly Axn esters. This  
334 suggests that colour could be preferentially deposited in different  
335 chromatophores, although how this might be regulated is not understood.  
336 Exposure to white substrates significantly decreased the amount of CRCN  
337 protein in shrimp hypodermal tissue, along with decreased free Axn levels and  
338 increased Axn ester levels (Wade *et al.*, 2012). Exposure to black substrates  
339 significantly increased the abundance of epithelial CRCN protein (Wade *et al.*,  
340 2012), indicating the presence of this protein was critical to redistributing  
341 hypodermal pigments and achieving optimal cooked colour (Wade *et al.*, 2012).  
342 However, CRCN gene expression did not vary across the moult cycle or in  
343 response to substrate colour (Wade *et al.*, 2012). Albino colour morphs of shrimp  
344 (*F. merguensis*) displayed significantly reduced expression of the CRCN-A and C  
345 genes compared with other shrimp, as well as a range of other genes potentially  
346 involved in the regulation of crustacean colour (Ertl *et al.*, 2013). However,  
347 expression levels of CRCN were not significantly different between light and dark  
348 coloured shrimp, and there was no correlation between levels of CRCN gene  
349 expression and Axn content (Ertl *et al.*, 2013). Despite extensive knowledge of  
350 the mechanism by which CRCN binds Axn to produce crustacean colour, there is  
351 very little known about how CRCN gene expression is regulated or how the CRCN  
352 protein complexes form or are modified in the crustacean exoskeleton.

353

### 354 3.2 Carotenoids and Growth and Survival

355 Reports of the effect of dietary carotenoid supplementation on growth and  
356 survival in crustaceans have been mixed, with virtually all research having been  
357 conducted on shrimp. Some studies reported no significant difference in growth  
358 in shrimp that had received dietary carotenoid supplementation (Pan *et al.*,  
359 2001, Negre-Sadargues *et al.*, 1993, Boonyaratpalin *et al.*, 2001). However, an  
360 increasing number of studies have shown that either growth or survival, or both,  
361 are significantly improved when shrimp are fed a diet that contains carotenoids

362 compared with diets that do not (Niu *et al.*, 2012, Niu *et al.*, 2014, Supamattaya *et*  
363 *al.*, 2005, Yamada *et al.*, 1990, Kumar *et al.*, 2009, Chien and Shiau, 2005, Petit *et*  
364 *al.*, 1997, Darachai *et al.*, 1998, Chien and Jeng, 1992, Flores *et al.*, 2007, Zhang *et*  
365 *al.*, 2013).

366 Early reports describing the beneficial effects of Axn on shrimp growth were  
367 assessed on postlarvae (Darachai *et al.*, 1998, Chien, 1996) with evidence that  
368 Axn supplementation shortened the moult frequency (Petit *et al.*, 1997). Larval  
369 stages and postlarvae of *P. monodon* showed greater survival and were longer  
370 when fed algal Axn (*Haematococcus pluvialis*) supplemented diets (Darachai *et*  
371 *al.*, 1998). Studies on *M. japonicus* juveniles demonstrated that growth  
372 performance was similar in shrimp over 8-weeks whether or not 100 mg/kg  
373 carotenoid was included (Yamada *et al.*, 1990). However, by the end of 8 weeks  
374 animals without dietary carotenoid contained significantly less total carotenoid  
375 than those fed 100 mg/kg, and their survival had dropped from 91.3% to 57.1%  
376 (Yamada *et al.*, 1990). In a separate experiment by the same authors but using  
377 smaller animals, animals that had received 100 mg/kg Axn for 8 weeks had  
378 grown significantly better than those that had not been fed Axn, while survival  
379 was unaffected (Yamada *et al.*, 1990). Between these two experiments, there was  
380 a marked difference in the total carotenoid content prawns at the beginning of  
381 the experiment, with poor survival over 8 weeks recorded when initial  
382 carotenoid content was low ( $15.6 \pm 0.8$  mg/kg). Significant correlations have been  
383 observed between tissue carotenoid concentration and survival (Chien and Jeng,  
384 1992) or specific growth rate (You *et al.*, 2006).

