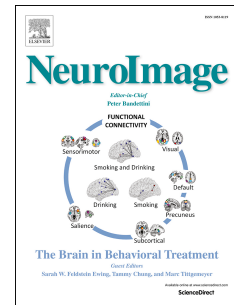


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Audio-visual synchrony and spatial attention enhance processing of dynamic visual stimulation independently and in parallel: A frequency-tagging study

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1 TITLE

2 **Audio-visual synchrony and spatial attention enhance processing of dynamic visual**
3 **stimulation independently and in parallel: a frequency-tagging study**

4

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21 spatial attention, selective attention, multisensory integration, audio-visual synchrony, brain
22 oscillation, neural rhythm, steady-state response (SSR), EEG, brain-computer interface (BCI)

23

24 **ABSTRACT**

25 The neural processing of a visual stimulus can be facilitated by attending to its position or by
26 a co-occurring auditory tone. Using frequency-tagging we investigated whether facilitation
27 by spatial attention and audio-visual synchrony rely on similar neural processes. Participants
28 attended to one of two flickering Gabor patches (14.17 and 17 Hz) located in opposite lower
29 visual fields. Gabor patches further “pulsed” (i.e. showed smooth spatial frequency
30 variations) at distinct rates (3.14 and 3.63 Hz). Frequency-modulating an auditory stimulus at
31 the pulse-rate of one of the visual stimuli established audio-visual synchrony. Flicker and
32 pulsed stimulation elicited stimulus-locked rhythmic electrophysiological brain responses
33 that allowed tracking the neural processing of simultaneously presented stimuli. These
34 steady-state responses (SSRs) were quantified in the spectral domain to examine visual
35 stimulus processing under conditions of synchronous vs. asynchronous tone presentation
36 and when respective stimulus positions were attended vs. unattended. Strikingly, unique
37 patterns of effects on pulse- and flicker driven SSRs indicated that spatial attention and
38 audiovisual synchrony facilitated early visual processing in parallel and via different cortical
39 processes. We found attention effects to resemble the classical top-down gain effect
40 facilitating both, flicker and pulse-driven SSRs. Audio-visual synchrony, in turn, only
41 amplified synchrony-producing stimulus aspects (i.e. pulse-driven SSRs) possibly highlighting
42 the role of temporally co-occurring sights and sounds in bottom-up multisensory integration.

43

44

45 **1. INTRODUCTION**

46 Behavioral goals, as well as the physical properties of sensory experiences, shape how neural
47 processes organize the continuous and often rich influx of sensory information into
48 meaningful units. One such process, selective attention, serves to prioritize currently
49 behaviorally relevant sensory input while attenuating irrelevant aspects (Posner et al., 1980;
50 Treisman and Gelade, 1980). In a visual search display, for example, items matching the
51 color or orientation of a pre-defined target stimulus undergo prioritized processing relative
52 to other items (Treisman and Gelade, 1980; Wolfe, 1994; Wolfe et al., 1989).

53 Another process exploits the spatial and temporal structure of dynamic sensory input,
54 extracting regularities either in the visual modality alone (Alvarez and Oliva, 2009; Lee, 1999)
55 or, by cross-referencing co-occurrences across sensory modalities (Fujisaki and Nishida,
56 2005). In fact, aforementioned visual search can be drastically improved by presenting a
57 spatially uninformative tone pip that coincides (repeatedly) with a sudden change in target
58 appearance in a dynamic search array (Van der Burg et al., 2008).

59 This pop-out effect has been ascribed to a gain in relative salience of the target stimulus
60 caused by the unique integration of auditory and visual information. The impression of a
61 multisensory object hereby hinges on the temporal precision of coinciding unisensory inputs,
62 also termed audio-visual synchrony, a critical cue for multisensory integration (Werner and
63 Noppeney, 2011). Consecutive synchronous co-occurrences of the same auditory and visual
64 stimulus components further increase the likelihood of multisensory integration (Parise,
65 2012).

