

1 **Title: Improving species distribution models for invasive non-**  
2 **native informed pseudo-absence selection** **species with biologically-**

3 **Running title:** Invasive species distribution models

4 **Authors:** Daniel Chapman<sup>1</sup>, Oliver L. Pescott<sup>2</sup>, Helen E. Roy<sup>2</sup>, Rob Tanner<sup>3</sup>

5 **Institutional affiliations:**

6 1 UKRI Centre for Ecology & Hydrology, Edinburgh EH26 0QB, UK

7 2 UKRI Centre for Ecology & Hydrology, Wallingford OX10 8BB, UK

8 3 European and Mediterranean Plant Protection Organisation, 21 Boulevard Richard Lenoir, 75011 Paris,  
9 France

10 **Corresponding author:** Daniel Chapman

11 **Email addresses:** Daniel Chapman [dcha@ceh.ac.uk](mailto:dcha@ceh.ac.uk), Oliver L. Pescott [olipes@ceh.ac.uk](mailto:olipes@ceh.ac.uk), Helen Roy  
12 [hele@ceh.ac.uk](mailto:hele@ceh.ac.uk), Rob Tanner [rt@eppo.int](mailto:rt@eppo.int)

13 **Acknowledgements:** This research was funded by European Union Life Programme Preparatory project  
14 LIFE15 PRE/FR/000001. We thank the Expert Working Groups who performed EPPO Pest Risk Analyses  
15 for the five study species and provided us with data and species information to build our models.

16 **Abstract**

17 **Aim:** We present a novel strategy for species distribution models (SDMs) aimed at predicting the potential  
18 distributions of range-expanding invasive non-native species (INNS). The strategy combines two  
19 established perspectives on defining the background region for sampling ‘pseudo-absences’ that have  
20 hitherto only been applied separately. These are the accessible area, which accounts for dispersal  
21 constraints, and the area outside the environmental range of the species and therefore assumed to be  
22 unsuitable for the species. We tested an approach to combine these by fitting SDMs using background  
23 samples (pseudo-absences) from both types of background.

24 **Location:** Global

25 **Taxon:** Invasive non-native plants: *Humulus scandens*, *Lygodium japonicum*, *Lespedeza cuneata*, *Triadica*  
26 *sebifera*, *Cinnamomum camphora*

27 **Methods:** Presence-background (or presence-only) SDMs were developed for the potential global  
28 distributions of five plant species native to Asia, invasive elsewhere and prioritised for risk assessment as  
29 emerging INNS in Europe. Models where ‘pseudo-absences’ were selected only from the accessible  
30 background were compared to models based on accessible and unsuitable domains, with the latter defined  
31 using biological knowledge of the species’ key limiting factors.

32 **Results:** Combining the unsuitable and accessible backgrounds expanded the range of environments  
33 available for model fitting and caused biological knowledge about ecological unsuitability to influence the  
34 fitted species-environment relationships. This improved the realism and accuracy of distribution projections  
35 globally and within the species’ ranges.

36 **Main conclusions:** Correlative SDMs remain valuable for INNS risk mapping and management, but are  
37 often criticised for a lack of biological underpinning. Our approach partly addresses this criticism by using  
38 prior knowledge of species’ requirements or tolerances to define the unsuitable background for modelling,  
39 while also accommodating dispersal constraints through considerations of accessibility. It can be

40 implemented with current SDM software and results in more accurate and realistic distribution projections.

41 As such, wider adoption has potential to improve SDMs that support INNS risk assessment.

42 **Keywords:** Biomod; climate envelope; ecological niche model; invasive alien species; Maxent; pest risk

43 assessment; presence-absence; presence-only; presence-background; pseudo-absence.

## 44 **Introduction**

45 Human transport of species beyond their native ranges, leading to biological invasions, is an important  
46 driver of ecological change, impacting biodiversity and ecosystem function (Vilà et al., 2011). Decision  
47 making about the control and management of invasive non-native species (INNS) is often underpinned by  
48 scientific risk assessments, and species distribution models (SDM) are increasingly seen as a valuable tool  
49 for this (Jeschke & Strayer, 2008; Václavík & Meentemeyer, 2009; Jiménez-Valverde et al., 2011). The  
50 purpose of SDMs applied in this context is to generate risk maps that predict the potential distribution of  
51 an INNS as a function of climate and other environmental gradients (Jiménez-Valverde et al., 2011).  
52 Specifically, these represent the relative likelihood of establishment should the species be introduced or  
53 disperse to each location in the modelled landscape (Elith, 2013). Risk maps can be used for prioritisation  
54 of surveillance and management (Peterson & Robins, 2003; Gormley et al., 2011), to estimate the potential  
55 spread of emerging INNS in current and future climates (Jiménez-Valverde et al., 2011; Branquart et al.,  
56 2016) and to understand the biological and anthropogenic mechanisms governing invasions (Broennimann  
57 et al., 2007; Chapman et al., 2014, 2017; Storkey et al., 2014). Clearly, there is a need for robust and  
58 accessible SDM tools and methods to ensure the most accurate possible estimation of the potential  
59 distributions of INNS.

60 Species prioritised for risk assessment in one area have typically already established invasive non-native  
61 distributions in other parts of the world (Roy et al., 2014; Branquart et al., 2016; Tanner et al., 2017)  
62 necessitating global-scale models and the pooling of distribution data from native and already-invaded  
63 ranges (Broennimann & Guisan, 2008; Mainali et al., 2015). Unfortunately species' distributions are rarely  
64 documented comprehensively at the spatial resolutions of SDMs (Boakes et al., 2010). Therefore, global-  
65 scale models are typically developed using statistical algorithms that contrast the environmental conditions  
66 where the species is known to occur with those at 'pseudo-absence' locations sampled from a background  
67 domain specified by the modeller. Such SDMs are often referred to as presence-only models (Pearce &  
68 Boyce, 2006) but we use the term presence-background to differentiate them from 'one-case' or true

69 presence-only models that use only the species presences and not the background (Guillera-Arroita et al.,  
70 2015). We also differentiate the ‘pseudo-absence’-based presence-background models that are the focus of  
71 this study from point process models for species distributions (Warton & Shepherd, 2010). Point process  
72 models generalise presence-background models on a more formal statistical basis. However, to our  
73 knowledge they are not suitable for grid cell-resolution distribution data, have not been applied for global-  
74 scale modelling of INNS and are far less commonly used than well-known presence-background models  
75 such as Maxent (Phillips et al., 2008) or the regression and machine learning approaches implemented  
76 through software platforms such as Biomod (Thuiller et al., 2009, 2016).

77 One important issue when fitting presence-background models to INNS distribution data is that their global  
78 distributions are by definition in a non-equilibrium state and are structured by both the species’  
79 environmental tolerances and natural and anthropogenic dispersal constraints (Václavík & Meentemeyer,  
80 2009; Gallien et al., 2010; Chapman et al., 2016). As a consequence, there are suitable but unoccupied  
81 regions in which climatic and environmental conditions would permit establishment by the species, but  
82 where invasion has not been realised through dispersal. If such regions are included in the background  
83 domain, then the model will conflate lack of presence of the species due to dispersal constraints with a lack  
84 of presence due to environmental unsuitability, potentially biasing the species-environment relationships  
85 and the prediction of potential distributions. Current approaches to reduce this bias emphasise restricting  
86 the background domain to an ‘accessible area’ within dispersal range of the occurrences (Barve et al., 2011;  
87 Elith, 2013; Mainali et al., 2015). Although likely to lessen dispersal biases in presence-background models,  
88 we suggest this may be overly restrictive for modelling aimed at risk mapping. If background samples are  
89 only drawn in close proximity to the occurrences then the range of environmental conditions used to train  
90 the model may be insufficient to fully characterise species-environment relationships, impeding the transfer  
91 of predictions into other regions (Thuiller et al., 2004; Fitzpatrick & Hargrove, 2009).

92 Here, we propose a biologically-informed approach to improve presence-background models for highly  
93 dispersal-limited species, such as those undergoing invasive range expansion. The goal is to exclude

94 suitable but unoccupied regions while also maximising the range of environmental conditions used to train  
95 the model. As such, we combine two familiar types of background domain – an accessible background in  
96 proximity to species’ occurrences (Barve et al., 2011; Mainali et al., 2015) and an unsuitable background  
97 outside the environmental envelope of the species (Thuiller et al., 2004; Chefaoui & Lobo, 2007; Le Maitre  
98 et al., 2008). Those previous studies have tested both types of background in isolation, but the novel  
99 contributions of this study are to combine both types of background, and to emphasise the definition of the  
100 unsuitable background using biological knowledge of key limiting factors for the species, e.g. places that  
101 do not reach minimum growing temperatures or exceed maximum drought tolerance. By modelling the  
102 global distributions of five invasive non-native plants we demonstrate that this constrains the presence-  
103 background models to fit more biologically plausible response functions and increases the accuracy of  
104 distribution projections.

## 105 **Methods**

### 106 *Overview*

107 Our aim was to compare global-scale presence-background SDMs for INNS developed using background  
108 domains defined in the standard way (as only the accessible region sensu Barve et al., 2011) or through our  
109 proposed new approach of combining accessible and unsuitable background regions (Figure 1-2). Models  
110 were developed to predict the potential distributions of five plant species that are native to temperate and  
111 tropical east Asia, highly invasive in other parts of the world and have been prioritised for risk assessment  
112 as potentially-emerging invasive non-native plant species in Europe (Branquart et al., 2016; Tanner et al.,  
113 2017). The species represent a range of life histories including an annual climbing vine (*Humulus*  
114 *scandens*), a perennial climbing fern (*Lygodium japonicum*), a perennial semi-woody forb (*Lespedeza*  
115 *cuneata*), a deciduous tree (*Triadica sebifera*) and an evergreen tree (*Cinnamomum camphora*).

### 116 *Data for modelling*

117 Species occurrences were obtained from a range of sources including Global Biodiversity Information  
118 Facility (GBIF), USGS Biodiversity Information Serving Our Nation (BISON), Integrated Digitized  
119 Biocollections (iDigBio), iNaturalist, Early Detection and Distribution Mapping System (EDDMapS) and  
120 from the members of the European and Mediterranean Plant Protection Organisation (EPPO) expert  
121 working groups conducting Pest Risk Analyses for the region. With these experts, we scrutinised  
122 occurrence records and removed any that appeared dubious, casual or cultivated (e.g. botanic gardens) or  
123 where the georeferencing was too imprecise (e.g. country or island centroids). The remaining records were  
124 gridded at a 0.25 x 0.25 degree resolution for global modelling. As a proxy for plant recording effort, the  
125 total number of vascular plant records (phylum Tracheophyta) per grid cell was also obtained from GBIF  
126 (see Appendix S1 in Supporting Information).

127 Three predictor variables, derived from WorldClim v1.4 (Hijmans et al., 2005), were selected to represent  
128 basic constraints on plant distributions. These were mean temperature of the warmest quarter (Bio10, °C)  
129 reflecting the growing season thermal regime, mean minimum temperature of the coldest month (Bio6, °C)  
130 reflecting exposure to winter cold and the climatic moisture index (CMI, ratio of annual precipitation,  
131 Bio12, to potential evapotranspiration, then  $\ln + 1$  transformed) reflecting drought stress. Potential  
132 evapotranspiration was estimated following Zomer et al. (2008).

### 133 *Definition of the background domains*

134 Background samples (pseudo-absences) were drawn from two distinct regions – an accessible region and a  
135 region considered to be environmentally unsuitable for the species based on knowledge of its tolerances or  
136 requirements (Figures 1 and 2). Though both types of background represent established concepts within  
137 distribution modelling, to our knowledge, this is the first study to test whether modelling is improved by  
138 combining both types of background domain.

139 The accessible background attempts to cover only the region where the species has had opportunity to  
140 disperse and sample the environment (Thuiller et al., 2004; VanDerWal et al., 2009; Barve et al., 2011;

141 Mainali et al., 2015). It has generally been defined as a zone around the occurrence data, which could be  
142 selected statistically or informed by dispersal abilities of the species (Elith, 2013; Senay et al., 2013). For  
143 invasive non-native species, the size of the accessible region will generally be more limited in the invaded  
144 range than the native one, assuming stronger dispersal constraints associated with shorter residence time  
145 (Mainali et al., 2015). In our application, we defined the native accessible areas using a 400 km geodesic  
146 buffer around the minimum convex polygon bounding all native occurrences (Figure 1a). In the non-native  
147 region, we used a conservative 4-cell neighbourhood around each occurrence grid cell, equivalent to a ~30  
148 km buffer (Figure 1b). Though somewhat arbitrary, these buffer sizes are consistent with ones performing  
149 well in other presence-background SDM studies (VanDerWal et al., 2009; Mainali et al., 2015), and a  
150 sensitivity analysis showed model outputs were not strongly influenced by the choice of native buffer size  
151 (see Appendix S5).

152 The unsuitable background concept originates from existing ideas about sampling pseudo-absences only  
153 outside of the environmental envelope in which species' presences are found (Thuiller et al., 2004; Chefaoui  
154 & Lobo, 2007; Le Maitre et al., 2008; Senay et al., 2013). The rationale is to produce training datasets that  
155 maximise the distinctiveness of suitable environmental conditions from the background and therefore boost  
156 the model discrimination. However, it may also reduce model accuracy within the environmental and  
157 geographical range of the species (Acevedo et al., 2012). These previous studies simply screened out the  
158 ranges of all environmental variables at presence locations, or used preliminary modelling to determine  
159 unsuitable regions. However, in this study we instead used prior biological knowledge and expert opinion  
160 about the species' limiting factors to define the unsuitable conditions (Figures 1 and 2) in the expectation  
161 that this biological information would be captured in the fitted species-environment relationships.  
162 Appropriate rules to define unsuitability were determined in consultation with species experts participating  
163 in their EPPO expert working groups. Their expert judgement informed us on the type of limit deemed to  
164 be most important for the species in different parts of its range (e.g. summer cold, drought), followed by



165 identification of key thresholds from the literature and comparison with extreme values at the occurrence  
166 locations of the species (see Appendix S2).

### 167 *Sampling from the background domain*

168 To combine both types of backgrounds, we obtained background samples from both the accessible region  
169 and from the unsuitable region outside of the accessible region (Figures 1-2). The effect was therefore to  
170 exclude potentially suitable but inaccessible regions from the combined background sample. To reduce  
171 sampling variation, ten replicate background samples were generated. Presence-background models were  
172 developed for each background sample and then their predictions were averaged.

173 The accessible region was sampled using target group sampling to reduce bias in the observed distribution  
174 due to spatial sampling effort variation (Phillips, 2009; Ranc et al., 2017). This involves weighting the  
175 background sampling by the recording density of a broader taxonomic group, which is assumed to represent  
176 recording bias for the focal species. In our modelling we used the GBIF record density of vascular plants  
177 (Tracheophyta) as a target group to weight background sampling. From the accessible region we drew the  
178 same number of background samples as there were occurrences, weighted by the vascular plant record  
179 density as a target group. This ensured that the accessible area background sample contained the same  
180 degree of recording bias as the occurrence data, assuming the proxy for recording effort was appropriate.

181 The unsuitable region was sampled with simple random sampling because we considered that recording  
182 bias should not be a relevant consideration in the observed lack of presence from environments in which  
183 the species cannot occur. In other words, we were confident of absence in the unsuitable regions. Although  
184 we could have nevertheless applied target group sampling, random sampling has the potential advantage of  
185 accumulating background samples from environments where there is little survey effort (e.g. very cold  
186 conditions), resulting in the widest range of environments from which to model species-environment  
187 relationships. For the five species in this study, 3000 random samples were taken from the unsuitable region,

188 outside the accessible region. A sensitivity analysis on the number of unsuitable background samples  
189 showed that the number of sampling points was not critical to model performance (see Appendix S5).

#### 190 *Ensemble presence-background modelling*

191 For each species, presence-background models were developed using background samples from only the  
192 accessible area and using the combined background samples from both the accessible and unsuitable area.  
193 Ensemble models were fitted using BIOMOD (biomod2 R package v3.3-7) (Thuiller et al., 2009, 2016)  
194 using seven statistical algorithms: generalised linear models (GLM) with linear and quadratic terms for  
195 each predictor, generalised additive models (GAM) with a maximum of four degrees of freedom per  
196 variable, multivariate adaptive regression splines (MARS), generalised boosting models (GBM), random  
197 forests (RF), artificial neural networks (ANN) and Maxent (Phillips et al., 2008). These were combined  
198 into an ensemble model by scaling their predictions with a binomial GLM and then averaging them  
199 weighted by predictive AUC scores (80:20% split for training and evaluation). AUC is commonly used for  
200 ensemble model weighting and is the BIOMOD default option (Thuiller et al., 2009, 2016). Although AUC  
201 does not provide an objective measure of model performance for presence-only models (Lobo, 2008) it is  
202 informative about the relative discrimination abilities of different algorithms evaluated on the same data. It  
203 also provides a conservative model weighting scheme, since a perfect model ( $AUC=1$ ) will have only twice  
204 the weight of a random model ( $AUC=0.5$ ). Therefore, we ensured poorly performing algorithms did not  
205 disproportionately affect the weighted average by rejecting them from the ensemble. Rejection was based  
206 on modified  $z$ -scores for their predictive AUC (Crosby, 1993) with algorithms with  $z < -1$  being rejected.

207 The importance of each variable to model fitting was estimated through the BIOMOD default procedure  
208 (Thuiller et al., 2009). Species-environment relationships were examined by constructing univariate  
209 response curves where predictions of the ensemble model were made while fixing the other variables at  
210 typical suitable values (median in the presence grid cells). Global projections of the ensemble models were  
211 restricted to where the environmental predictors lay inside the ranges used in model training, avoiding  
212 model extrapolation (Fitzpatrick & Hargrove, 2009). Models based only on the accessible background were

213 compared with those based on the combined accessible and unsuitable background in a standardised way.  
214 To do this we used AUC to evaluate their discrimination of presences from background samples in both the  
215 accessible background domain and in the accessible and unsuitable background domain. As mentioned  
216 above, AUC in this context is informative about the relative discrimination power of different model  
217 specifications on the same data. By comparing model AUCs within the same background regions we  
218 ensured a fair comparison.

## 219 **Results**

220 Adequate numbers of grid cells with presences were obtained for modelling the five study species (695 for  
221 *Cinnamomum camphora*, 754 for *Humulus scandens*, 1723 for *Lespedeza cuneata*, 975 for *Lygodium*  
222 *japonicum* and 855 for *Triadica sebifera*) (see Appendix S2). For every species, models combining the  
223 accessible and unsuitable backgrounds discriminated presences more successfully than models using only  
224 the accessible background (Table 1 and Appendix S3). Clear improvements in model performance at  
225 predicting the global range of the species were obtained (mean AUC improvement of 0.048 across the full  
226 model backgrounds). AUC gains for the combined background were small but still appreciable within the  
227 accessible region, representing projections within the species' observed ranges. From the binomial  
228 distribution, the probability of getting AUC improvements for all five species by chance is  $P = 0.063$ .  
229 Furthermore, in the sensitivity analysis of accessible region size and number of unsuitable background  
230 samples (see Appendix S5) the combined background model had higher accessible-region AUC in 36 out  
231 of 45 model permutations (80%). This is a significant departure from a 50:50 chance of AUC improvement  
232 according to a binomial generalised linear mixed model with random species effect, which yielded a fixed  
233 intercept term greater than zero ( $P = 0.027$ ).

234 Models based on the combined accessible and unsuitable background yielded partial response curves  
235 constrained with near zero suitability when conditions exceeded the thresholds used to define the unsuitable  
236 region (Figure 3). Models developed using only the accessible background generally gave qualitatively

237 similar response curves, but spanned a narrower range of suitability values and therefore provided a less  
238 clear distinction between high and low suitability. Furthermore, there were examples where the response  
239 curves from both models differed markedly, most clearly seen in the responses of *Cinnamomum camphora*  
240 to moisture (CMI) and of *Lespedeza cuneata* to winter temperature (Bio6) (Figure 3). It can also be seen  
241 from Figure 3 that inclusion of the unsuitable background increased the range of predictor gradients  
242 available to train the models.

243 Projections of potential non-native ranges made with both types of model were also qualitatively similar in  
244 general (Figures 4 and 5, see Appendix S4 for global and native range projections). However, when the  
245 unsuitable background was included then the projections generally made a sharper delineation between  
246 very low and high suitability, and projections were not impeded by extrapolation. There were also some  
247 notable differences in the details of the projections. For example, in North America the inclusion of the  
248 unsuitable region reversed the predictions of suitability for *Cinnamomum camphora*, *Triadica sebifera* and  
249 *Lygodium japonicum* invasion in arid parts of south western USA and the prediction of suitability for  
250 *Lespedeza cuneata* in north eastern USA and southern Canada (Figure 4). In Europe, where the species are  
251 not so well established, the inclusion of the unsuitable background domain produced substantially larger  
252 regions predicted to have high suitability for *C. camphora* and *T. sebifera*, and reversed the prediction of  
253 suitability for *L. japonicum* in Spain (Figure 5).

254 Both types of model suggested that the species have reached their niche limits in the native range (see  
255 Appendix S4) but are capable of further niche filling and non-native range expansion in North America  
256 (Figure 4) and Europe (Figure 5). In Europe, both models predict that *Humulus scandens* and *Lespedeza*  
257 *cuneata* may be able to invade widely in central and northern regions (Figure 5). By contrast, *Cinnamomum*  
258 *camphora*, *Lygodium japonicum* and *Triadica sebifera* may be restricted to southern and relatively frost-  
259 free parts of Western Europe.

## 260 **Discussion**

261 Strategies for selecting background samples or pseudo-absences for presence-background species  
262 distribution models have received a great deal of attention (e.g. Thuiller et al., 2004; Chefaoui & Lobo,  
263 2007; VanDerWal et al., 2009; Barve et al., 2011). The novel contribution of this study is to combine two  
264 different perspectives on defining the background region that have hitherto been considered separately.  
265 These perspectives are the accessible area (Barve et al., 2011) and the area outside the environmental range  
266 of the species, and therefore assumed to be unsuitable for the species (Thuiller et al., 2004). Previous work  
267 on modelling invasive non-native species has generally either emphasised the usefulness of the former for  
268 accommodating dispersal constraints (Mainali et al., 2015) or evaluated the latter as a way of boosting the  
269 discrimination between suitable and unsuitable habitat (Le Maitre et al., 2008). To our knowledge, the only  
270 previous attempt to jointly consider both perspectives did so in a more limited way than this study, by  
271 excluding parts of the accessible region that were outside the environmental range of the species (Senay et  
272 al., 2013). Here, we tested a new approach in which separate background samples were obtained from the  
273 accessible region, regardless of environmental values, and from an unsuitable region defined using prior  
274 biological knowledge. By modelling the global distributions of five invasive non-native plant species we  
275 conclude that the new strategy performed better for projection of regional and global potential distributions  
276 than when models were fitted with just the accessible region.

277 This was evidenced by a consistent improvement in model discrimination of presences when the modelling  
278 sampled from a biologically-informed unsuitable background. This was most clearly seen across the  
279 combined accessible and unsuitable background, suggesting better performance for global projection.  
280 However, more interesting was the marginal improvement within the accessible region itself, indicating  
281 better discrimination within the observed species' ranges. Our expectation was that discrimination within  
282 the range would not be improved by increasing the size of the modelling domain. Indeed, previous studies  
283 have found that large geographical background domains increase the power of SDMs to model species'  
284 broad geographic ranges but decrease their representation of suitability gradients within the range (Thuiller

285 et al., 2004; VanDerWal et al., 2009). Unlike previous studies, our approach may have resulted in improved  
286 performance for both purposes because we explicitly tried to exclude ‘suitable-but-not-reached’ locations  
287 from the larger (unsuitable) backgrounds. As such, we suggest that biologically-informed specification of  
288 a large modelling domain may reduce the trade-off between prediction of suitability gradients at large and  
289 small spatial scales.

290 The influence of the unsuitable background on species-environment relationships was clearly seen in the  
291 response curves and projections of the models. In most cases, response curves were qualitatively similar to  
292 those fitted by models based only on the accessible background. However, the inclusion of the unsuitable  
293 background had three clear effects. First, it ‘anchored’ the curves by constraining the models to fit near-  
294 zero suitability where the climate variables exceeded the thresholds of the species, providing a more  
295 pronounced delineation of suitability gradients. Second, the response curves were less complex or multi-  
296 modal than those from models using only the accessible background, which is more consistent with niche  
297 theory (Austin, 2002). Third, the response curves reflected prior assumptions about environmental  
298 limitation of the species and as such were more consistent with ecological understanding of the species. For  
299 instance, models for *Cinnamomum camphora*, *Lygodium japonicum* and *Triadica sebifera* estimated a  
300 strong limitation by low moisture availability (CMI), precluding potential establishment in arid regions  
301 such as south west USA and Spain. This is consistent with empirical demonstrations of water stress reducing  
302 growth and survival of these species. For example, shoot growth of *C. camphora* is 30% lower at 40% field  
303 water capacity than at 80% (Zhao et al., 2006), water restriction suppresses *T. sebifera* seedling growth by  
304 30-80% (Barrilleaux & Grace, James, 2000) and its seedlings wilt and die in arid western USA unless  
305 planted in moist micro-habitats such as river banks (Bower et al., 2009). Similarly, inclusion of the  
306 unsuitable region strongly limited suitability of *Lespedeza cuneata* by very cold winters, consistent with  
307 known frost sensitivity of the species especially in relation to late spring frosts (Gucker, 2010). The broader  
308 conclusion is that sampling from an unsuitable background forces the statistical models to learn species-  
309 environment relationships that reflect the prior knowledge of the species’ tolerances or niche requirements

310 used to define the unsuitable domain. As such, we suggest that our approach offers a way of incorporating  
311 prior biological knowledge into correlative species distribution models, and as such can address the  
312 common criticism that they lack strong biological underpinning (Austin, 2002; Dormann et al., 2011;  
313 Chapman et al., 2014).

314 Sensitivity analyses suggested that our findings were not overly sensitive to the size of the accessible region,  
315 number of background samples or precise rules for determining unsuitable conditions (see Appendix S5).  
316 However, success of the modelling approach likely relies on careful selection of the appropriate  
317 environmental limits to define the unsuitable region in the modelling (Le Maitre et al., 2008). A strength of  
318 this study is that it was done in consultation with experts performing risk assessments for invasion of Europe  
319 by the species. These experts were able to provide guidance on the key limiting factors relevant for different  
320 parts of the invaded and native ranges of the species. Some of the species have been well studied in their  
321 other invaded ranges and we were able to draw upon previous experimental studies that had determined  
322 tolerance thresholds for the species (see Appendix S2). Where this information was lacking, we used upper  
323 or lower bounds on the environmental values at the species presences to define thresholds for modelling.  
324 Even where empirical estimates of threshold values were available, we still recommend checking for  
325 consistency with environmental values at the distribution data, since species-environment relationships are  
326 highly scale-dependent (Siefert et al., 2012) and species can occupy broadly unsuitable regions if suitable  
327 micro-habitats are available. Given the reliance on prior studies or expert judgement about species' limiting  
328 factors or tolerances, our methods are probably most suitable for relatively well known species and less  
329 applicable to species where knowledge of its environmental limits are lacking. However, regional risk  
330 assessments for emerging invasive non-native species generally prioritise species that behave invasively in  
331 other parts of the world (Roy et al., 2014; Branquart et al., 2016; Tanner et al., 2017) suggesting that our  
332 modelling approach might be widely applicable for species of concern.

333 Risk assessment is a critical tool in the management of emerging invasive non-native species and requires  
334 robust prediction of where is vulnerable to ongoing species establishment and spread (Keller et al., 2007;

335 Jiménez-Valverde et al., 2011). This study shows that defining the model background to accommodate  
336 considerations of accessibility as well as prior biological knowledge of environmental unsuitability has the  
337 potential to improve global-scale presence-background models for emerging invasive non-native species.  
338 The methods developed and tested here are fully implemented by manipulating the model input data, and  
339 as such they can be implemented simply using standard presence-background modelling software such as  
340 Biomod (Thuiller et al., 2009) or Maxent (Phillips et al., 2008). Furthermore, they result in presence-  
341 background models that are more strongly underpinned by biological knowledge rather than being solely  
342 driven by distribution data, which are often incomplete and biased. As such, wider adoption of these  
343 approaches should improve global-scale modelling of invasive non-native species distributions,  
344 contributing to more accurate risk assessment and better management of their impacts.



345 **Tables**

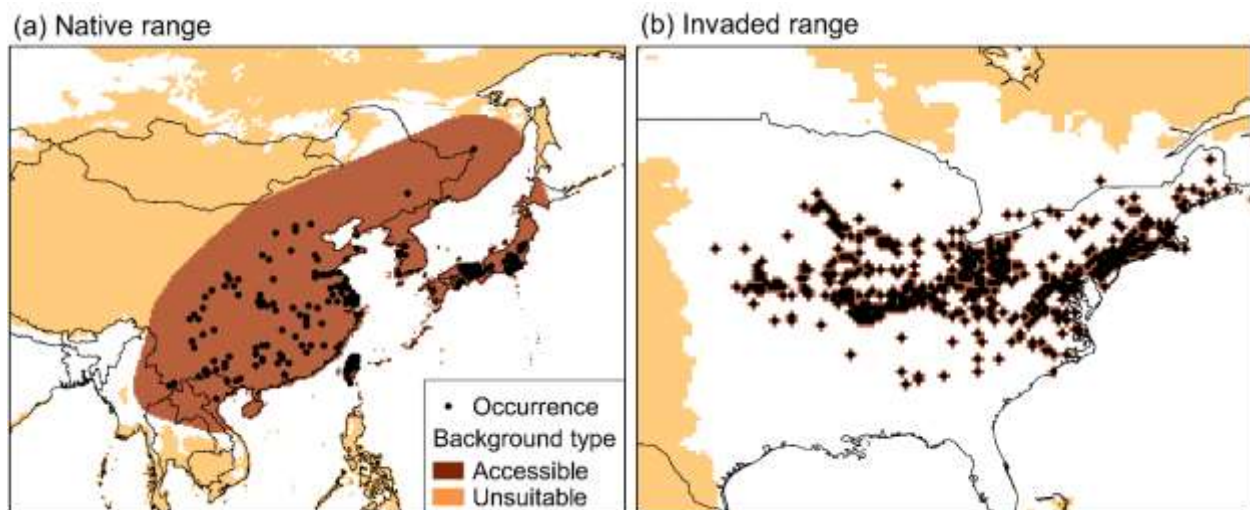
346 **Table 1.** Discrimination performance of ensemble model projections for the potential global distribution of  
 347 five plant species developed using two different background region specifications (A = accessible  
 348 background, AU = accessible and unsuitable background). Discrimination performance is given as AUC  
 349 (Area Under the receiver-operator Curve) for the combined 10 background samples from only the  
 350 accessible background region, or for the accessible and unsuitable background region. For presence-only  
 351 data AUC is the probability that a species presence has a higher projected suitability than a background  
 352 sample.

<b>Species</b>	<b>AUC in the accessible background</b>		<b>AUC in the accessible and unsuitable background</b>	
	<b>Accessible model</b>	<b>Accessible and unsuitable model</b>	<b>Accessible model</b>	<b>Accessible and unsuitable model</b>
<i>Cinnamomum camphora</i>	0.691	0.708	0.864	0.982
<i>Humulus scandens</i>	0.786	0.793	0.970	0.984
<i>Lespedeza cuneata</i>	0.9110	0.9113	0.969	0.983
<i>Lygodium japonicum</i>	0.850	0.870	0.929	0.983
<i>Triadica sebifera</i>	0.785	0.789	0.940	0.983

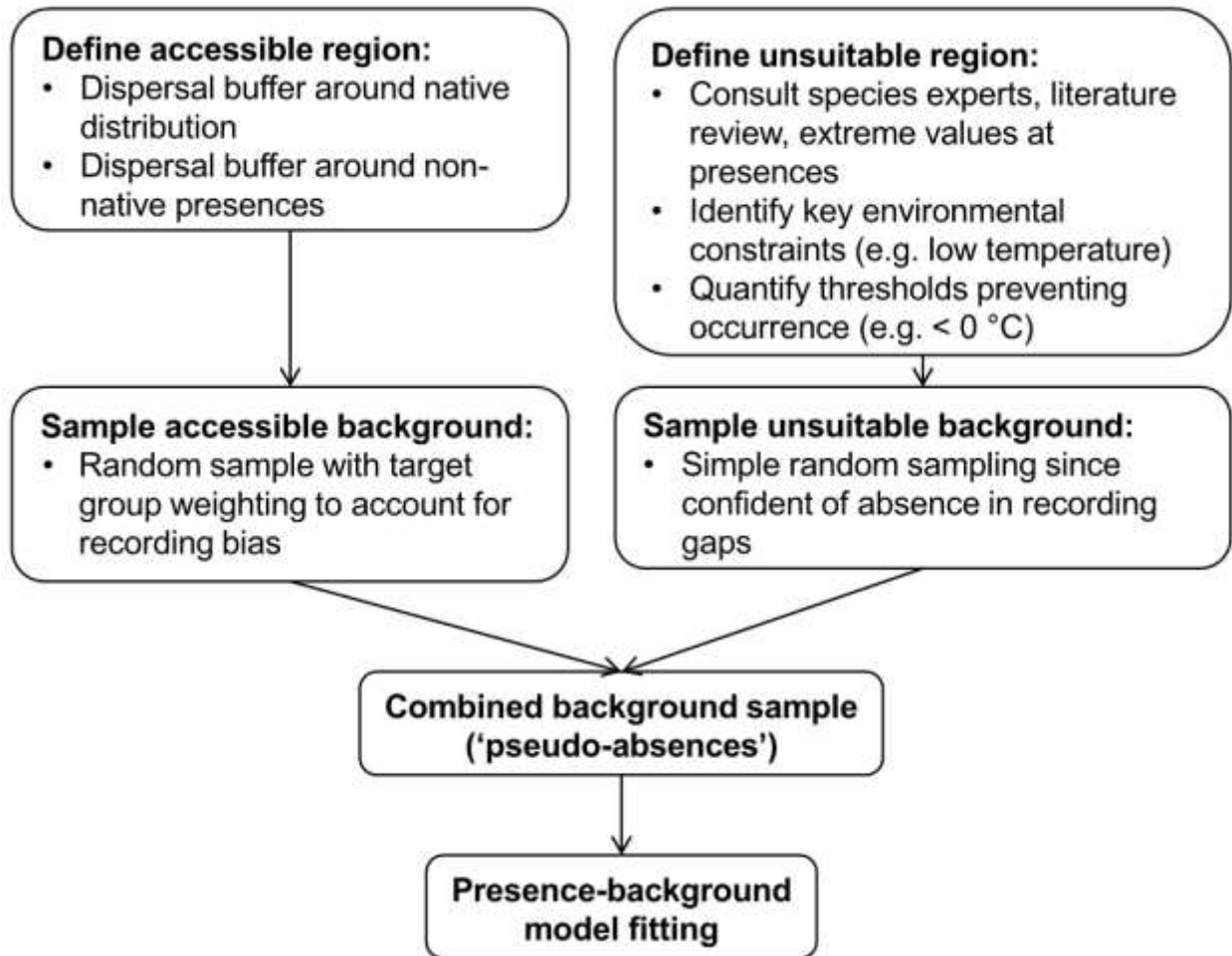
353

354 **Figures**

355 **Figure 1.** Illustration of part of the regions from which background samples (pseudo-absences) were drawn  
356 for modelling *Humulus scandens*. Dark shading shows the accessible background, where the species is  
357 assumed to have had chance to disperse to and sample. Light shading shows the unsuitable background,  
358 defined using biological information on the key limiting factors of the species (see Appendix S2). (a) The  
359 Asian native range of the species, where accessibility was defined with a buffer around the minimum  
360 convex polygon of the occurrences. (b) The North American part of the invaded range, where accessibility  
361 was more restricted to represent stronger dispersal constraints during the invasive range expansion.

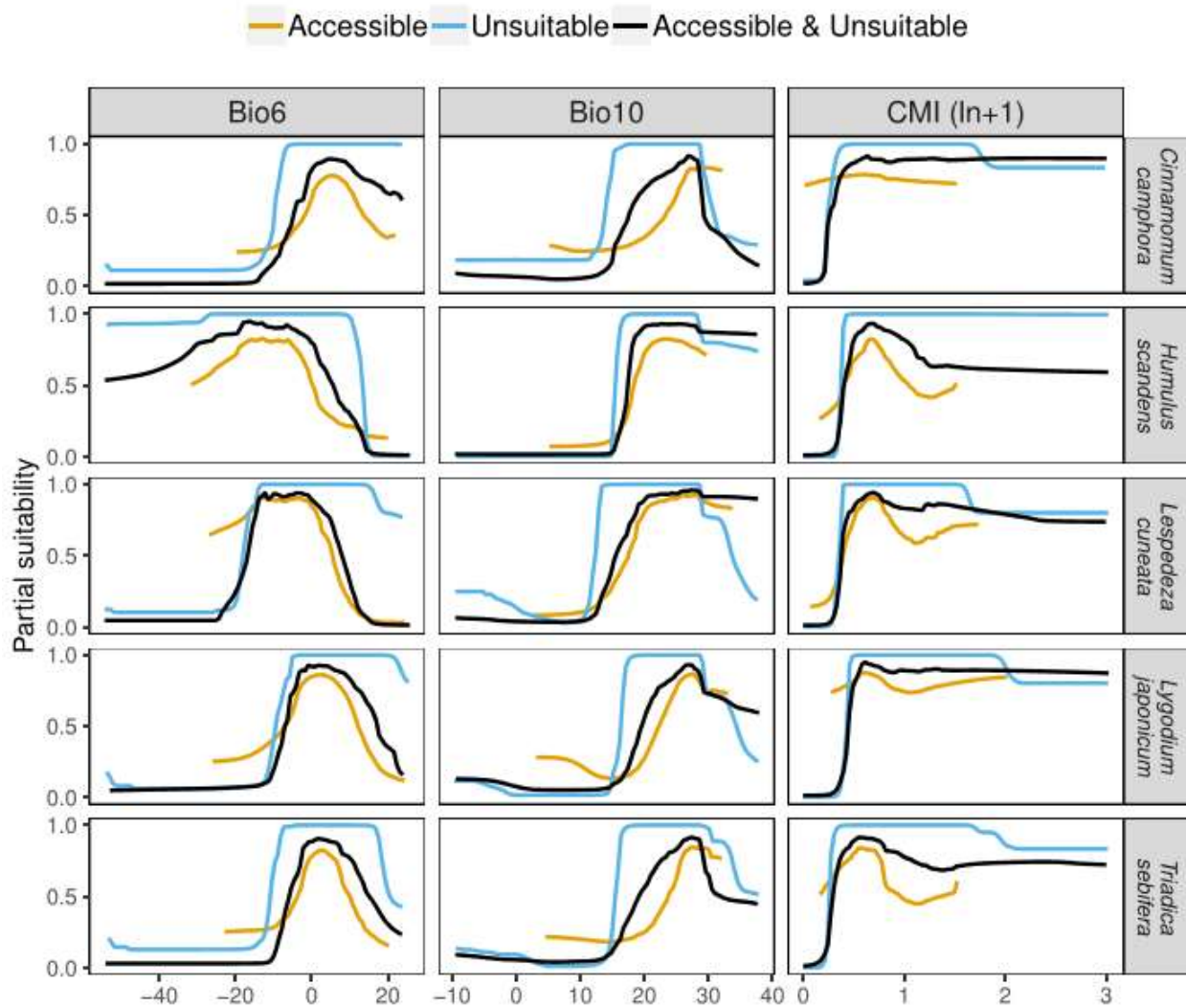


363 **Figure 2.** Flow chart for implementing the biologically-informed pseudo-absence selection for presence-  
364 background modelling of invasive non-native species.



365

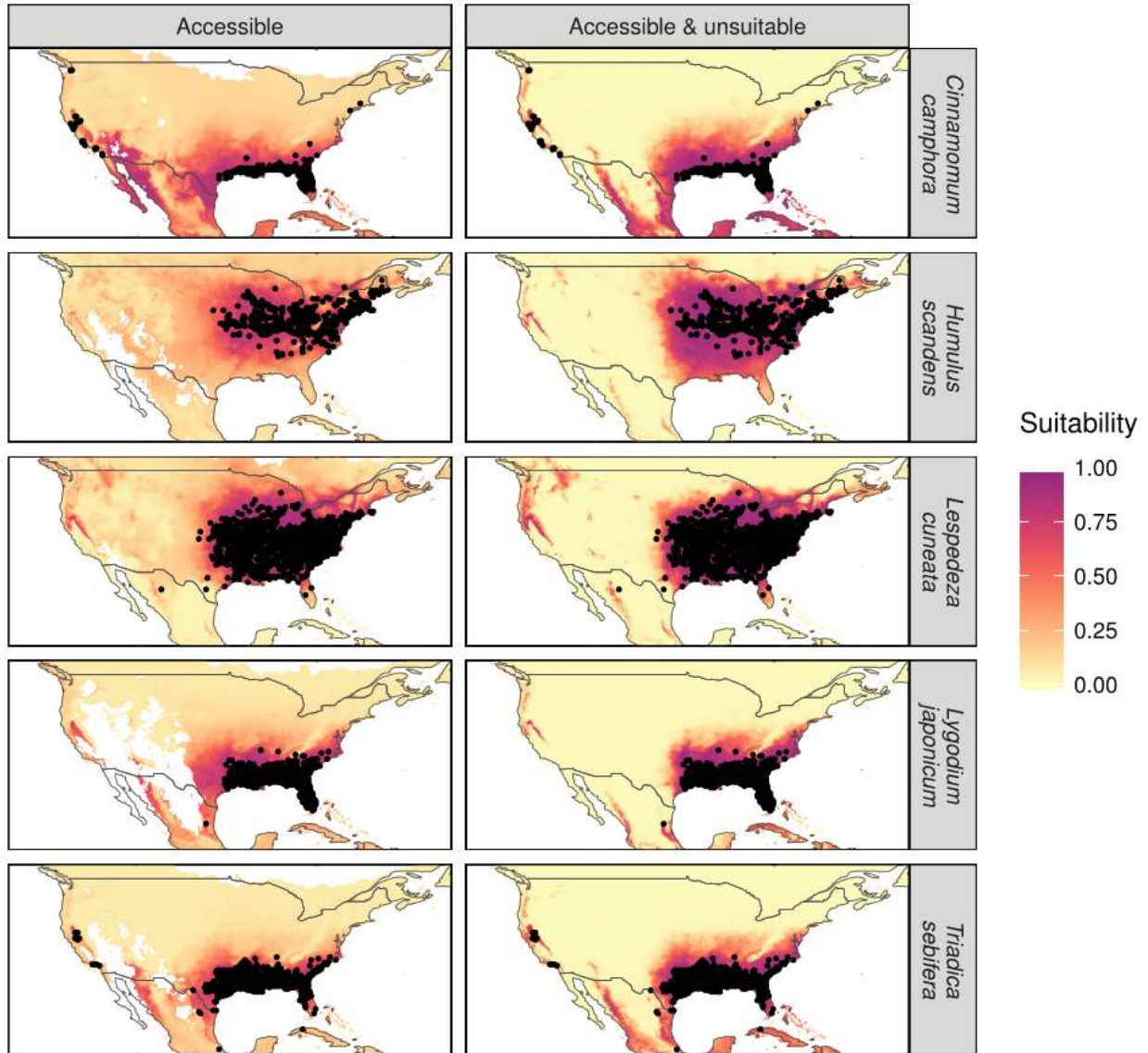
366 **Figure 3.** Partial response plots fitted by the ensemble models showing the predicted suitability when other  
 367 variables are fixed at suitable values for the species (medians in the presence grid cells). Curves span the  
 368 range of the variables in the training data. Curve colour differentiates the models with background domains  
 369 based only on the accessible region and those including the unsuitable region. Variable codes: Bio6 = mean  
 370 minimum temperature of the coldest month (°C); Bio10 = mean temperature of the warmest quarter (°C);  
 371 CMI = climatic moisture index (ln+1 transformed).



372

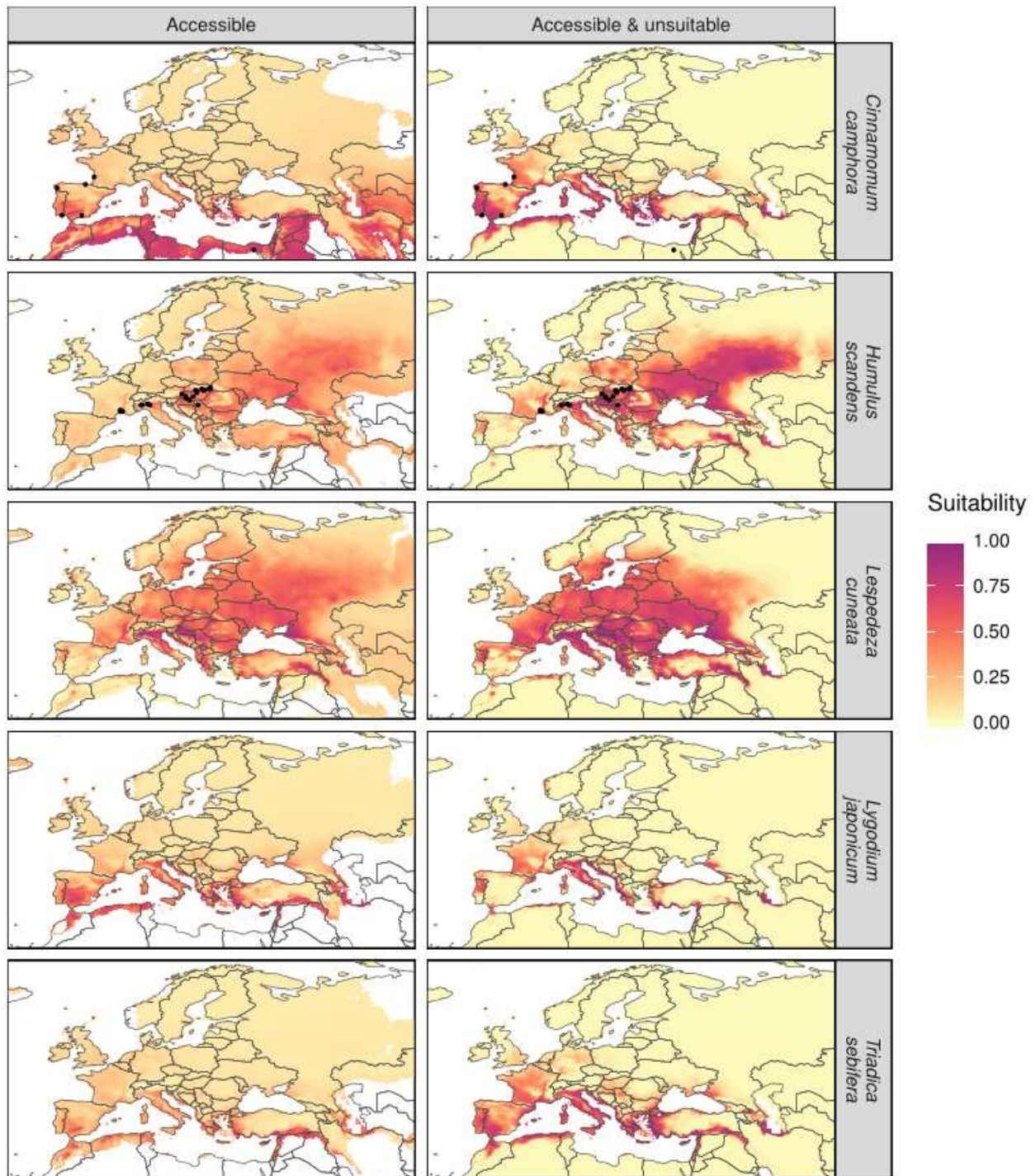
373

374 **Figure 4.** Potential non-native distributions of five Asian plant species in the USA, where all are already  
 375 established invasive non-native species with expanding ranges. Projections are from models where the  
 376 background domain is either just the accessible area, or the accessible and unsuitable region. Points show  
 377 the occurrences and shading indicates the predicted suitability. Blank land areas are where the model could  
 378 not project suitability because one or more predictors was outside the range of the training data.



379

380 **Figure 5.** Potential distributions of five Asian plant species in Europe, where the species are currently  
 381 absent or emerging invasive non-native species, equivalent to Figure 4.



382

383 **References**

- 384 Acevedo, P., Jiménez-Valverde, A., Lobo, J.M., & Real, R. (2012) Delimiting the geographical  
385 background in species distribution modelling. *Journal of Biogeography*, **39**, 1383–1390.
- 386 Austin, M. (2002) Spatial prediction of species distribution: an interface between ecological theory and  
387 statistical modelling. *Ecological Modelling*, **157**, 101–118.
- 388 Barrilleaux, T.C. & Grace, James, B. (2000) Growth and invasive potential of *Sapium sebiferum*  
389 (Euphorbiaceae) within the coastal prairie region: the effects of soil and moisture regime. *American*  
390 *Journal of Botany*, **87**, 1099–1106.
- 391 Barve, N., Barve, V., Jiménez-Valverde, A., Lira-Noriega, A., Maher, S.P., Peterson, A.T., Soberón, J., &  
392 Villalobos, F. (2011) The crucial role of the accessible area in ecological niche modeling and  
393 species distribution modeling. *Ecological Modelling*, **222**, 1810–1819.
- 394 Boakes, E.H., McGowan, P.J.K., Fuller, R.A., Chang-Qing, D., Clark, N.E., O’Connor, K., & Mace,  
395 G.M. (2010) Distorted views of biodiversity: Spatial and temporal bias in species occurrence data.  
396 *PLoS Biology*, **8**, e1000385.
- 397 Bower, M.J., Aslan, C.E., & Rejmánek, M. (2009) Invasion potential of Chinese tallowtree (*Triadica*  
398 *sebifera*) in California’s Central Valley. *Invasive Plant Science and Management*, **2**, 386–395.
- 399 Branquart, E., Brundu, G., Buholzer, S., Chapman, D., Ehret, P., Fried, G., Starfinger, U., van  
400 Valkenburg, J., & Tanner, R. (2016) A prioritization process for invasive alien plant species  
401 incorporating the requirements of EU Regulation no. 1143/2014. *EPPO Bulletin*, **46**, 603–617.
- 402 Broennimann, O. & Guisan, A. (2008) Predicting current and future biological invasions: both native and  
403 invaded ranges matter. *Biology Letters*, **4**, 585–589.
- 404 Broennimann, O., Treier, U.A., Müller-Schärer, H., Thuiller, W., Peterson, A.T., & Guisan, A. (2007)  
405 Evidence of climatic niche shift during biological invasion. *Ecology Letters*, **10**, 701–709.

406 Chapman, D.S., Haynes, T., Beal, S., Essl, F., & Bullock, J.M. (2014) Phenology predicts the native and  
407 invasive range limits of common ragweed. *Global Change Biology*, **20**, 192–202.

408 Chapman, D.S., Makra, L., Albertini, R., Bonini, M., Páldy, A., Rodinkova, V., Šikoparija, B., Weryszko-  
409 Chmielewska, E., & Bullock, J.M. (2016) Modelling the introduction and spread of non-native  
410 species: international trade and climate change drive ragweed invasion. *Global change biology*, **22**,  
411 3067–3079.

412 Chapman, D.S., Scalone, R., Štefanić, E., & Bullock, J.M. (2017) Mechanistic species distribution  
413 modeling reveals a niche shift during invasion. *Ecology*, **98**, 1671–1680.

414 Chefaoui, R.M. & Lobo, J.M. (2007) Assessing the effects of pseudo-absences on predictive distribution  
415 model performance. *Ecological Modelling*, **210**, 478–486.

416 Crosby, T. (1993) *How to Detect and Handle Outliers*. ASOC Quality Press, Milwaukee.

417 Dormann, C.F., Schymanski, S.J., Cabral, J., Chuine, I., Graham, C., Hartig, F., Kearney, M., Morin, X.,  
418 Römermann, C., Schröder, B., & Singer, A. (2011) Correlation and process in species distribution  
419 models: bridging a dichotomy. *Journal of Biogeography*, **39**, 2119–2131.

420 Elith, J. (2013) Predicting distributions of invasive species. *Invasive Species: Risk Assessment and*  
421 *Management* (ed. by A.P. Robinson, T. Walshe, M.A. Burgman, and M. Nunn), pp. 93–129.  
422 Cambridge University Press, Cambridge, UK.

423 Fitzpatrick, M.C. & Hargrove, W.W. (2009) The projection of species distribution models and the  
424 problem of non-analog climate. *Biodiversity and Conservation*, **18**, 2255–2261.

425 Gallien, L., Münkemüller, T., Albert, C.H., Boulangeat, I., & Thuiller, W. (2010) Predicting potential  
426 distributions of invasive species: where to go from here? *Diversity and Distributions*, **16**, 331–342.

427 Gormley, A.M., Forsyth, D.M., Griffioen, P., Lindeman, M., Ramsey, D.S.L., Scroggie, M.P., &  
428 Woodford, L. (2011) Using presence-only and presence-absence data to estimate the current and



429 potential distributions of established invasive species. *Journal of Applied Ecology*, **48**, 25–34.