385 Since this initial work, the vast majority of studies have focussed on the giant  
386 tiger shrimp, *Penaeus monodon*. Shrimp fed 125-300 mg/kg of algal extract for 8-  
387 weeks showed higher weight gain and survival compared with controls  
388 (Supamattaya *et al.*, 2005). When fed with 100mg/kg Axn combined with 1%  
389 cholesterol for 74 days, shrimp showed higher weight gain and survival  
390 compared with those fed diets without carotenoids (Niu *et al.*, 2012), with  
391 apparent Axn digestibility of approximately 98%. In a similar study, shrimp fed  
392 100 mg/kg Axn combined with 1% cholesterol also showed significantly higher  
393 weight gain and survival (Niu *et al.*, 2014), and showed similarly high (>90%)  
394 Axn digestibility. Although less studied, other species have shown a similar

395 response. Post-larval shrimp (*L. vannamei*) fed 80 mg/kg Axn for 6 weeks  
396 showed an increased daily growth coefficient and a reduced moult frequency  
397 compared with those animals that had not been fed dietary Axn, but survival was  
398 unaffected (Flores *et al.*, 2007). Shrimp (*L. vannamei*) fed either 100, 200 or 400  
399 mg/kg Axn for 30 days showed improved weight gain and survival compared  
400 with those without dietary carotenoids (Niu *et al.*, 2009). After 56 days, shrimp  
401 (*L. vannamei*) fed 125 or 150 mg/kg Axn had higher weight gain than those fed  
402 25, 50, 75 or 100 mg/kg Axn (Zhang *et al.*, 2013), but survival was unaffected. In  
403 freshwater *Macrobrachium*, inclusion of 50, 100 or 200 mg/kg Axn improved  
404 growth over the reference (Kumar *et al.*, 2009). Shrimp (*M. japonicus*) had  
405 improved survival from 37% to over 50% when fed diets containing carotenoids  
406 over 9 weeks, (Chien and Shiau, 2005), with a complementary increase in body  
407 Axn levels, but no effect on growth. Improved survival, but not growth, was also  
408 recorded in red king crab juveniles fed 380 mg/kg Axn for 56 days (Daly *et al.*,  
409 2013).

410 Combined, these data suggest that survival is not affected when carotenoids are  
411 maintained at a certain level, perhaps between 10-15 mg/kg body weight for  
412 *P. monodon*, but survival is compromised below that level without carotenoid  
413 supplementation. Where tissue carotenoid levels are initially high, perhaps  
414 above 20 mg/kg, further carotenoid supplementation allows improved growth.  
415 Variability in animal performance in growth trials may be explained by a range  
416 of factors, including animal health, quality of feed ingredients, system design and  
417 animal husbandry. Detection of growth differences in shrimp fed dietary  
418 carotenoids in more recent studies may reflect improvements in trial  
419 maintenance and animal husbandry. The study by (Pan *et al.*, 2001) had shown  
420 there was no significant increase in survival in animals fed carotenoids  
421 compared with those that were not, although overall survival was less than  
422 <30% across the experiment, and this low level of survival casts aspersions on  
423 the validity of this work. Despite this, it was demonstrated that higher tissue  
424 carotenoid levels were correlated with higher survival (Pan *et al.*, 2001).  
425 Carotenoid levels in shrimp at the beginning of the study will also be critical, as  
426 carotenoid stores in animal tissues may compensate for the lack of dietary  
427 carotenoids at least through the initial stages of an experimental growth trial.

428

### 429 3.3 Carotenoids and Tolerance to Disease and Stress

430 This section will focus on studies where dietary carotenoids have been supplied,  
431 then the capacity to tolerate an induced stress has been directly tested under  
432 controlled conditions, and the effects on survival or other biochemical  
433 parameters assessed. The improved survival described in the previous section  
434 was reported after a period of 8-9 weeks of a growth feeding trial in  
435 experimental systems using different carotenoids (Axn,  $\beta$ -carotene or  
436 canthaxanthin). However, more recent studies have been designed to specifically  
437 assess whether responses to acute and chronic stresses, such as hypoxia, salinity  
438 or viral infection, are improved after long periods of dietary carotenoid  
439 supplementation. Analysis on shrimp (*F. chinensis*) showed that hypoxia alone  
440 triggered significant up-regulation of proteins involved in immunity  
441 (chymotrypsin and carboxypeptidase), and down regulation of proteins involved  
442 in energy production (citrate synthase, ATP synthase), metabolism  
443 (transketolase and esterases) and antioxidant capacity (glutathione peroxidase  
444 and cMnSOD) (Jiang *et al.*, 2009). Dietary levels of 125 and 150 mg/kg Axn fed to  
445 shrimp (*L. vannamei*) for 56 days lowered total antioxidant status, superoxide  
446 dismutase (SOD), and catalase activities than those animals fed 25, 50, 65 or 100  
447 mg/kg (Zhang *et al.*, 2013). Carotenoids were found to be less abundant in the  
448 digestive gland and ovary of farmed *L. vannamei* compared with wild animals,  
449 and levels were concluded to be insufficient to neutralise oxidative stress during  
450 ovarian development (Linan-Cabello *et al.*, 2003). Crayfish exposed to pollution  
451 had lower levels of vitamins and carotenoids in the hepatopancreas, suggesting  
452 these may play a role in tolerating polluted environments (Barim and Karatepe,  
453 2010).