66 Generalizing this multisensory effect to our everyday experience of dynamic cluttered visual
67 scenes, Talsma et al (2010) put forward that multisensory objects tend to involuntarily
68 attract attention towards their position. As a consequence, they would gain an automatic
69 processing advantage over unisensory stimuli. In a task that requires a sustained focus of
70 attention on a specific position in the visual field multisensory stimuli may then act as strong

71 distractors (Krause et al., 2012) because they withdraw common processing resources from
72 the task-relevant focus of attention.

73 Interestingly, this influence seems to work both ways: As Alsius et al. (2005) have shown
74 focusing on a visual task impedes the integration of concurrent but irrelevant visual and
75 auditory input. This effect has been related to the concept of the temporal binding window,
76 a period during which co-occurring attended visual and auditory stimuli are most likely to be
77 integrated (Colonius and Diederich, 2012). The window can expand for stimuli appearing at
78 attended locations but remains unaffected (or contracts) when spatial attention is averted
79 (Donohue et al., 2015).

80 Both phenomena - the involuntary orientation of spatial attention towards multisensory
81 events as well as impeded multisensory integration when maintaining focused attention -
82 have largely been studied in isolation (Talsma et al., 2010). We frequently encounter
83 situations, however, in which the two biases can act concurrently. Moreover, they may
84 fluctuate between having conjoined and conflicting effects depending on whether attended
85 positions and multisensory events overlap or diverge in the visual field (that is in addition to
86 their own inherent temporal variability (Keil et al., 2012).

87 This complex interplay therefore warranted a dedicated investigation in a paradigm that
88 allowed contrasting both cases directly. In the present study, we manipulated trial by trial
89 whether participants attended to a dynamic audio-visual synchronous stimulus while leaving
90 a concurrently presented asynchronous stimulus unattended or vice versa.

91 We probed early cortical visual processing by tagging stimuli with distinct temporal
92 frequencies (Norcia et al., 2015; Regan, 1989). This frequency-tagged stimulation elicited
93 periodic brain responses, termed steady-state responses (SSRs). SSRs index continuous
94 processing of individual stimuli in multi-element displays and have been demonstrated to
95 indicate the allocation of spatial attention (Kim et al., 2007; Müller et al., 1998a; Walter et

96 al., 2012) as well as audio-visual synchrony (Jenkins et al., 2011; Keitel and Müller, 2015;
97 Nozaradan et al., 2012).

98 Crucially, employing frequency-tagging allowed us to tease apart the relative facilitating
99 effects of both factors as follows: Our paradigm featured two Gabor patches, one per lower
100 visual hemifield, that each displayed two rhythmic physical modulations: As in classical
101 frequency-tagging experiments they displayed a simple on-off flicker at different rates
102 (14.17 and 17 Hz, respectively). Additionally, spatial frequencies of the Gabor patches
103 modulated at slower rates (3.14 and 3.62 Hz, respectively), which gave the impression of a
104 pulsation-like movement (see *Figure 1*). We exploited this pulsation to introduce audio-
105 visual synchrony with a concurrently presented tone that carried a frequency modulation
106 with the same temporal profile as one of the visual stimulus' movement (Giani et al., 2012;
107 Hertz and Amedi, 2010 for similar approaches; see Keitel and Müller, 2015). Participants
108 were then cued randomly on each trial to attend to one of the two stimulus positions, while
109 one of the two Gabor patches pulsed in synchrony with the tone. This paradigm enabled
110 comparisons of SSR-indexed visual processing between four cases of Gabor patch
111 presentation: attended synchronous (A+S+), attended asynchronous (A+S-), unattended
112 synchronous (A-S+) and unattended asynchronous (A-S-).

113 We expected our data to replicate well-described gain effects of top-down cued spatial
114 attention on flicker-driven SSRs (Keitel et al., 2013; Kim et al., 2007; Müller et al., 1998a).
115 Further, we assumed that these gain effects extend to pulsation-driven SSRs, because spatial
116 attention should prioritize any information presented at an attended location.