430 Gucker, C. (2010) Available at: <http://www.fs.fed.us/database/feis/>.

431 Guillera-Arroita, G., Lahoz-Monfort, J.J., Elith, J., Gordon, A., Kujala, H., Lentini, P.E., Mccarthy, M.A.,  
432 Tingley, R., & Wintle, B.A. (2015) Is my species distribution model fit for purpose? Matching data  
433 and models to applications. *Global Ecology and Biogeography*, **24**, 276–292.

434 Hijmans, R.J., Cameron, S.E., Parra, J.L., Jones, P.G., Jarvis, A., Hijmans, R.J., Cameron, S.E., Parra,  
435 J.L., Jones, P.G., & Jarvis, A. (2005) Very high resolution interpolated climate surfaces for global  
436 land areas, Very high resolution interpolated climate surfaces for global land areas. *International  
437 Journal of Climatology*, **25**, 1965–1978.

438 Jeschke, J.M. & Strayer, D.L. (2008) Usefulness of bioclimatic models for studying climate change and  
439 invasive species. *Annals of the New York Academy of Sciences*, **1134**, 1–24.

440 Jiménez-Valverde, A., Peterson, A.T., Soberón, J., Overton, J.M., Aragón, P., & Lobo, J.M. (2011) Use  
441 of niche models in invasive species risk assessments. *Biological Invasions*, **13**, 2785–2797.

442 Keller, R.P., Lodge, D.M., & Finnoff, D.C. (2007) Risk assessment for invasive species produces net  
443 bioeconomic benefits. *Proceedings of the National Academy of Sciences*, **104**, 203–207.

444 Lobo, J. (2008) AUC : A misleading measure of the performance of predictive distribution models.  
445 *Global ecology and Biogeography*, **17**, 145–151.

446 Mainali, K.P., Warren, D.L., Dhileepan, K., Mcconnachie, A., Strathie, L., Hassan, G., Karki, D.,  
447 Shrestha, B.B., & Parmesan, C. (2015) Projecting future expansion of invasive species: Comparing  
448 and improving methodologies for species distribution modeling. *Global Change Biology*, **21**, 4464–  
449 4480.

450 Le Maitre, D.C., Thuiller, W., & Schonegevel, L. (2008) Developing an approach to defining the potential  
451 distributions of invasive plant species: A case study of *Hakea* species in South Africa. *Global*

452 *Ecology and Biogeography*, **17**, 569–584.

453 Pearce, J.L. & Boyce, M.S. (2006) Modelling distribution and abundance with presence-only data.  
454 *Journal of Applied Ecology*, **43** SRC-, 405–412.

455 Peterson, A.T. & Robins, C.R. (2003) Using ecological-niche modeling to predict barred owl invasions  
456 with implications for spotted owl conservation. *Conservation Biology*, **17**, 1161–1165.

457 Phillips, S.J. (2009) Sample selection bias and presence-only distribution models: implications for  
458 background and pseudo-absence data. *Ecological Applications*, **19**, 181–197.

459 Phillips, S.J., Dudík, M., Dudik, M., & Phillips, S.J. (2008) Modeling of species distributions with  
460 Maxent: new extensions and a comprehensive evaluation. *Source: Ecography*, **31**, 161–175.

461 Ranc, N., Santini, L., Rondinini, C., Boitani, L., Poitevin, F., Angerbjörn, A., & Maiorano, L. (2017)  
462 Performance tradeoffs in target-group bias correction for species distribution models. *Ecography*,  
463 **40**, 1076–1087.

464 Roy, H.E., Peyton, J., Aldridge, D.C., et al. (2014) Horizon scanning for invasive alien species with the  
465 potential to threaten biodiversity in Great Britain. *Global Change Biology*, **20**, 3859–3871.

466 Senay, S.D., Worner, S.P., & Ikeda, T. (2013) Novel three-step pseudo-absence selection technique for  
467 improved species distribution modelling. *PLoS One*, **8**, e71218.

468 Siefert, A., Ravenscroft, C., Althoff, D., Alvarez-Yépiz, J.C., Carter, B.E., Glennon, K.L., Heberling,  
469 J.M., Jo, I.S., Pontes, A., Sauer, A., Willis, A., & Fridley, J.D. (2012) Scale dependence of  
470 vegetation-environment relationships: A meta-analysis of multivariate data. *Journal of Vegetation*  
471 *Science*, **23**, 942–951.

472 Storkey, J., Stratonovitch, P., Chapman, D.S., Vidotto, F., & Semenov, M.A. (2014) A process-based  
473 approach to predicting the effect of climate change on the distribution of an invasive allergenic plant  
474 in Europe. *PLoS ONE*, **9**, .

475 Tanner, R., Branquart, E., Brundu, G., Buholzer, S., Chapman, D., Ehret, P., Fried, G., Starfinger, U., &  
476 van Valkenburg, J. (2017) The prioritisation of a short list of alien plants for risk analysis within the  
477 framework of the Regulation (EU) No. 1143/2014. *NeoBiota*, **35**, 87–118.

478 Thuiller, W., Brotons, L., Araújo, M.B., & Lavorel, S. (2004) Effects of restricting environmental range  
479 of data to project current and future species distributions. *Ecography*, **27**, 165–172.

480 Thuiller, W., Georges, D., Engler, R., & Breiner, F. (2016) biomod2: Ensemble platform for species  
481 distribution modeling. R package version 3.3-7. Available at: [https://cran.r-](https://cran.r-project.org/web/packages/biomod2/index.html)  
482 [project.org/web/packages/biomod2/index.html](https://cran.r-project.org/web/packages/biomod2/index.html), .

483 Thuiller, W., Lafourcade, B., Engler, R., & Araújo, M.B. (2009) BIOMOD - A platform for ensemble  
484 forecasting of species distributions. *Ecography*, **32**, 369–373.

485 Václavík, T. & Meentemeyer, R.K. (2009) Invasive species distribution modeling (iSDM): Are absence  
486 data and dispersal constraints needed to predict actual distributions? *Ecological Modelling*, **220**,  
487 3248–3258.

488 VanDerWal, J., Shoo, L.P., Graham, C., & Williams, S.E. (2009) Selecting pseudo-absence data for  
489 presence-only distribution modeling: How far should you stray from what you know? *Ecological*  
490 *Modelling*, **220**, 589–594.

491 Vilà, M., Espinar, J.L., Hejda, M., Hulme, P.E., Jarošík, V., Maron, J.L., Pergl, J., Schaffner, U., Sun, Y.,  
492 & Pyšek, P. (2011) Ecological impacts of invasive alien plants: A meta-analysis of their effects on  
493 species, communities and ecosystems. *Ecology Letters*, **14**, 702–708.

494 Warton, D.I. & Shepherd, L.C. (2010) Poisson point process models solve the “pseudo-absence problem”  
495 for presence-only data in ecology. *Annals of Applied Statistics*, **4**, 1383–1402.

496 Zhao, X., Wang, G., Shen, Z., Zhang, H., & Qiu, M. (2006) Impact of elevated CO<sub>2</sub> concentration under  
497 three soil water levels on growth of *Cinnamomum camphora*. *Journal of Zhejiang University*,

498 *Science B*, **7**, 283–290.

499 Zomer, R.J., Trabucco, A., Bossio, D.A., & Verchot, L. V (2008) Climate change mitigation: A spatial  
500 analysis of global land suitability for clean development mechanism afforestation and reforestation.

501 *Agr Ecosyst Environ*, **126**, 67–80.

502 **Biosketch**

503 The research team focuses on risk assessment for emerging invasive non-native species in Europe. Among  
504 other factors contributing to risk, the team use global-scale species distribution modelling to identify the  
505 suitable conditions for establishment by the focal species and use this to project their potential distributional  
506 range in the risk assessment area.