454

455 Similar to growth and survival, the majority of work on tolerance to stress has  
456 been performed on shrimp. Early studies showed that larval stages of  
457 *P. monodon* supplemented with algal carotenoids were more resistant to low  
458 salinity stress than those with synthetic Axn or controls (Darachai *et al.*, 1998).  
459 Similarly, survival of *P. monodon* postlarvae during a low salinity stress test  
460 exposure to 4 hours of low dissolved oxygen (< 1.0 mg/L) was improved in

461 shrimp (*P. monodon*) fed 360 mg/kg Axn for one week (Chien *et al.*, 1999). In a  
462 separate test, these shrimp were also shown to be more tolerant of lower oxygen  
463 levels in a lethal oxygen test (Chien *et al.*, 1999). Dietary Axn supplementation at  
464 80 mg/kg enhanced antioxidant capacity in tiger shrimp (*P. monodon*)  
465 postlarvae, which resulted in a significant improvement in recovery to both  
466 thermal and osmotic stress (Chien *et al.*, 2003). In this study, higher body Axn  
467 levels were recorded, total antioxidant status (TAS) was reduced and superoxide  
468 dismutase (SOD) levels were reduced. The authors also speculated that  
469 hepatopancreas function was improved due to lower levels of aspartate  
470 aminotransferase (AST), a blood marker of liver integrity in mammalian systems,  
471 being identified in the circulating hemolymph. However, both AST and alanine  
472 aminotransferase (ALT) levels were reduced by thermal and osmotic stress,  
473 which was opposite to the expected effect of stress. The inclusion of 80 mg/kg in  
474 diets for 8-weeks improved shrimp (*P. monodon*) resistance to ammonia stress,  
475 and animals showed higher total antioxidant status and lower SOD levels (Pan *et*  
476 *al.*, 2003). AST and ALT levels were lowered by Axn supplementation, and were  
477 negatively correlated with TAS. However, aminotransferase levels were not  
478 correlated with survival, and may indicate that shrimp mortality was unrelated  
479 to hepatopancreas damage. When fed 300 mg/kg of algal carotenoids for 8  
480 weeks, *P. monodon* showed improved tolerance to a nine day period of daily  
481 hypoxic stress (<1.0 mg/L) and also higher resistance to WSSV infection  
482 (Supamattaya *et al.*, 2005).

483 Studies in other shrimp also showed similar effects. In *M. japonicus*, inclusion of  
484 at least 50 mg/kg dietary Axn, from either synthetic or algal sources, resulted in  
485 improved survival to low oxygen stress (Chien and Shiau, 2005). Significantly  
486 greater levels of Axn had accumulated during the 9-week feeding trial, along with  
487 a reduced oxygen consumption rate, suggesting that Axn may be acting as an  
488 intracellular oxygen reserve or as a potent cellular antioxidant. Total carotenoid  
489 levels were highest in animals that showed the highest survival, yet total  
490 hemocyte count was lower and hemolymph phenoloxidase activity was  
491 unchanged. Post-larval shrimp (*L. vannamei*) fed 80 mg/kg Axn for 6-weeks  
492 showed significantly higher osmoregulatory capacity than those without dietary  
493 Axn after salinity was reduced from 35 to 3 gL<sup>-1</sup> (Flores *et al.*, 2007). This was



494 coupled with significantly increased levels of hemocytes, hemocyanin and  
495 glucose in the hemolymph, and reduced levels of hemolymph lactate (Flores *et al.*,  
496 *et al.*, 2007). In a hypoxia stress test, postlarval shrimp (*L. vannamei*) fed either 200  
497 or 400 mg/kg Axn recorded significantly higher survival, but no other  
498 physiological parameters were measured (Niu *et al.*, 2009). More recently,  
499 freshwater prawns showed a significant increase in phenoloxidase activity and  
500 total hemocyte count after 28 days of consuming carotenoid fortified diets  
501 (Kumar *et al.*, 2009), although no direct stress test was performed on the animals  
502 in this study. Systemic injection of Axn into the same species caused an increase  
503 in the total hemocyte count and an increased resistance to bacterial infection,  
504 although there was no complementary increase in antioxidant indicators  
505 (Angeles *et al.*, 2009). After low dissolved oxygen challenge, shrimp  
506 (*L. vannamei*) fed 75-150 mg/kg Axn for 56 days had higher survival than those  
507 animals fed 25 or 50 mg/kg Axn, and this was potentially linked with higher  
508 expression of hypoxia inducible factor 1 alpha (HIF-1 $\alpha$ ), cytosolic manganese  
509 superoxide dismutase (cMnSOD) and catalase in Axn fed animals (Zhang *et al.*,  
510 2013). After 74 days feeding 100 mg/kg Axn or 250 mg/kg  $\beta$ -carotene, improved  
511 growth performance and survival in juvenile *P. monodon* was coupled with lower  
512 malondialdehyde levels (an indicator of lipid peroxidation) after a simulated live  
513 transport test (Niu *et al.*, 2014). In addition, expression levels of heat shock  
514 protein 70 (Hsp-70) were significantly elevated under hypoxia compared with  
515 normoxia, and further up-regulated under hypoxic conditions without dietary  
516 carotenoids (Niu *et al.*, 2014). Although counter-intuitive, the expression of  
517 hypoxia inducible factor 1 alpha (HIF-1 $\alpha$ ) was decreased under hypoxic  
518 condition, but were higher in animals fed  $\beta$ -carotene suggesting that the  
519 response to hypoxia had been alleviated (Zhang *et al.*, 2013, Niu *et al.*, 2014).

520 In summary, data consistently demonstrate that dietary carotenoids increase the  
521 total antioxidant capacity in the haemolymph of crustaceans, coupled with  
522 decreased activity of other antioxidant enzymes. This may occur through  
523 increased Axn levels in the haemolymph and tissues, improved oxygen carrying  
524 capacity, decreased oxidation of polyunsaturated fatty acids or cellular proteins  
525 or decreased activation of stress response systems. Combined, these data suggest  
526 that the stress response is reduced in animals receiving dietary carotenoids

527 which improves survival to that stress, and that Axn is performing a broad  
528 protective role against the detrimental effects of oxidative damage in tissues.  
529 Similar to growth, many factors can affect survival in experimental systems,  
530 which is especially problematic when survival is a key measure of performance  
531 against stress. However, clear experimental evidence now exists to show that  
532 carotenoid supplementation improves a range of factors to enable crustaceans to  
533 tolerate stresses such as disease, hypoxia, temperature and salinity. These effects  
534 appear to link the proposed antioxidant function of carotenoids themselves, with  
535 physiological improvements in antioxidant capacity in the animals, and  
536 improved performance under various stressful conditions. Some inconsistency  
537 exists in the physiological responses of animals to dietary carotenoids, which  
538 may highlight differences in the way different crustaceans deal with a variety of  
539 stressors.

540

#### 541 3.4 Carotenoids and Reproductive Performance

542 Nutrition plays a critical role in the reproductive success of crustaceans, and the  
543 accumulation of nutrients in the developing ovaries, particularly lipids and  
544 carotenoids, has a direct effect on reproductive measures such as egg number,  
545 hatching rate and total nauplii produced (Wouters *et al.*, 2001). Very little  
546 progress has been made in understanding the basis by which dietary carotenoids  
547 improve crustacean reproduction since it was summarised more than ten years  
548 ago (Linan-Cabello *et al.*, 2002a). During early maturation, carotenoids  
549 accumulate in the hepatopancreas in both free and esterified form, after which  
550 they are transported via the haemolymph to the ovaries during secondary  
551 vitellogenesis (Harrison, 1990, Vincent *et al.*, 1988). Carotenoid content and type  
552 varies greatly during ovarian development (Dall *et al.*, 1995, Linan-Cabello *et al.*,  
553 2002b, Linan-Cabello *et al.*, 2003, Vincent *et al.*, 1988, Vincent *et al.*, 1989). The  
554 darkening that occurs with this accumulation forms the basis of “staging” female  
555 ovaries during ovarian maturation (Wouters *et al.*, 2001). Free and esterified Axn  
556 is known to accumulate in the hepatopancreas during ovarian maturation, while  
557 levels in the integument remain relatively constant (Dall *et al.*, 1995). Captive  
558 shrimp contained less carotenoids, particularly in stage IV ovaries, than their  
559 wild caught counterparts (Linan-Cabello *et al.*, 2003), strongly suggesting that

560 broodstock nutrition was deficient. Paprika as a source of dietary carotenoids ( $\alpha$ -  
561 carotene,  $\alpha$ -cryptoxanthin and capxanthin) was shown to improve nauplii quality  
562 in *P. monodon* broodstock (Wyban *et al.*, 1997), with the assumption that these  
563 carotenoids were able to be converted into Axn. Axn supplemented in  
564 broodstock diets for *Penaeus monodon* showed improved spawning and  
565 fecundity (Pangantihon-Kuhlmann *et al.*, 1998). In the only recent study, high  
566 levels of dietary fish oil and Axn have been linked to improved reproductive  
567 performance, as measured by egg and spermatozoa number, in *P. monodon*  
568 broodstock (Paibulkichakul *et al.*, 2008). As might be expected, increased dietary  
569 fish oil led to accumulation of polyunsaturated fatty acids (PUFAs) in  
570 hepatopancreas and ovary tissues, particularly 22:6n-3. However, extremely  
571 high levels of dietary Axn (300 mg/kg) also led to an accumulation of Axn along  
572 with these long chain PUFAs in ovary tissue (Paibulkichakul *et al.*, 2008).  
573 Increased focus may be required on the use of carotenoids in conjunction with  
574 other nutrients of reproductive significance, such as long chain PUFAs.

575 The positive effects of Axn can potentially be attributed its extremely high  
576 capacity to scavenge oxygen free radicals, and the prevention of peroxidation of  
577 PUFAs in tissues and diets (Britton, 2008, Miki, 1991). In various fish species, the  
578 accumulation of carotenoids in reproductive tissues through dietary carotenoid  
579 supplementation has been shown to improve a number of performance  
580 characteristics, such as egg number, egg quality and number of larvae (Bjerkeng,  
581 2008). Oxygen free radicals have been shown to attack biomembrane lipids and  
582 proteins, leading to deterioration in egg quality (Bromage and Roberts, 1995). In  
583 crustaceans, in conjunction with a depletion of carotenoids in the  
584 hepatopancreas and ovary, an elevation of superoxide dismutase (SOD) activity  
585 was observed in the haemolymph of captive shrimp compared with wild shrimp  
586 (Linan-Cabello *et al.*, 2003). This was suggested to reflect the insufficient  
587 scavenger activity to neutralize oxidative stress processes during spawning.  
588 Normal developmental and physiological processes, such as ovarian  
589 development and reproduction, are also potential sources of oxygen free  
590 radicals.

591 Although not initially identified as necessary for embryonic development,  
592 carotenoids are lost from fish and crustacean embryos prior to the first feeding

593 stages (Bjerkeng, 2008, Dall *et al.*, 1995). This implies the carotenoids present in  
594 eggs and pre-feeding embryos are metabolised into other colourless molecules,  
595 that in turn potentially perform biological functions. Axn has been proposed to  
596 be an important source of Provitamin A and retinoids in eggs and early embryos  
597 (Dall *et al.*, 1995, Linan-Cabello *et al.*, 2002a, Miki, 1991). Evidence from a  
598 number of different crustaceans suggests that the retinols and other retinoid  
599 derivatives play a critical role in developmental processes of crustaceans,  
600 including ovarian and larval development (Linan-Cabello *et al.*, 2002a).  
601 Crustaceans possess a number of retinoids and retinoic acid receptors in  
602 crustaceans and the enhancement of the ovarian development in shrimp  
603 suggests an important role of these metabolites in shrimp physiology for their  
604 successful aquaculture. Carotenoids are the sole source of retinoids in  
605 crustaceans, and their role as bioactive molecules may have been largely  
606 overlooked (Linan-Cabello *et al.*, 2002a).

607

608

#### 609 **4 Conclusion**

610 Carotenoids are considered a semi-essential nutrient that promotes optimal  
611 survival and growth at low dietary inclusion levels, approximately 25 mg/kg  
612 dietary Axn. Studies demonstrate that some form of dietary carotenoid intake is  
613 required in order to maintain carotenoid levels over time as animals grow,  
614 whether that intake is from natural pond biota or formulated into feeds. This  
615 amount is estimated at 50 mg/kg dietary Axn to maintain between 20-25 mg/kg  
616 body weight Axn for juvenile *P. monodon*. Increasingly, evidence suggests that  
617 specific carotenoids accumulate in different crustacean tissues over various life  
618 history stages. At present this minimum body Axn level is poorly defined, but  
619 whole body Axn levels may improve survival and growth across various stages of  
620 commercial production.

621 Optimal shrimp pigmentation can be achieved within several weeks by including  
622 Axn in the diet at levels of between 50-100 mg/kg, which can be reduced by  
623 using higher dietary inclusion levels. At these and even higher inclusion levels,  
624 utilisation efficiency of dietary carotenoids is extremely high and often exceeds  
625 90%. In Penaeid shrimp, the amount of carotenoid required to be deposited in  
626 the tissues to achieve optimal colour is around 30-50 mg/kg body weight.  
627 However, this amount does not result in the same overall colour of different  
628 species, i.e. *P. monodon* is darker than *L. vannamei* at the same body Axn level. In  
629 other crustaceans, this body Axn level may need to be significantly higher.  
630 Background colour and light intensity are highly effective at redistributing  
631 carotenoid pigments, both to make shrimp darker or lighter in colour. Optimal  
632 pigmentation can lead to substantially higher sale prices, but there can be a  
633 preference for either darker or lighter shrimp depending on the target market.

634 Although presently poorly defined, the carotenoid levels required to elicit the  
635 physiological improvements in disease resistance, hypoxia or reproductive  
636 performance may be considerably higher than those for pigmentation. These  
637 beneficial effects have been demonstrated on various physiological  
638 characteristics such as survival, growth and resistance to stress. However, unlike  
639 colour, accurate measurement of these effects is often difficult due to a range of  
640 external factors. Improvements in research methods and techniques have led to

641 a stronger understanding of the physiological mechanisms underlying  
642 carotenoid function in crustaceans. Very little is known about the genetic  
643 mechanisms that underlie the absorption, transport, tissue accumulation or  
644 metabolic transformations of carotenoids in any animal species. It is reasonable  
645 to assume that the accumulation of these carotenoids underpins the  
646 physiological changes that lead to improved performance of a variety of  
647 commercially relevant traits in aquaculture. More detailed studies are required  
648 to define the basis of the benefits of carotenoids in crustacean aquaculture.  
649 Although some functions of carotenoids may be preserved, we cannot continue  
650 to rely on research from vertebrate systems to draw conclusions on their effect  
651 in crustaceans.