117 Secondly, we hypothesized that in line with previous findings (Nozaradan et al., 2012) audio-
118 visual synchrony produced gain effects on SSRs. In contrast to attentional gain, results of an
119 earlier investigation suggested that synchrony-related gain effects may be specific to
120 pulsation-driven SSRs. Using a paradigm similar to the present study, Keitel and Müller
121 (2015) found that an SSR component with a frequency of twice the pulsation rate was

122 exclusively susceptible to synchrony-related gain effects. At this rate, the stimulation
123 presumably contained strong transients critical for establishing audio-visual synchrony
124 (Werner and Noppeney, 2011). If that were the case the current paradigm was expected to
125 produce similarly selective effects. Alternatively, however, if audio-visual synchrony simply
126 attracted spatial attention, then synchrony-related facilitation should mirror the pattern of
127 attention-related gain effects on pulse- and flicker-driven SSRs. More specifically, synchrony
128 alone should produce gain effects for flicker-driven SSRs.

129 Comparable patterns of attention- and synchrony-related facilitation would further point
130 towards an account in which they may draw upon similar resources and therefore interact in
131 facilitating visual processing: An attended stimulus would benefit less from audio-visual
132 synchrony compared with an unattended synchronous stimulus, because attention has
133 already been allocated to its position. Conversely, if attention- and synchrony-related
134 facilitation relied on distinct neural resources, they were assumed to have independent
135 additive effects on SSRs.

136 The latter finding could then be cast in a framework in which spatial attention biases are
137 conveyed top-down via a fronto-parietal cortical network (Corbetta and Shulman, 2002),
138 whereas audio-visual synchrony may have been established bottom-up via direct cortico-
139 cortical connections or subcortical relays (Lakatos et al., 2009; van Atteveldt et al., 2014).

140 → *Insert Figure 1 here*

141 **2. METHODS**

142 **2.1. Participants**

143 We collected data from 14 participants with normal or corrected-to-normal vision and
144 normal hearing. Participants gave informed written consent prior to experiments. None
145 reported a history of neurological diseases or injury. They received course credit or a small
146 monetary compensation for participation. The experiment was conducted in accordance

147 with the Declaration of Helsinki and the guidelines of the ethics committee of the University
148 of Leipzig.

149 Two participants showed excessive eye movements during EEG recordings and were thus
150 excluded. Data of 12 participants aged 18 – 31 years (all right-handed, 9 female) entered
151 analyses. Previous studies have used comparable sample sizes to reliably (re)produce effects
152 of spatial attention (Ding, 2005; Müller et al., 1998a; 1998b; Walter et al., 2015; Zhang et al.,
153 2010) and audio-visual synchrony (Jenkins et al., 2011; Keitel and Müller, 2015; Nozaradan et
154 al., 2012) on SSRs.

155

156 **2.2. Stimulation**

157 Stimuli were presented on a 19-inch cathode ray tube screen positioned 0.8 m in front of
158 participants. The screen was set to a refresh rate of 85 frames per second and a resolution of
159 1024 x 768 pixel (*width x height*). Visual experimental stimulation consisted of two
160 monochrome Gabor patches with a diameter of $\sim 3^\circ$ of visual angle, one located in the lower
161 left and the other one located in the lower right visual field at eccentricities of 4.5° from
162 vertical and 2.5° from horizontal meridians (see *Figure 1a*). Stimuli were presented against a
163 grey background (RGB: 128,128,128; luminance = 30 cd/m^2). Two black concentric circles ($.4^\circ$
164 of visual angle outer eccentricity, RGB: 0, 0, 0) in the center of the display served as fixation
165 point.

166 Both Gabor stimuli underwent two independent periodic changes in the course of a trial:
167 (1) The right patch presentation followed a cycle of 4 on-frames and 2 off-frames (2/1
168 on/off-ratio) resulting in a 17 Hz flicker. The left patch flickered at a rate of 14.2 Hz achieved
169 by repetitive cycles of 3 on-frames and 2 off-frames (3/2 on/off-ratio). (2) While flickering,
170 the spatial frequency of the Gabor patches oscillated between a maximum of $2 \text{ Hz}/^\circ$ and a
171 minimum of $1 \text{ Hz}/^\circ$ at a rate of 3.14 Hz for the right patch and 3.62 Hz for the left patch.
172 Periodic spatial frequency changes gave the impression of alternating contractions and

173 relaxations that led to the percept of pulsing Gabor patches over time (*Figure 1c & d*). Pulse
174 frequencies were chosen based on pilot experiments that served to determine a trade-off
175 frequency range in which pulsing was readily perceptible, yet, still allowed driving periodic
176 frequency-following brain responses (SSRs).

177 In addition to the visual stimuli we presented a tone with a center frequency of 440 Hz
178 binaurally via headphones. The frequency of the tone was rhythmically modulated following
179 sinusoidal excursions from the center frequency (10% maximum excursion = ± 44 Hz). On
180 each trial the modulation rate exactly matched the pulse rate of one of the two Gabor
181 patches. Common rhythmic changes over time resulted in sustained audio-visual synchrony
182 (see e.g. Schall et al., 2009).

183 Prior to the experiment, we employed the method of limits (Leek, 2001) to approximate
184 individual hearing thresholds using one of the experimental stimuli, a 3.14-Hz frequency
185 modulated tone (see e.g. Herrmann et al., 2014; Keitel and Müller, 2015). In our
186 implementation, participants listened to a series of 10 tone sequences with a maximum
187 duration of 15 s per sequence. Tone intensity changed during each sequence while
188 alternating between log-linear decreases and increases across sequences. Participants were
189 instructed to indicate by button press when they stopped or started hearing respective
190 tones. Cross-referencing button response times with tone intensity functions yielded
191 individual estimates of psychophysical hearing thresholds, i.e. sensation levels (SL). In the
192 experiment, acoustical stimulation was presented at an intensity of +35 dB SL.

193

194 **2.3. Procedure and Task**

195 Participants were seated comfortably in an acoustically dampened and electromagnetically
196 shielded room and directed gaze towards the fixation ring on the computer screen. At the
197 beginning of each trial, participants were cued to attend exclusively to the left or the right
198 visual stimulus. To this end, a green semi-circle appeared inside the fixation ring for 500 ms

199 to indicate the task-relevant Gabor patch (see *Figure 1b*). Subsequently, the two pulsing
200 Gabor patches and the tone were presented for 3500 ms. At the end of each trial, the
201 fixation ring remained on screen for an extra 700 ms allowing participants to blink before
202 the next trial started.

203 Participants were instructed to respond to occasionally occurring luminance changes of the
204 cued Gabor patch (= targets) while ignoring similar events in the other patch (= distractors).
205 During such events, Gabor patch luminance faded out to a minimum of 50% and back in
206 within a 300 ms interval. Targets and distractors occurred in 50% of trials and up to 3 times
207 in one trial with a minimum interval of 800 ms between subsequent onsets. Behavioral
208 responses were recorded as space-bar presses on a standard keyboard. The responding
209 hand was changed halfway through the experiment with the starting hand counterbalanced
210 across participants.

211 We manipulated the two factors *attended position* (left vs. right Gabor patch) and audio-
212 visual *synchrony* between attended Gabor patch and tone (synchronous vs. asynchronous) in
213 a fully balanced design. Trials of the resulting four conditions – attended synchronous
214 (A+S+), attended asynchronous (A+S-), unattended synchronous (A-S+) and unattended
215 asynchronous (A-S-) – were presented in a pseudo-randomized order. Note that the tone
216 was always in sync with one of the two Gabor patches. Therefore, in the two conditions in
217 which the tone was out of sync with the attended Gabor patch, it was in sync with the
218 unattended patch.

219 In total, we presented 600 trials (= 150 trials per condition) divided into 10 blocks (~5 min
220 each). Before the experiment, participants performed training for at least one block. After
221 each training and experimental block, they received feedback on the average hit rate and
222 reaction time.

223

224 2.4. Behavioral data recording and analyses

225 Responses were considered a 'hit' when the space bar was pressed between 200 to 1000 ms
226 after target onset. We further defined false alarms as responses to distractors within the
227 same time range. Based on these data, we calculated the response accuracy as the ratio of
228 correct responses to the total number of targets and distractors for each condition and
229 participant as follows:

$$230 \text{ ACC} = \frac{N_{\text{Hits}} + N_{\text{Correct Rejections}}}{N_{\text{Targets}} + N_{\text{Distractors}}} \quad [1]$$

231 where correct responses (= numerator) are the sum of target hits N_{Hits} and correctly rejected
232 distractors $N_{\text{Correct Rejections}}$. Correct rejections were defined as the total number of presented
233 distractors minus the number of false alarms. Accuracies were subjected to a two-way
234 repeated measures analysis of variances (ANOVA) with factors of *attended position* (left vs.
235 right Gabor patch) and *synchrony* (synchronous vs. asynchronous). Response speed,
236 quantified as median reaction times, was analyzed accordingly.

237 For all repeated measures ANOVAs conducted in this study effect sizes are given as η^2 (eta-
238 squared). Where applicable, the Greenhouse–Geisser (GG) adjustment of degrees of
239 freedom was applied to control for violations of sphericity (Greenhouse and Geisser, 1959).
240 Original degrees of freedom, corrected p -values (p_{GG}) and the correction coefficient epsilon
241 (ϵ_{GG}) are reported.

242 Further Post-hoc tests – two-tailed t -tests for paired comparisons or against zero – were
243 applied where necessary. We applied the Holm-Bonferroni procedure to correct p -values
244 (p_{HB}) for multiple comparisons (Holm, 1979).

245

246 2.5. Electrophysiological data recording

247 EEG was recorded from 64 scalp electrodes that were mounted in an elastic cap using a
248 BioSemi ActiveTwo system (BioSemi, Amsterdam, Netherlands) set to a sampling rate of 256
249 Hz. Lateral eye movements were monitored with a bipolar outer canthus montage

250 (horizontal electrooculogram). Vertical eye movements and blinks were monitored with a
251 bipolar montage positioned below and above the right eye (vertical electrooculogram). From
252 continuous data, we extracted epochs of 3500 ms starting at audio-visual stimulus onset. In
253 further preprocessing, we excluded 50% of epochs per condition (= 75) that corresponded to
254 trials containing transient targets and distractors (= brief luminance fadings). These
255 contained neural activity caused by processing target stimuli or motor activity due to
256 response button presses that may have biased spectral estimates. Epochs with horizontal
257 and vertical eye movements exceeding $25 \mu\text{V}$ (= 2.5° of visual angle), or containing blinks
258 were also discarded. To correct for additional artefacts, such as single noisy electrodes, we
259 applied the ‘fully automated statistical thresholding for EEG artefact rejection’ (Nolan et al.,
260 2010). This procedure corrected or removed epochs with residual artefacts based on
261 statistical parameters of the data. Artefact correction employed a spherical-spline-based
262 channel interpolation. For each participant FASTER interpolated up to 4 electrodes
263 (median = 2) across recordings and an average of up to 5.6 electrodes (minimum = 1.9,
264 median = 3.6) per epoch. Note that epochs with more than 12 artefact-contaminated
265 electrodes were excluded from further analysis. In total, we discarded an average of 15% of
266 epochs per participant and condition. Subsequently, data were re-referenced to average
267 reference and averaged across epochs for each condition and participant, separately. Basic
268 data processing steps such as extraction of epochs from continuous recordings and re-
269 referencing made use of EEGLAB (Delorme and Makeig, 2004) in combination with custom
270 routines written in MATLAB (The Mathworks, Natick, MA).

271

272 **2.6. Electrophysiological data analyses**

273 In our analyses we focused on two neural markers that have been repeatedly demonstrated
274 to index attentional modulation: SSR amplitudes (Morgan et al., 1996; Müller and Hubner,
275 2002; Quigley and Müller, 2014) and SSR inter-trial phase coherence (ITC, Kashiwase et al.,

276 2012; Kim et al., 2007; Porcu et al., 2013). Both measures also reflect effects of audio-visual
277 synchrony on early visual processing (Nozaradan et al., 2012). Approaches to derive
278 amplitudes and inter-trial phase coherence differ slightly and are thus described separately
279 below. