

Land cover classification of Lago Grande de Curuai floodplain (Amazon, Brazil) using multi-sensor and image fusion techniques

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ABSTRACT

Given the limitations of different types of remote sensing images, automated land-cover classifications of the Amazon várzea may yield poor accuracy indexes. One way to improve accuracy is through the combination of images from different sensors, by either image fusion or multi-sensor classifications. Therefore, the objective of this study was to determine which classification method is more efficient in improving land cover classification accuracies for the Amazon várzea and similar wetland environments - (a) synthetically fused optical and SAR images or (b) multi-sensor classification of paired SAR and optical images. Land cover classifications based on images from a single sensor (Landsat TM or Radarsat-2) are compared with multi-sensor and image fusion classifications. Object-based image analyses (OBIA) and the J.48 data-mining algorithm were used for automated classification, and classification accuracies were assessed using the kappa index of agreement and the recently proposed allocation and quantity disagreement measures. Overall, optical-based classifications had better accuracy than SAR-based classifications. Once both datasets were combined using the multi-sensor approach, there was a 2% decrease in allocation disagreement, as the method was able to overcome part of the limitations present in both images. Accuracy decreased when image fusion methods were used, however. We therefore concluded that the multi-sensor classification method is more appropriate for classifying land cover in the Amazon várzea.

KEYWORDS: wetlands, remote sensing, synthetic aperture radar.

Classificação da cobertura da terra na planície de inundação do Lago Grande de Curuai (Amazônia, Brasil) utilizando dados multisensor e fusão de imagens

RESUMO

Dadas as limitações de diferentes tipos de imagens de sensores remotos, classificações automáticas do uso e cobertura do solo na várzea Amazônica podem resultar em índices de acurácia insatisfatórios. Uma das maneiras de melhorar esses índices é através da combinação de dados de distintos sensores, por fusão de imagens ou através de classificações multi-sensores. Desta forma, o presente estudo teve o objetivo de determinar qual método de classificação é mais eficiente em melhorar os índices de acurácia das classificações do uso e cobertura do solo para a várzea Amazônica e áreas úmidas similares – (a) a fusão sintética de imagens SAR e ópticas ou (b) a classificação multi-sensor de imagens ópticas e SAR pareadas. Classificações da cobertura do solo com base em imagens de um único sensor (Landsat TM ou Radarsat-2) foram comparadas com as classificações multi-sensor e classificações baseadas em fusão de imagens. A análise de imagens baseada em objetos (OBIA) e o algoritmo de mineração de dados J.48 foram utilizados para realizar a classificação automática, cuja precisão foi avaliada com o índice kappa e com as medidas de discordância de alocação e de quantidade, recentemente propostas na literatura. Em geral, as classificações baseadas em imagens ópticas apresentaram melhor precisão do que as classificações baseadas em dados SAR. Uma vez que ambos os conjuntos de dados foram combinados em uma abordagem multi-sensores, houve uma redução de 2% no erro de alocação da classificação, uma vez que o método foi capaz de superar parte das limitações presentes em ambas as imagens. Contudo, a precisão diminuiu quando foram usados métodos de fusão de imagens. Concluiu-se que o método de classificação multi-sensor é mais apropriado para classificações de uso do solo na várzea amazônica.

PALAVRAS-CHAVE: áreas úmidas, sensoriamento remoto, radar de abertura sintética.

INTRODUCTION

Wetlands are important ecosystems, hosting unique biodiversity and providing essential environmental services, as well as acting as sources or sinks of greenhouse gases (Mitch and Gosselink 2007). The Amazon region contains some of the largest wetland areas in the world, estimated at about 800 thousand km² (Melack and Hess 2007). These areas are extensively vegetated, support large animal and botanical diversity and are a significant component in the cycling of carbon and other nutrients (Junk 1997).

Given the extent and importance of the Amazonian wetlands, remote sensing is a valuable tool for acquiring information on ecosystem conditions and landscape evolution, and for supporting resource management and environmental monitoring in a synoptic and systematic manner (Hess *et al.* 1995).