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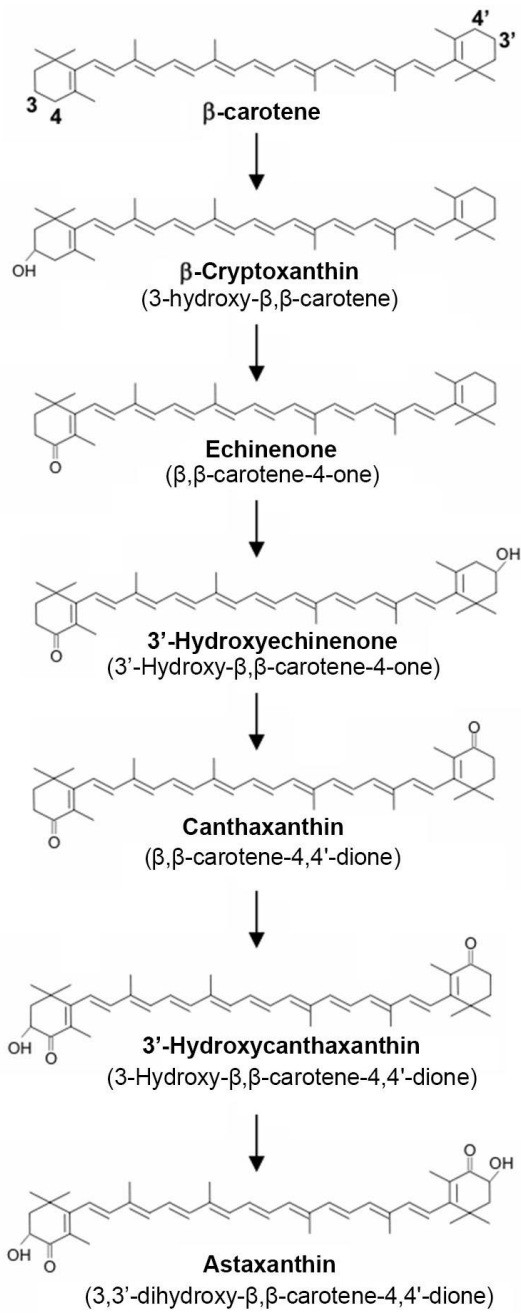


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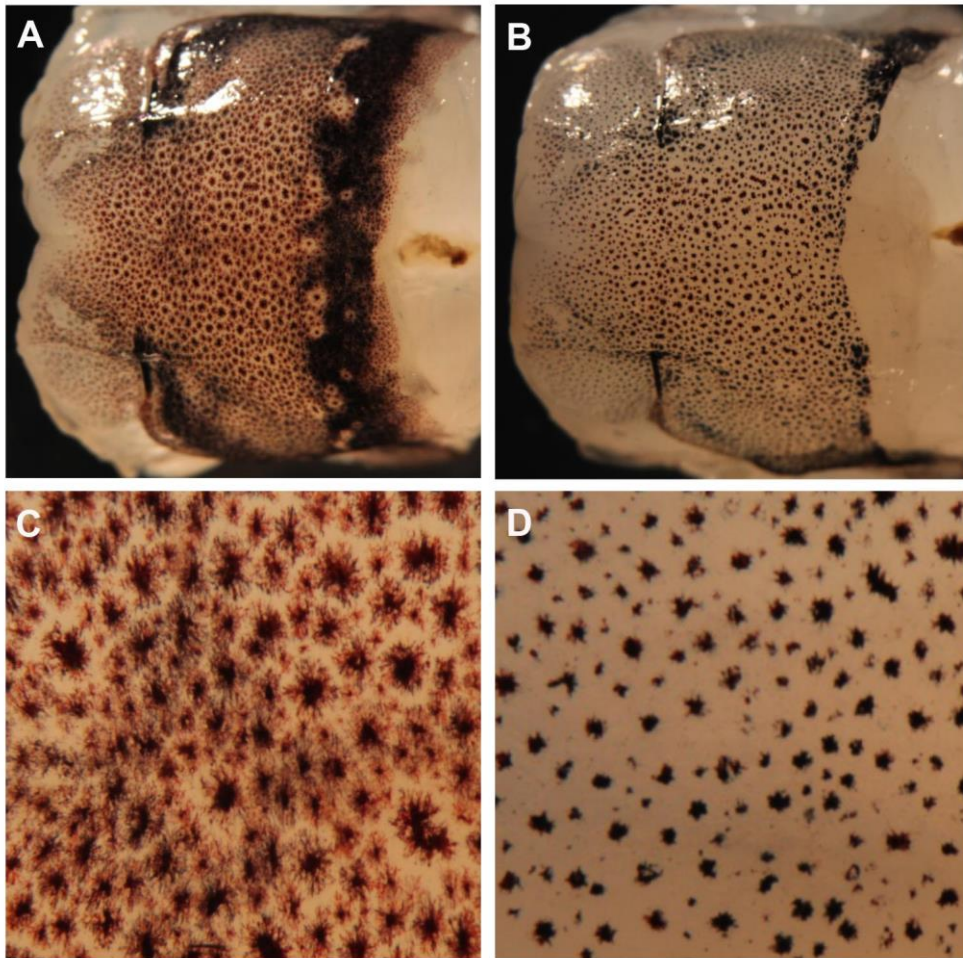
1052 Figure 1. Schematic diagram of the major conversion pathway of  $\beta$ -carotene to  
1053 astaxanthin in crustacean tissues.



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1056 Figure 2 The response of crustacean abdominal epithelial chromatophores when  
1057 exposed to black (A and C) or white (B and D) coloured substrates.



1058

Table 1. Summary of carotenoid research in crustacean diets that improves pigmentation.

Reference	Inclusion range	Carotenoid	Source	Optimal Pigmentation
<i>Giant Tiger Prawn (Penaeus monodon)</i>				
(Yamada <i>et al.</i> , 1990)	0 – 400 mg/kg	Astaxanthin / $\beta$ -carotene / Canthaxanthin	Synthetic	200 mg/kg Astaxanthin
(Liao <i>et al.</i> , 1993)	3%	$\beta$ -carotene / Zeaxanthin	Spirulina / Krill Oil	3% Spirulina
(Menasveta <i>et al.</i> , 1993)	0 – 50 mg/kg	Astaxanthin	Synthetic	50 mg/kg
(Merchie <i>et al.</i> , 1998)	230 – 810 mg/kg	Astaxanthin	Synthetic	inconclusive
(Boonyaratpalin <i>et al.</i> , 2001)	125 – 175 mg/kg	$\beta$ -carotene	Algal	125 mg/kg
(Supamattaya <i>et al.</i> , 2005)	125 – 300 mg/kg	$\beta$ -carotene	Algal	200 – 300 mg/kg
(Niu <i>et al.</i> , 2012)	70 – 200 mg/kg	Astaxanthin / Canthaxanthin	Synthetic	100 mg/kg Astaxanthin + cholesterol
(Niu <i>et al.</i> , 2014)	100 – 250 mg/kg	Astaxanthin / $\beta$ -carotene	Synthetic	100 mg/kg Astaxanthin + cholesterol
<i>Pacific White Shrimp (Litopenaeus vannamei)</i>				
(Vernon-Carter <i>et al.</i> , 1996)		Astaxanthin / Lutein	Synthetic / Marigold	Marigold
(Arredondo-Figueroa <i>et al.</i> , 2003)	200-250 mg/kg	Capsanthin	<i>Capsicum annum</i>	
(Niu <i>et al.</i> , 2009)	0 – 400 mg/kg	Astaxanthin	Synthetic	100 - 200 mg/kg
(Ju <i>et al.</i> , 2011)	25 – 150 mg/kg	Astaxanthin	Algal and Synthetic	75 – 100 mg/kg

Kuruma Shrimp ( <i>Marsupenaeus japonicus</i> )				
(Chien and Jeng, 1992)	50 – 200 mg/kg	Astaxanthin / $\beta$ -carotene	Synthetic / algal	100 mg/kg Astaxanthin
(Negre-Sadargues <i>et al.</i> , 1993)	100 mg/kg	Astaxanthin/ Canthaxanthin	Synthetic	50 mg AX + 50 mg CX
(Petit <i>et al.</i> , 1997)	0 – 220 mg/kg	Astaxanthin/ Canthaxanthin	Synthetic / <i>Artemia</i>	60 mg/kg Astaxanthin
(Chien and Shiau, 2005)	0 – 100 mg/kg	Astaxanthin	Synthetic / algal	100 mg/kg
Giant Freshwater Prawn <i>Macrobrachium rosenbergii</i> )				
(Kumar <i>et al.</i> , 2009)	0 – 200 mg/kg	Astaxanthin	Synthetic	200 mg/kg
Hermit Crab ( <i>Clibanarius erythropus</i> )				
(Castillo and Negre-Sadargues, 1995)	200 mg/kg	Astaxanthin / $\beta$ -carotene / Canthaxanthin	Synthetic	200 mg/kg Astaxanthin
Red King Crab ( <i>Paralithodes camtschaticus</i> )				
(Daly <i>et al.</i> , 2013)	0 – 380 mg/kg	Astaxanthin	Synthetic / algal	380 mg/kg
American Clawed Lobster ( <i>Homarus americanus</i> )				
(Tlusty and Hyland, 2005)	0 – 220 mg/kg	Astaxanthin	Synthetic	220 mg/kg
Tropical Spiny Crayfish ( <i>Panulirus ornatus</i> )				
(Barclay <i>et al.</i> , 2006)	30 – 120 mg/kg	Astaxanthin	Synthetic	120 mg/kg

Table 2. Summary of carotenoid research in crustacean diets that improves physiological performance.

Reference	Inclusion level	Species	Response
<i>Growth and Survival</i>			
(Yamada <i>et al.</i> , 1990)	100 mg/kg Axn	<i>M. japonicus</i>	Improved survival or growth
(Darachai <i>et al.</i> , 1998)	various	<i>P. monodon</i>	Improved post-larval survival
(Chien and Shiau, 2005)	50-100 mg/kg	<i>M. japonicus</i>	Improved survival
(Supamattaya <i>et al.</i> , 2005)	300 mg/kg $\beta$ -carotene	<i>P. monodon</i>	Greater weight gain and improved survival
(Flores <i>et al.</i> , 2007)	80 mg/kg Axn	<i>L. vannamei</i>	Improved growth and moult frequency
(Kumar <i>et al.</i> , 2009)	50-200 mg/kg Axn	<i>M. rosenbergii</i>	Greater weight gain and improved survival
(Niu <i>et al.</i> , 2009)	100-400 mg/kg Axn	<i>L. vannamei</i>	Greater weight gain and improved survival
(Niu <i>et al.</i> , 2012)	100 mg/kg Axn + cholesterol	<i>P. monodon</i>	Greater weight gain and improved survival
(Daly <i>et al.</i> , 2013)	380 mg/kg	<i>Paralithodes camtschaticus</i>	Improved survival
(Zhang <i>et al.</i> , 2013)	125-150 mg/kg Axn	<i>L. vannamei</i>	Improved growth
(Niu <i>et al.</i> , 2014)	100 mg/kg Axn + cholesterol	<i>P. monodon</i>	Greater weight gain and improved survival
<i>Tolerance to Disease and Stress</i>			
(Darachai <i>et al.</i> , 1998)	various	<i>P. monodon</i>	Improved tolerance to low salinity
(Chien <i>et al.</i> , 1999)	360 mg/kg Axn	<i>P. monodon</i>	Improved survival to low dissolved oxygen
(Chien <i>et al.</i> , 2003)	80 mg/kg Axn	<i>P. monodon</i>	Improved recovery from thermal and osmotic stress, enhanced anti-oxidant capacity.



(Pan <i>et al.</i> , 2003)	80 mg/kg Axn	<i>P. monodon</i>	Improved resistance to ammonia stress, higher anti-oxidant status, lower SOD levels.
(Chien and Shiau, 2005)	50 mg/kg Axn	<i>M. japonicus</i>	Improved survival to low oxygen
(Supamattaya <i>et al.</i> , 2005)	300 mg/kg Axn	<i>P. monodon</i>	Improved survival to daily hypoxia stress, increased resistance to WSSV infection
(Flores <i>et al.</i> , 2007)	0-150 mg/kg Axn	<i>L. vannamei</i>	Improved tolerance to low salinity
(Niu <i>et al.</i> , 2009)	200-400 mg/kg Axn	<i>L. vannamei</i>	Improved survival to daily hypoxia stress
(Angeles <i>et al.</i> , 2009)	1.34 nmol g <sup>-1</sup> Axn injected	<i>M. rosenbergii</i>	Improved survival to bacterial infection
(Zhang <i>et al.</i> , 2013)	75-150 mg/kg Axn	<i>L. vannamei</i>	Improved survival to hypoxia stress, increased HIF-1 $\alpha$ , cMnSOD and catalase expression.
(Niu <i>et al.</i> , 2014)	100 mg/kg Axn 250 mg/kg $\beta$ -carotene	<i>L. vannamei</i>	Improved survival in live transport test, reduced malondialdehyde and HSP-70 levels
<i>Reproductive Performance</i>			
(Wyban <i>et al.</i> , 1997)	Various	<i>L. vannamei</i>	Improved nauplii quality
(Pangantihon-Kuhlmann <i>et al.</i> , 1998)	100 mg/kg Axn	<i>P. monodon</i>	Improved spawning and fecundity
(Paibulkichakul <i>et al.</i> , 2008)	50-300 mg/kg Axn	<i>P. monodon</i>	Increased number of eggs and spermatozoa, accumulation of Axn in ovary tissue