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1 **Warming induced growth decline of Himalayan birch at its lower range edge in a semi-arid region of Trans-**  
2 **Himalaya, central Nepal.**

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17

18 **Abstract**

19 Changes in the position of altitudinal treelines and timberlines are considered useful indicators of climatic changes on  
20 tree growth and forest dynamics. We sought to determine if recent warming is driving contrasting growth responses of  
21 Himalayan birch, at moist treeline (Lete Lekh) and semi-arid timberline (Chimang Lekh) sites in the Trans-Himalayan  
22 zone of central Nepal. We used dendrochronological techniques to measure tree ring width (TRW) and basal area  
23 increment (BAI) of birch trees from climatically contrasting but nearby sites. The TRW series were correlated with  
24 climate records from nearby meteorological stations, and BAI was compared between populations to explore growth  
25 trends over recent decades. We found contrasting precipitation trends between nearby sites such that the wet site (Lete) is  
26 getting warmer and wetter, and the dry site (Chimang) is getting warmer and drier in recent decades. The radial growth  
27 of birch in both moist and semi arid sites are positively correlated to spring (March-May) rainfall, and negatively

28 correlated to mean and maximum temperature for the same period. The growth climate analysis indicated that moisture  
29 availability in early growing season is crucial for birch growth at these locations. The BAI of birch is declining more  
30 rapidly at the dry timberline than at the moist treelines in the recent decades, indicating that climatic warming might  
31 negatively impact birch radial growth where warming interacts with increasing spring drought in the region. Our work  
32 highlights contrasting growth response of birch to climate change at moist and semi-arid forests indicating that local  
33 climatic variation must be accounted for when assessing and forecasting regional patterns of tree growth in  
34 topographically complex regions like Trans-Himalaya, in order to make accurate predictions of vegetation responses to  
35 climate change.

36

37 **Keywords:** *Betula utilis*; treeline; timberline; ring-width; basal area increment; growth trends

38

## 39 **Introduction**

40 Mountains provide habitats for a large portion of the world's biodiversity (Beniston 2003). High mountain species are  
41 potentially most threatened under ongoing climate change because of their narrow distribution range, geographical  
42 isolation and unique ecological adaptations (Grabherr et al. 1994; La Sorte and Jetz 2010). Besides being susceptible to  
43 the impacts of a rapidly changing climate (Xu et al. 2009; Sharma and Tsering 2009; Aryal et al. 2012), mountains also  
44 provide valuable locations for the early detection of climatic change and its impacts on ecological and hydrological  
45 systems (Beniston 2003; Nogués-Bravo et al. 2007).

46 Temperature is usually the main limiting factor for tree growth in poleward and high altitude treelines (Körner  
47 2003; Holtmeier & Broll 2007; Harsch et al. 2009). Air and soil temperatures as well as topographic sheltering are  
48 important determinants of spatial patterns of tree seedling establishment at high altitude (Greenwood et al. 2014). Trees  
49 are, therefore, expected to increase growth and shift poleward and upward with warming temperatures and increased  
50 atmospheric CO<sub>2</sub> concentration (Körner 2000; Borgaonkar et al. 2011; Gaire et al. 2014, 2016). However, this  
51 expectation only holds true if there are no other factors limiting growth at species distributional limits in these regions.  
52 Tree growth in high mountains could also be impaired by increases in temperature, mostly due to warming-induced  
53 water deficit (Cook et al. 2003; Sano et al. 2005; Lv and Zhang 2012; Yang et al. 2013; Dawadi et al. 2013; Liang et al.  
54 2014; Qi et al. 2015; Tiwari et al. 2016; Gaire et al. 2016). Furthermore, in topographically complex regions such as the

55 Trans-Himalayan zone of Nepal, rain shadow effects can lead to strong gradients of precipitation and drought stress over  
56 short distances, resulting in contrasting local limitations of tree growth and complicating prediction of regional changes  
57 (Schickhoff 2005; Schickhoff et al. 2015).

58 Tree ring-width indices have proved to be useful proxies for growth climate interpretation, however, detrended  
59 ring-width series retains less ecological and historical information such as forest disturbance, acute growth stress and  
60 other low frequency signals (Esper et al. 2002). Hence unstandardized basal area increment (BAI) is often used as a more  
61 informative measure of tree growth trends in terms of total biomass production, than ring width measurements alone  
62 (Phipps & Whiton 1988; Peñuelas et al. 2011). Climatic warming, increased concentration of atmospheric CO<sub>2</sub> and  
63 associated changes to water-use efficiency, are predicted to enhance tree growth across many species and regions  
64 (Morison, 1993; Morgan et al. 2004; Norby et al. 2005). However such anticipated positive growth trends have not been  
65 observed in many parts of the world (Peñuelas et al. 2011). Rather, tree growth has locally declined, with the potential  
66 for increasing levels of mortality due to warming induced drought (Jump et al. 2006; Peñuelas et al. 2007; Allen et al.  
67 2010). Hence, quantifying interannual responses of tree growth to local variation in climate is an important approach to  
68 understand tree growth patterns and forest productivity (Bunn et al. 2005; Yang et al. 2010) in climate sensitive high  
69 elevation forests.

70 Himalayan birch (*Betula utilis*) typically forms the treeline in many parts of Himalaya (Miehe et al. 2015), but  
71 its growth across the various climatic zones and complex topography of central Nepal is poorly explored. The treeline is  
72 generally considered as the highest elevation at which a single upright tree with height greater than 2 m is found over the  
73 landscape (Hofgaard, 1997; Körner, 2003), and alpine timberlines represent the upper limit of closed mountain forest  
74 with tree density (trees >5 m tall) of at least 30% coverage (Wardle, 1974; Holtmeier, 2003). Trees typically show  
75 contrasting growth responses to warming temperatures at their upper and lower range edges (Peñuelas et al. 2008; Cavin  
76 et al. 2016). However, differences in water availability might strongly modify expected responses to temperature.  
77 Consequently, we sought to determine the response of birch growth at its upper and lower range edge in high mountain  
78 forests which are subject to a contrasting moisture regime. Consequently, we set out to test expectations that the birch  
79 treeline should advance in areas with greater water availability ( Schickhoff et al. 2015), and retreat in dry sites (Liang et  
80 al. 2014) despite general predictions of upward elevational shifts of mountain forest distributions (Harsch et al. 2009).  
81 Improving our understanding of local climate variability and its impact on tree growth and forest productivity is of high  
82 importance for our ability to predict spatial patterns of forest change and their consequences. Consequently, here we

83 hypothesized that elevated temperatures would have a greater negative impact on tree growth in dry versus wet high  
84 altitude sites for *B. utilis*. We used tree ring records of *B. utilis* from contrasting climatic zones in the Trans-Himalayan  
85 region to (1) determine the limiting climatic factors for growth of *B. utilis* in moist and dry sites and (2) assess the  
86 growth trends of *B. utilis* at its upper and lower range edge with response to ongoing climate change.

## 87 **Methods**

### 88 **Study area**

89 This work was conducted at Lete Lekh (28.6° N, 83.58° E, 3650-3900 m asl) and Chimang Lekh (28.75° N,  
90 83.7° E, 3000-3300 m asl) of Mustang district in the Trans-Himalayan zone of central Nepal. Lete Lekh is relatively  
91 moist area with alpine birch treeline in the north east facing slope, whereas Chimang Lekh is a semi-arid zone with birch  
92 timberline in the south–west facing slope. Lete treeline is the upper treeline and the Chimang timberline is the lower  
93 range edge of *B. utilis* in the southern part of Mustang District, however, at Chimang, the birch treeline reaches as high  
94 as 4000 m asl only in the sheltered north-facing slopes (personal observation). The sites are characterized by a  
95 contrasting precipitation regime within a short distance, and lie within the Annapurna Conservation Area (ACA). The  
96 Trans-Himalayan zone of central Nepal lies in the rain shadow formed by surrounding high mountains; where runoff  
97 water from snow cover is the main source of water (Aryal et al. 2012). The northern part of the Mustang lies in the  
98 Trans-Himalayan semi-arid dry zone, further north, the Tibetan type highland forms the driest zone of Nepal  
99 (Lomanthang: 200 mm annual rainfall) (Stainton 1972; Schickhoff 2005). The forested areas are thus confined to the  
100 southern part of the district covering only about 3.24% of land area (Government of Nepal 2010).

### 101 **Species**

102 Himalayan birch (*Betula utilis* D. Don) is a moderate-sized (<20 m tall) broadleaved pioneer tree species  
103 dominating an extensive area of subalpine forests up to 4500 m elevation, quite close to glaciers on northern slopes of  
104 the inner Himalayas (Stainton 1972; Zobel and Singh 1997; TISC 2002; Schickhoff 2005; Miede et al. 2015). This  
105 species shows high variation in its local distribution possibly due to the influence of local-scale climate variability and  
106 topographic sheltering.

## 107 **Field sampling**

108 Field sampling was conducted during September-October of 2014 along transects on a topographically uniform area of  
109 the treeline at Lete and timberline at Chimang. Tree cores were collected from trees occurring in two treeline transects  
110 MT1 and MT2; each of which was 20 m × 120 m (hereafter MT for indicating moist treelines), and the third transect  
111 from a single timberline transect of 30 m × 50 m at Chimang (hereafter DT). The treeline sites included the treeline  
112 ecotone of *B. utilis* spanning the treeline and timberline.

113 We employed dendrochronological techniques for radial growth measurement and developing ring width  
114 chronology of trees. Single, two or three cores were extracted from a tree using a 5.5 mm increment borer. All treeline  
115 trees were cored at the base of the tree (< 30 cm), whereas DT trees were cored at breast height level. Tree cores were air  
116 dried, mounted on sample holders and sanded using progressively finer sandpaper according to standard methods (Fritts  
117 1976). Ring widths were measured at a resolution of 0.01 mm with a LINTAB II measuring system (Rinntech Germany).  
118 Statistical analyses were performed using the software R (R Core Team 2015).

## 119 **Meteorological data**

120 Each study site has a meteorological station nearby (< 4 km), however the wet (Lete) site (28.63° N, 83.6° E, 2384 m  
121 asl) does not have temperature data for more than 15 years. The fifteen years mean temperature of Lete was compared  
122 with temperature of Thakmarpha station (28.75° N, 83.7° E, 2566 m asl) for the same period and showed high  
123 correlation ( $r = 0.69$ ,  $n = 15$ ); hence we used the Thakmarpha (1970-2013 AD) temperature records, and rainfall records  
124 of Lete and Chimang separately for growth climate analysis. The meteorological data showed mean annual rainfall of  
125 1340 mm at Lete and 393 mm at Thakmarpha with mean annual temperature of 11.17 °C (Fig. 1).

126

127 **Fig. 1** Climate summary of Lete (2384 m asl) and Thakmarpha (2566 m asl) climate station (1970-2013 AD), the white  
128 and black bars represent the rainfall at Lete and Thakmarpha respectively.

## 129 **Growth climate response**

130 Tree ring width (TRW) indices were employed to explore growth response to climate. We adopted visual inspection for  
131 cross-dating tree cores (Stokes and Smiley 1968), with statistical tests (sign-test and *t*-test) using the software package  
132 TSAP-Win (Rinn 2003). Ring-width measurements were detrended with a negative exponential using ARSTAN

133 software (Cook 1985). We produced a standard tree ring-width chronology of 107 years using 56 tree cores from 52 trees  
134 at MT1, 186 years using 27 tree cores from 23 trees at MT2, and of 122 years using 49 tree cores from 24 trees at DT  
135 (Table 1). To maintain a reasonable sample depth, we limit the tree ring width chronology to the period representing a  
136 minimum of ten cores for each site (Fig. 4a, b, c). Growth-climate relationships were determined by correlating site  
137 standard chronology with monthly climatic variables (total rainfall, mean air temperature) from June of the previous  
138 growth year until October of the current growth year (Fritts 1976). Given the previously identified importance of spring  
139 season (March-May) moisture sensitivity of birch for high elevation birch populations in the central Himalaya (Dawadi  
140 et al. 2013; Liang et al. 2014; Gaire et al. 2016), we also correlated the site chronology with mean climate for the March-  
141 May period.

#### 142 **Basal area index (BAI) chronology**

143 BAI is commonly used to assessing tree and stand growth since it allows accurate quantification of tree productivity  
144 (Rubino and McCarthy 2000; Peñuelas et al. 2011). The BAI sigmoidal growth model is an appropriate means for  
145 detecting changes in tree growth avoiding detrending and standardizing employed in calculation of RWI (Phipps &  
146 Whiton 1988; Esper et al. 2002; Salzer et al. 2009). Here we used individual tree BAI to produce mean unstandardized  
147 BAI series across all trees at each site for each year.

148 Ring width was converted into tree BAI according to the following standard formula:

$$149 \quad \text{BAI} = \pi (R_n^2 - R_{n-1}^2),$$

150 where ‘ $R$ ’ is the radius of the tree and ‘ $n$ ’ is the year of tree ring formation. The BAI chronology was produced using the  
151 `bai.out` function in the `dplR` package in R as some tree cores had missed pith and almost every core had intact bark (R  
152 Core Team 2015). We produced BAI series from each site from the period which has at least 10 trees to represent the site  
153 population, and to avoid idiosyncrasies at the individual tree level. We analyzed the trend of BAI series at each site for  
154 the time period of 1990-2014 AD, that represented the growth trend after the growth release phase, thereby avoiding  
155 periods of juvenile growth that are likely to be substantially determined by competitive interactions rather than climate  
156 (Jump et al. 2006).

157 **Results**

158 **Climatic trends**

159 Mustang (Lete and Thakmarpha) showed significant warming with a consistent increase of mean and maximum annual  
160 temperature during recent decades but without any trend in minimum temperatures (Fig. 2). The moist site (Lete) showed  
161 a significant increase in annual rainfall and a stable trend of spring season (March-May) rainfall, whereas the dry site  
162 (Thakmarpha) showed no rainfall trend. Hence, considering the overall balance of temperature trends and total rainfall,  
163 the moist site (MT) is getting wetter and warmer whereas the dry site (DT) is getting drier and warmer in the recent  
164 decades (Fig. 2, 3a, b). The amount and duration of snow cover is an important determinant of soil moisture in high  
165 mountains in Himalayas (Müller et al. 2016). While we do not have direct measurements of snow cover at each study  
166 site, local people report substantial decrease in snow fall around Chimang (Tiwari, 2015 personal communication).

167 **Fig. 2** Annual temperature trend of Thakmarpha (Mustang); maximum temperature ( $T_{max}$ ), mean temperature ( $T_{mean}$ )  
168 and minimum temperature ( $T_{min}$ ).

169 **Fig. 3** Rainfall trend; total annual rainfall and total spring season (March-May) rainfall; (a) MT, (b) DT

170 **Ring-width chronology and growth climate response**

171 We produced three well-replicated tree ring with chronologies of *Betula utilis*, from the moist treeline sites, and semi-  
172 arid timberline site. The location of sampling sites and chronology statistics are summarised in Table 1.

173 **Table 1**

174 Tree-ring chronology summary statistics.

<b>Sampled location</b>	<b>Elevation range (m asl)</b>	<b>Chronology (years)</b>	<b>Mean series Length</b>	<b>No of cores (trees)</b>	<b>Mean sensitivity</b>	<b>EPS</b>	<b>All series Rbar</b>	<b>1<sup>st</sup> order AC</b>
Moist Treeline (MT1)	3650-3900	186	73	27 (23)	0.382	0.907	0.226	0.062
Moist Treeline (MT2)	3700-3900	107	65	56 (52)	0.324	0.954	0.415	0.026
Timberline Dry (DT)	3000-3300	122	79	49 (24)	0.360	0.968	0.315	0.001



175 (EPS: Expressed population signal, AC: Autocorrelation)

176 **Fig. 4** Tree ring-width standard chronology of *B. utilis*; (a) MT1, (b) MT2, (c) DT.

177 The growth climate analysis of *B. utilis* revealed a significant positive relationship ( $p < 0.05$ ) between the ring  
178 width series and monthly rainfall during spring season (March-May) both at moist treeline (MT1, MT2) and dry  
179 timberline (DT) (Fig. 5d,e,f). At least one month during spring season, showed significant positive correlation ( $p < 0.05$ )  
180 of total rainfall with ring width indices in each site. Previous year's summer rainfall was found to have a stronger  
181 positive impact on tree radial growth at DT, while this effect was observed more weakly at MT1 and MT2. A significant  
182 negative relationship ( $p < 0.05$ ) between radial growth and mean temperature ( $T_{mean}$ ) for the spring season was  
183 observed at both treeline sites (MT1 and MT2), although this relationship was weaker at DT.  $T_{mean}$  of October also  
184 showed a significant negative relationship with radial growth ( $p < 0.05$ ) at MT1 and DT (Fig. 5a,c). Further, the  
185 minimum temperature ( $T_{min}$ ) showed stronger negative correlation in early growing season (Feb-May) at MT2, which  
186 was weaker at MT1 and DT. However, the maximum temperature ( $T_{max}$ ) showed stronger negative correlation to ring-  
187 width indices at DT in comparison to MT1 and MT2. Overall, while similarities in response are clear, we also found a  
188 contrasting response of radial growth to monthly climate among sites.

189 **Fig. 5** Correlation coefficients of tree ring width indices, with total monthly maximum ( $T_{max}$ ), mean ( $T_{mean}$ ) and  
190 minimum temperature ( $T_{min}$ ); MT1(a), MT2(b), DT(c), and total monthly rainfall; MT1(d), MT2(e), DT(f); of June in  
191 the previous year to October of the current year, spring season and annual climate, dashed horizontal lines indicate  
192 significant correlation at 95% confidence limit for a two-tailed test.

### 193 **Temporal pattern of radial growth**

194 BAI chronology at MT1 (1933-2014) and MT2 (1935-2014 AD) indicated a rapid increase followed by relatively stable  
195 (MT1) and weakly declining (MT2) trend in recent years, whereas at DT, BAI (1912-2014 AD) increased steadily at the  
196 beginning and declined abruptly in recent decades. BAI at DT showed a statistically significant declining trend, while  
197 that of MT1 and MT2 was without statistically significant trend for the same period (1990-2014 AD) as indicated by  
198 linear regression (Fig. 6,7).

199 **Fig. 6** Basal area increment (BAI) chronology of *B. utilis* at moist treeline; MT1(a), MT2(b), dry timberline DT(c),

200 **Fig. 7** BAI trend during 1990-2014 AD at MT1(a), MT2(b) and DT(c), solid lines are the regression line and the shaded  
201 lines represent the 95% confidence interval.

## 202 **Discussion**

### 203 **Climatic trends**

204 Climate data for the study regions at Mustang showed a consistent warming both at MT and DT, and high spatial  
205 variability in rainfall, as reported in Eastern Himalayas (Sharma et al. 2000; Shrestha et al. 2012). The moist region of  
206 Lete is getting wetter and warmer with increasing rainfall, whereas the semi-arid region of Thakmarpha is getting drier  
207 and warmer with very low and stable rainfall over recent decades. Such diverging trends of temperature and precipitation  
208 might have significant impacts on plant growth and forest productivity, given that the rapidly increasing maximum  
209 temperature enhances evapotranspiration and will negatively impact soil moisture availability in the drier spring season (  
210 Schickhoff et al. 2015). The increasing rainfall at Lete and almost stable rainfall at Thakmarpha is contrary to the  
211 enhanced frequency of winter and pre-monsoon drought reported from western Nepal (Wang et al. 2013). While the  
212 climatic trend of the Trans- Himalayan region (Mustang) showed the typical regional pattern of a rapid increase in  
213 maximum temperature and almost stable trend in minimum temperature, local precipitation trends show more variability.  
214 A consistent trend of increasing average rainfall was reported in the central Himalayas except the Northern Triangle  
215 temperate forest ecoregion (Shrestha et al. 2012), irrespective of decreasing precipitation trends in Western Himalayas  
216 (Kumar and Jain 2009). This contrast limits broad generalizations of climate trends and vegetation responses in the  
217 region (Schickhoff 2005; Miede et al. 2015; Tiwari et al. 2016). We emphasize that the climate of the interior of the  
218 topographically complex Trans-Himalayan region is highly spatially variable and regionally complex so that  
219 extrapolation of future precipitation trends and forest responses for the region could be misleading.

### 220 **Ring-width chronology and growth climate response**

221 Our results demonstrated that the radial growth of *B. utilis* was mainly limited by temperature induced moisture  
222 stress during the spring season both at treeline (MT) and timberline (DT), irrespective of substantial differences in total  
223 rainfall between sites. The spring season rainfall and maximum temperature were relatively more important than mean  
224 temperature in the dry timberline site (DT). Our findings are in agreement with the moisture sensitivity of birch reported  
225 from the upper timberline in central Himalaya (Dawadi et al. 2013; Liang et al. 2014; Gaire et al. 2016), and for *Abies*

226 *spectabilis* from the semi-arid treeline in the Trans-Himalayan zone (Tiwari et al. 2016). However, tree growth at high  
227 altitudes and latitudes was also reported to have been favored by high summer temperature (Barber et al. 2004; Chen et  
228 al. 2011). In our results, the temperature sensitivity of birch at MT was seen in the negative correlation with mean  
229 temperature (*Tmean*) of early spring (Feb-March), which was less strong at DT.

230 Our results revealed that spring season precipitation was more important for radial growth at DT in comparison  
231 with MT, this could be because of higher temperature and increased competition between trees at DT and also due to  
232 precipitation deficit in that area, in comparison to sparse trees and higher precipitation at MT, as has been reported in  
233 various studies in Himalaya and Tibet (Lv and Zhang 2012; Qi et al. 2015; Tiwari et al. 2016). Spring season climate is  
234 critical for *B. utilis* in the Himalayan highlands as shoot, leaf and floral buds begin to sprout during April (Bisht et al.  
235 2014), and warmer springs can contribute to elevated frost damage and reduced water availability, with consequent  
236 negative impacts on tree growth (Körner 2003). The early growing season (Feb-May) mean temperature (*Tmean*)  
237 sensitivity in the treeline sites could be associated with snow pack accumulation, as most of the precipitation during this  
238 time falls in the form of snow. The timing and duration of snow accumulation are important factors in the treeline where  
239 early warming (increase of *Tmean*) of growing season (Feb-March) could facilitate budding and shoot formation (Bisht  
240 et al. 2014) and radial growth by snow melt (Vaganov et al. 1999; Bekker 2005). However, there is a chance of heat  
241 induced water deficit during the spring season and any such drought exposure could affect growth and seedling  
242 establishment at treelines, and may even cause retreating of treeline (Liang et al. 2014).

243 Here, we reported more or less similar climate growth response at MT1, MT2 and DT, although the strength of  
244 the correlation differed. Tree radial growth showed a stronger negative response to maximum temperature (*Tmax*) at DT  
245 (Fig. 5), implying that projected warming will negatively impact future growth as drought stress intensifies at DT, given  
246 consistent warming and low precipitation in the region (Fig. 1,2,3). In the Himalayan region, moisture stress during  
247 spring season (March-May) was found to limit the radial growth of *B. utilis* even at the treeline (Gaire et al. 2016). Some  
248 studies in the Himalaya also reported radial growth of *A. spectabilis* to be temperature sensitive (Bräuning 2004;  
249 Borgaonkar et al. 2011; Gaire et al. 2014) and they explained a negative relationship between spring climate and radial  
250 growth as threshold effects of moisture or temperature. Further, they emphasized heat induced water deficit associated  
251 with high velocity of wind and increased evapotranspiration, to have negative influence on tree growth (Cook et al. 2003;  
252 Gaire et al. 2014). We infer that the precipitation seasonality is highly important for systems with a short growing season  
253 in higher altitude, with a shorter time for plants to compensate for a dry period, and especially so when the season is also

254 characterized by high temperature (Spence et al. 2015). However we also emphasize here that, although the rainfall is  
255 increasing in the moist region like Lete Lekh, and it is stable in the semi-arid region like Chimang, if precipitation is  
256 increasingly falling as rainfall rather than snow, soil water recharge from snow could further decline. Hence even where  
257 overall precipitation is increased, the site might even be drier in the summer since water from rain flows away quickly  
258 while the water from snowmelt seeps gradually into the soil over a longer period (Müller et al. 2016). Consequently,  
259 accurate characterisation of snow fall is desirable to help understand if weaker spring precipitation and continuous  
260 warming will drive further decline of birch radial growth and vitality in the semi-arid region of Mustang.

### 261 **Temporal pattern of radial growth**

262 In mature forest stands, age-related trends of BAI are generally positive. BAI may continue to increase in healthy stands  
263 (LeBlanc 1992; Duchesne et al. 2003), or stabilize (LeBlanc et al. 1992), but it does not show a decreasing trend until  
264 trees begin to senesce or unless trees are subject to significant growth stress (LeBlanc 1992; Weiner & Thomas 2001;  
265 Duchesne et al. 2003, Jump et al. 2006). Our results showed that the BAI of birch at MT1 and MT2 typically followed a  
266 sigmoidal pattern as it increased rapidly from young to middle age and remained almost stable during period of middle  
267 and older age as described by Spiecker et al. (1996) and Weiner and Thomas (2001). We report abruptly decreasing BAI  
268 of birch trees in the semi arid timberline (DT) over recent decades in comparison to that of relatively moist treeline  
269 (MT). The negative influence of warm-day conditions ( $T_{max}$ ) to radial growth also indicated that the semi-arid high  
270 mountain biota is experiencing temperature induced drought stress (Fig. 5c,f). This finding emphasises that tree growth  
271 and productivity in the semi-arid high mountains might further decline under the projected warming scenario (IPCC  
272 2013). The contrasting growth trend of birch at moist treeline and semi-arid timberline sites showed the strong influence  
273 of precipitation associated with increasing warm-day conditions. The decrease of BAI at DT should be interpreted with  
274 some caution, however, because of lower canopy exposure at DT than MT, and potentially higher competition between  
275 trees in this semi-arid zone. In contrast, MT is characterized by comparatively less competition and a more open canopy  
276 (Spiecker et al. 1996), which might contribute to increasing/stable BAI at MT. Furthermore, nutrient availability, soil  
277 quality, and topographic exposure also play important roles in modifying local growth patterns of birch forests in the  
278 region (Müller et al. 2016). Nonetheless, given the growth-climate relationships identified, we believe that structural  
279 differences and potential localized site quality variation between the treelines do not adequately explain BAI decline at

280 DT, since this site would be expected to show lower BAI with lower individual tree resource availability but not  
281 declining BAI over time.

282 Consequently, we interpret our results as demonstrating that declining BAI at the semi-arid site is an early  
283 signal of growth decline at DT over the recent decades, due to increasing drought stress. Although growth climate  
284 analysis revealed DT to be more sensitive to precipitation than temperature, we emphasize that the increasing  
285 temperature in the future (IPCC, 2013) would further intensify any temperature induced drought stress in the region. *B.*  
286 *utilis* is an important early successional species in the Himalayan treelines (Shrestha et al. 2007) and therefore a highly  
287 significant species in terms of forest ecosystems in the Himalayan highlands, declining growth in this species might alter  
288 competitive relationships and hence future forest composition at the lower range edge in the semi-arid forest of Chimang  
289 Lekh.

## 290 **Conclusion**

291 The spatial variability of climate in the interior of high mountain regions like the Himalayas is high due to interactions of  
292 complex topography and rain shadow effects. Although climate warming is generally expected to have an ameliorating  
293 influence on plant growth at high elevation, leading to upward elevational shifts of tree species, the positive influence of  
294 increasing temperature is not always universal in such regions. Strong gradients of precipitation over a short distances  
295 result in high altitude forests showing varying sensitivity to temperature and moisture. We find that spring precipitation  
296 and temperature is critical for radial growth of Himalayan birch, yet precipitation is more important than temperature at  
297 drier sites. Birch radial growth declined significantly at the dry site investigated here, where the rainfall trend is almost  
298 stable despite increasing temperatures over the recent decades. No such growth decline is seen in the wet site, where  
299 moisture availability remains adequate. Decreasing birch radial growth at its lower range edge demonstrates the negative  
300 influence of increasing temperatures in contrast with the moist upper treeline. Our results highlight the strongly spatially  
301 variable response of Himalayan birch to elevated temperatures and changing precipitation patterns in the region and can  
302 refine our understanding of likely responses of mountain forests to climate change.

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