The intense and near-constant cloud cover in the tropics severely impairs the acquisition of optical remote sensing data. Synthetic aperture radar (SAR) sensors are relatively insensitive to atmospheric conditions, being an important alternative to optical remote sensing for Amazonian and other tropical landscapes. However, the combination of speckle effects and the limited number of SAR wavelengths and polarizations in a single SAR image can often result in poor discrimination among land cover classes (Hess *et al.* 1995; Silva *et al.* 2010). For this reason, wetlands SAR classification often relies on multi-temporal imagery (Hess *et al.* 2003; Martinez and Le Toan 2007; Silva *et al.* 2010), multi-polarized or polarimetric information (Touzi *et al.* 2009; Sartori *et al.* 2011), or a multi-frequency approach (Evans *et al.* 2010; Evans *et al.* 2013).

Integrating data from multiple sensors is an alternative approach: optical and SAR sensors acquire complementary information by operating at different regions of the electromagnetic spectrum, and its integration can lead to more accurate information for landscape classification (Pohl and Genderen 1998; Luo *et al.* 2002; Zhang 2010). This integration can be achieved using two different methods: image fusion or multi-sensor integration. The first method combines the data contained in two or more image bands to form a new synthetic image or group of images. The second method combines n images in n different layers algorithmically, without creating a new set of images (Pohl and Genderen 1998; Luo *et al.* 2002). Several studies have assessed the effectiveness of integrating multi-sensor imagery to land-cover mapping (Castañeda and Ducrot 2009; Li and Chen 2005; Zhu and Tateishi 2006). Data from different sensors can be integrated using Object Based Image Analysis (OBIA) (Benz *et al.* 2004; Blaschke 2010), which has been shown to be an effective method for wetland classification

of combined optical and SAR imagery (Silva *et al.* 2010; Santiago *et al.* 2013).

Therefore, the objective of this study was to determine which classification method is more efficient in improving land cover classification accuracies for the Amazon várzea and similar wetland environments - (a) synthetically fused optical and SAR images or (b) multi-sensor classification of paired SAR and optical images.

MATERIALS AND METHODS

Study Area

The Lago Grande de Curuai floodplain is located south of the town of Óbidos, in the state of Pará (Brazil), about 900 km from the Amazon river mouth (central coordinate: 2.05°S, 55.5°W). The selected study area comprises a subset of this floodplain, which includes a representative proportion of its main vegetation types (Figure 1). The high water season occurs in June and July, and the low water season occurs in November and December.

Data Acquisition

One dual-polarized Radarsat-2 (RS2, HH / HV) and a mosaic of two Landsat/Thematic Mapper (TM) scenes were employed in the study. The RS2 image was obtained through the Science and Operational Applications Research (SOAR) program of the Canadian Space Agency (CSA). This image was acquired at Standard 1 (S1) imaging mode, with an incidence angle of 23.5° and 12.5 meters of pixel spacing. Acquisition date was 2011-07-06 (yyyy-mm-dd). TM images were acquired from the National Institute for Space Research (INPE) database, freely available at <http://www.dgi.inpe.br/>, for WRS-2 path 228 and rows 061 and 062. A high water season TM image was acquired for the date of 2008-07-16. Due to cloud-cover, it was not possible to obtain Landsat/TM imagery from the same year as the RS2 image. A low water season TM image was acquired for the date of 2009-10-07, for validation purposes. Other sources of imagery such as Google Earth™, Nokia Here™, and georeferenced field photographs acquired by Arnesen *et al.* (2013) were also used for validation purposes.

A digital elevation model (DEM) obtained by the Shuttle Radar Topographic Mission (SRTM) was used for orthorectifying the SAR scenes. The SRTM DEM was downloaded from the Consortium for Spatial Information (CGIAR – CSI) website, at <http://srtm.csi.cgiar.org/>, version 4.1. It has 90 m in spatial resolution and ~16 m in vertical accuracy.

Image Processing and classification

SAR data preprocessing consisted in (a) orthorectification of SAR images and (b) radiometric calibration to backscattering coefficients (σ^0) in amplitude linear scale.

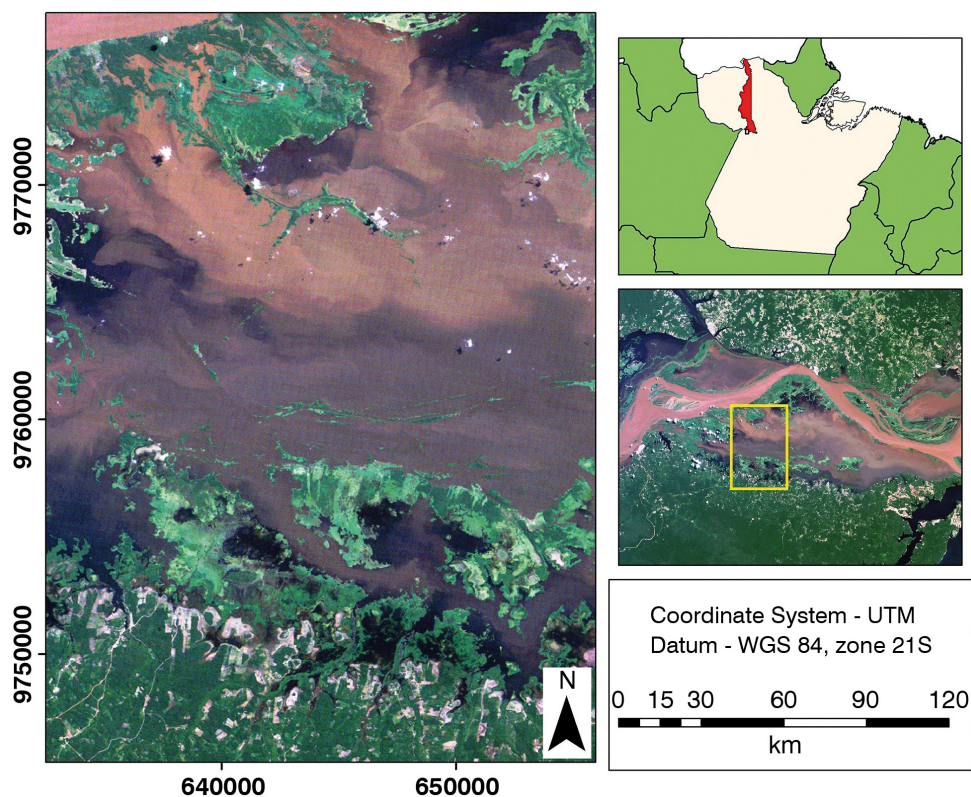


Figure 1. Lago Grande de Curuai floodplain, Amazon, Brazil. Upper right corner: Location of Pará state and the city of Óbidos. The selected study area is represented by the yellow square on the lower right image. Background: Landsat 5 TM image R5G4B3 color composition. Please refer to the online version of this paper for the colored version of this figure.

Orthorectification was executed using the Radar Specific Model implemented in PCI Geomatica v.10.3 Ortho Engine. Positional errors after orthorectification were under 0.5 pixel, and all images (including Landsat/TM) were georeferenced to the UTM coordinate system and WGS-84 datum, using an USGS Orthorectified ETM+ Geocover image as reference (<http://glcfapp.glcf.umd.edu:8080/esdi/>).

The enhanced Frost adaptive filter was applied to all SAR bands to reduce the speckle effect, using a single pass of a 3x3 window. All SAR scenes were converted from 16 to 8 bits after filtering to allow the use of the IHS fusion method. For this conversion, the SAR image histogram for both HH and HV channels was truncated between 0 and 1, with values linearly redistributed to 0 – 255. The 0-1 range contained about 99% of the original image information.

For optical data, a Principal Component Analysis (PCA) was applied to synthesize the information contained on the six original TM bands, optimizing the IHS fusion (Pohl and Genderen 1998). The first three PCA components were fused with the RS2 imagery using the IHS fusion method. This method starts by transforming an RGB color composite into

Intensity, Hue and Saturation images. The fusion is then obtained by (1) replacing the original intensity image by the SAR image, after a statistical transformation to match its mean and variance to the original intensity band, (2) resampling both hue and saturation images to match the SAR pixel size and (3) applying the reverse IHS to RGB transformation. The TM - PCA images were fused separately to the HH and HV polarizations of the Radarsat-2 scene, using the IHS method as implemented in ENVI 4.7.

All images were segmented using the multi-resolution algorithm implemented on eCognition 8.0 (Benz *et al.* 2004). Each group of images (TM only; SAR only; fused images and multi-sensor image set) used optimized segmentation parameters in order to maximize object detection and feature extraction in each group. For the TM only dataset, a scale parameter of 15 was used; the SAR only used a scale parameter of 40; the fusion dataset used a scale parameter of 35 and a scale parameter of 20 was used for the multi-sensor dataset. All datasets used 0.1 and 0.5 for shape and compactness parameters, respectively.

Seven land-cover classes were defined: (1) *Floodable Forest*, comprising tree vegetation growing on higher floodplain areas and subject to shorter seasonal flooding periods; (2) *Upland Forest*, comprising tree vegetation not subjected to seasonal flooding; (3) *Shrubs*, comprising shrubs and early succession arboreal vegetation, with sparse canopies and low height, subject to longer seasonal flooding; (4) *Emergent Macrophytes*, comprising herbaceous plant communities dominated by palustrine grasses, with high biomass and density levels, subjected to longer seasonal flooding periods; (5) *Floating Macrophytes*, comprising free floating herbaceous vegetation with lower biomass and/or sparse canopies; (6) *Bare Soil*, comprising areas of bare soil, sparse grasses or exposed lake substrate; and (7) *Open Water*, comprising free water surfaces.

After segmentation, training samples were collected from the resulting objects, for each image set and land cover class. Location and extent of the training samples were kept as similar as possible for all four image groups, varying only slightly in their relative area due to differences in segmentation results and to the spatial extent of each class on the scenes.

The object features used for training the classification algorithm were the median pixel value per object and texture attributes. The standard deviation of pixel values was not chosen as it is strongly correlated with texture attributes, and the median was chosen instead of the mean because of its lesser sensitivity to extreme pixel values. The texture attributes used in the present study were calculated using the eCognition 8.0 implementation of Haralick textures, in turn based on Haralick *et al.* (1973). The texture attributes used on this paper were *GLCM Homogeneity*, *GLCM Dissimilarity*, *GLCM Entropy* and *GLCM Correlation*, calculated on all directions.

Given the dynamics of the várzea landscape over the three years spanned between TM and RS2 image acquisitions, transient classes such as *Emergent Macrophytes* and *Floating Macrophytes* varied spatially between the two set of images. The mismatches were therefore manually excluded from all image sets, to avoid allocation and proportion errors when evaluating the classifications.

The Weka 3.6.10 data-mining software (<http://www.cs.waikato.ac.nz/ml/weka/>) was used to build the decision trees, using the J.48 algorithm. This is a non-parametric algorithm based on information gain. Please refer to Witten and Frank (2005) for a detailed description of the algorithm.

To assess classification accuracies, 420 random validation points were used to calculate the kappa index of agreement (Congalton 1991) and the recently proposed quantity disagreement (QD) and allocation disagreement (AD) indexes (Pontius and Millones 2011). QD measures the

mean percentage of super- or sub-estimated class areas and AD measures the percentage of misplaced objects in each mapped class. For further details on these indexes, please refer to Pontius and Millones (2011).

RESULTS

Overall Classification

The highest kappa (K) values and smallest allocation disagreement (AD) values were obtained by TM-PCA and multi-sensor classifications (0.6 and 0.61; 12.9% and 11% respectively), followed by fusion classification (0.55 and 19.9%) and SAR-only classification (0.5 and 15.7%) (Table 1 and Figure 2).

The fusion between RS2 and TM-PCA increased AD from 12.9% to 19.9% but reduced quantity disagreement (QD) (13.7% to 10.3%). However, QD can be unrealistically lowered if allocation errors compensate each other (Pontius and Millones 2011). Therefore, we do not believe that image fusion improved classification results. Multi-sensor classification, on the other hand, improved both AD and QD, compared to optical-only and SAR-only classifications. AD was slightly improved (12.9 to 11%) and QD did not change in relation to the TM-PCA classification, while AD and QD were both improved from the SAR-only classification, from 15.7 to 11% and from 18 to 14.1%, respectively.

Accuracy assessment per class

There were large overlaps among all vegetation classes on the TM-PCA classification, and between *Shrubs* and *Emergent Macrophytes* or *Floodable Forest* and *Upland Forest* on the RS2 classification. The multi-sensor approach was able to decrease the overall AD for the *Upland Forest*, *Floodable Forest* and *Floating Macrophytes* classes, the QD for the *Emergent Macrophytes* class and both AD and QD for *Shrubs*, when compared to the RS2 and TM-PCA classifications. The fusion-based classification, on the other hand, increased AD for almost all vegetation classes, with the exception of *Shrubs* (Figures 3 and 4 and Tables 2 to 5).

Table 1. Kappa, allocation and quantity disagreement indexes for optical-based (TM-PCA), SAR-based (RS2), fusion-based and multi-sensor classifications of land cover in the Lago Grande de Curuai floodplain (Amazon, Brazil).

Classifications	Kappa index	Allocation Disagreement (%)	Quantity Disagreement (%)
TM - PCA	0.60	12.9	13.7
RS2	0.50	15.7	18.0
Fusion	0.55	19.9	10.3
Multi-sensor	0.61	11.0	14.1

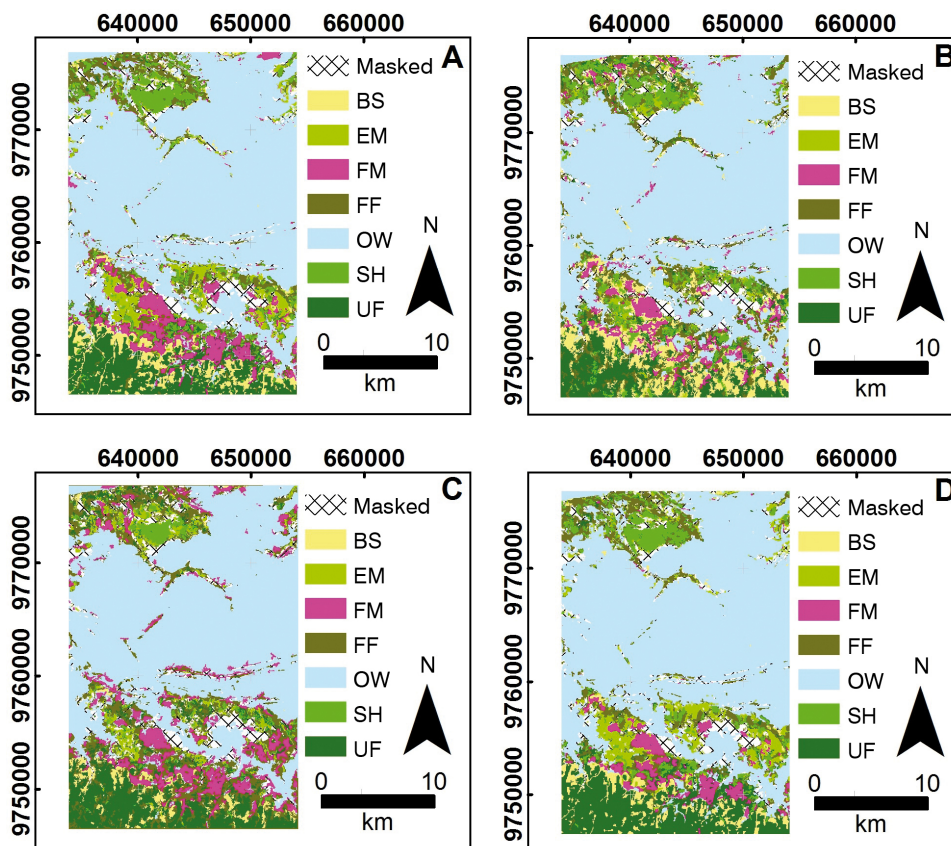


Figure 2. Land cover classification results for Lago Grande de Curuai. The blank polygons are areas excluded due to temporal differences in land cover between TM and RS2 image acquisitions. The classifications are (A) TM-PCA; (B) RS2; (C) Fusion and (D) Multi-sensor. BS: Bare Soil; EM: Emergent Macrophytes; FM: Floating Macrophytes; FF: Floodable Forest; OW: Open Water; SH: Shrubs; UF: Upland Forest. Please refer to the online version of this paper for the colored version of this figure.

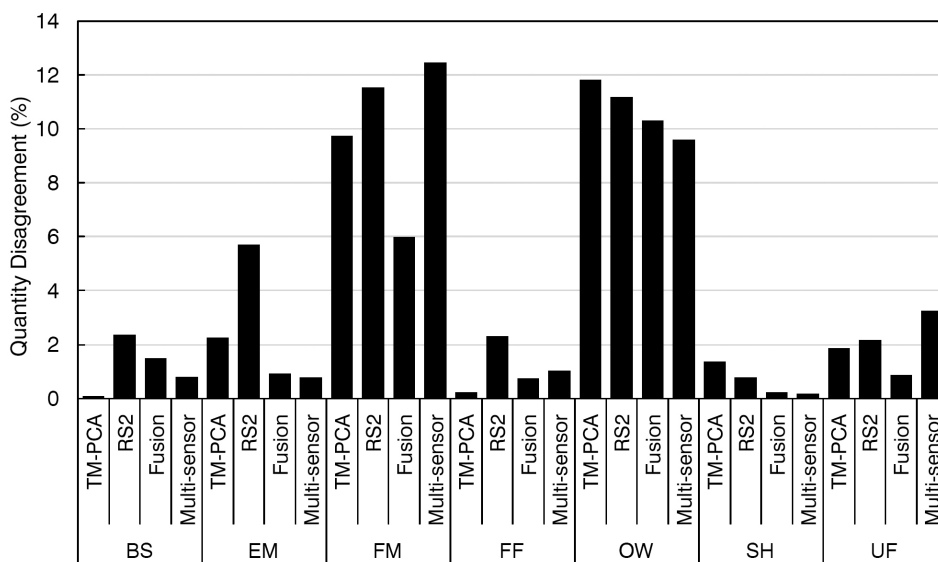


Figure 3. Quantity disagreements for all classes of optical-based (TM-PCA), SAR-based (RS2), fusion-based and multi-sensor classifications of land cover in the Lago Grande de Curuai floodplain (Amazon, Brazil). BS: Bare Soil; EM: Emergent Macrophytes; FM: Floating Macrophytes; FF: Floodable Forest; OW: Open Water; SH: Shrubs; UF: Upland Forest.

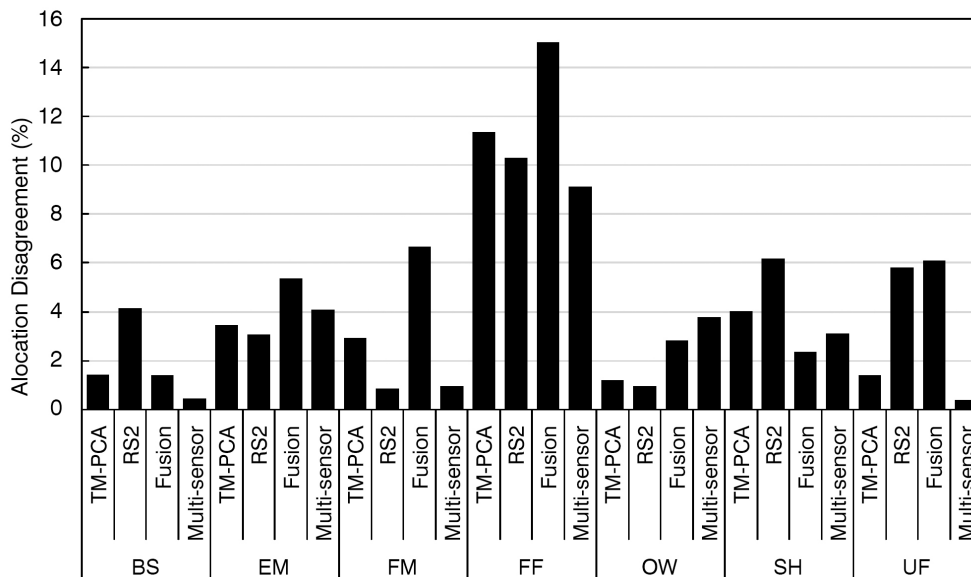


Figure 4. Allocation disagreements for all classes of optical-based (TM-PCA), SAR-based (RS2), fusion-based and multi-sensor classifications of land cover in the Lago Grande de Curuai floodplain (Amazon, Brazil). BS: Bare Soil; EM: Emergent Macrophytes; FM: Floating Macrophytes; FF: Floodable Forest; OW: Open Water; SH: Shrubs; UF: Upland Forest.

Table 2. Confusion matrix for the optical-based (TM-PCA) classification of land cover in the Lago Grande de Curuai floodplain (Amazon, Brazil). BS: Bare Soil; EM: Emergent Macrophytes; FM: Floating Macrophytes; FF: Floodable Forest; OW: Open Water; SH: Shrubs; UF: Upland Forest.

		Reference							Total
		TM - PCA	BS	EM	FM	FF	OW	SH	
Estimate	BS	40	1	3	0	0	0	4	48
	EM	0	32	11	5	0	2	0	50
	FM	1	3	41	5	4	0	0	54
	FF	0	16	9	21	0	16	2	64
	OW	0	1	11	3	63	1	0	79
	SH	1	6	0	15	0	37	1	60
	UF	4	1	1	8	1	2	48	65
	Total	46	60	76	57	68	58	55	420

Table 3. Confusion matrix for the SAR based (RS2) classification) of land cover in the Lago Grande de Curuai floodplain (Amazon, Brazil). BS: Bare Soil; EM: Emergent Macrophytes; FM: Floating Macrophytes; FF: Floodable Forest; OW: Open Water; SH: Shrubs; UF: Upland Forest.

		Reference							Total
		RS2	BS	EM	FM	FF	OW	SH	
Estimate	BS	30	9	10	4	2	3	14	72
	EM	0	10	1	4	2	10	2	29
	FM	1	1	36	0	1	1	0	40
	FF	3	21	8	23	0	17	8	80
	OW	0	2	11	2	63	0	0	78
	SH	2	13	4	9	0	25	2	55
	UF	10	4	6	14	0	3	29	66
	Total	46	60	76	56	68	59	55	420

Table 4. Confusion matrix for the fusion-based (TM-PCA + RS2) classification of land cover in the Lago Grande de Curuai floodplain (Amazon, Brazil). BS: Bare Soil; EM: Emergent Macrophytes; FM: Floating Macrophytes; FF: Floodable Forest; OW: Open Water; SH: Shrubs; UF: Upland Forest.

		Reference							Total
		Fusion	BS	EM	FM	FF	OW	SH	
Estimate	BS	32	0	0	4	1	0	10	43
	EM	0	14	2	27	2	7	7	46
	FM	1	1	44	22	7	0	2	56
	FF	3	10	0	29	2	3	10	112
	OW	0	0	11	3	55	0	0	66
	SH	2	16	0	9	0	24	4	29
	UF	6	1	0	7	0	1	41	68
	Total	46	60	76	57	68	58	55	420

Table 5. Confusion matrix for the multi-sensor (TM-PCA + RS2) classification of land cover in the Lago Grande de Curuai floodplain (Amazon, Brazil). BS: Bare Soil; EM: Emergent Macrophytes; FM: Floating Macrophytes; FF: Floodable Forest; OW: Open Water; SH: Shrubs; UF: Upland Forest.

		Reference							Total
		Multi-sensor	BS	EM	FM	FF	OW	SH	
Estimate	BS	39	1	1	0	0	0	1	42
	EM	1	34	12	3	0	2	0	52
	FM	0	1	33	2	1	1	0	38
	FF	0	20	13	26	14	0	1	74
	OW	0	0	12	2	66	1	0	81
	SH	0	4	0	17	0	37	0	58
	UF	6	0	5	7	1	3	53	75
	Total	46	60	76	57	82	44	55	420

DISCUSSION

Overall classification

The better performance of the multi-sensor classification method over the fusion-based method can be attributed to the nature of the classification process. While fusion methods necessarily combine both the strengths and weaknesses of each image source into the final product, the multi-sensor approach allows the classification algorithm to choose, at each node of the decision tree, the image with the best capacity for class discrimination.

Wetland classification results from either multi-sensor or image fusion methods have been evaluated in the literature for tropical forested wetlands (Bwangoy *et al.* 2010; Silva *et al.* 2010; Rodrigues *et al.* 2011) and grass-dominated temperate wetlands (Li and Chen 2005; Castañeda and Ducrot 2009). For tropical environments, Silva *et al.* (2010) and Bwangoy *et al.* (2010) reported good results using the multi-sensor method, the first studying a wetland adjacent to our study site, in the Amazon várzea, and the second mapping forested wetlands in the Congo River basin. Rodrigues *et al.* (2011), on the other hand, reported good results using an image fusion approach to classify mangrove wetlands in the Amazon delta. The success of each method can likely be attributed to differences in vegetation structure and hydrological patterns among study sites, with an emphasis on achieving good results from multi-sensor classification of river floodplains. For grass-dominated temperate wetlands, however, fusion-based classification achieved the most accurate classifications, while the combination of SAR and optical data using a multi-sensor approach worsened classification accuracies (Li and Chen 2005; Castañeda and Ducrot 2009). Again, these diverging results may be linked to the different vegetation physiognomies, which will interact differently with the electromagnetic spectrum (Henderson and Lewis 2008). Furthermore, despite all using object-based classifications, each study has used a different classification algorithm, hindering direct comparisons between studies.

Accuracy assessment per class

The increased confusion between vegetation classes in the TM-PCA classification occurred mainly due (1) the limited penetration power of optical wavelengths on dense plant canopies, such as *Shrubs*, *Floodable Forests* and *Upland Forests*, and (2) the spectral similarities between flooded and non-flooded vegetation (Silva *et al.* 2008). The confusion between *Floating Macrophytes* and *Open Water* classes can also be explained by their spectral similarity: under low biomass/density conditions, the floating vegetation is sparsely distributed and the exposed water surfaces strongly reduce the radiometric signal for the TM-PCA images (Silva *et al.* 2008).

The errors observed on RS2-based classifications can be partially explained by (a) the difficulty in generating proper image objects through segmentation, given the effects of radar speckle and (b) overlapping class responses, due to the C-band signal saturation on dense vegetation canopies, mainly observed for *Floodable Forest* and *Upland Forest* classes (Hess *et al.* 1995; Silva *et al.* 2010). In addition, SAR backscattering can be increased by Bragg scattering on windy water surfaces, reaching similar levels to the ranges observed by *Floating Macrophytes*, increasing the confusion between open water and vegetation classes.

Multi-sensor classification reduced the confusion between vegetation classes by being able to choose, at each node of the decision tree, the image/band with best class separability. For example, the confusion between flooded vegetation classes (mainly *Shrubs* and *Floodable Forest*) and *Upland Forest* was decreased by choosing the SAR image, where the increased occurrence of double-bounce backscattering on flooded vegetation best separates it from non-flooded forest (Hess *et al.* 1995). On the other hand, in situations where the C-band SAR has poor separability (such as between *Emergent Macrophytes* and *Shrubs*), the PCA-TM image offered better separability. On fused images, however, all images are merged into a new set of synthetic images, limiting opportunities for reducing confusion among classes.

CONCLUSIONS

We have shown that the combination of optical and SAR images using a multi-sensor approach yielded the highest accuracy indexes and was able to overcome some of the specific limitations of each type of image. Although the fusion-based classification yielded a higher apparent accuracy for area estimates (smallest quantity disagreement), this accuracy was biased by error compensation between allocation errors for different classes. Therefore, we conclude that multi-sensor classification is the best approach for combining optical and SAR data to classify land use and land cover in Amazon várzeas, and support previous studies in suggesting that multi-sensor classification may be the best approach to map tropical forested wetlands.

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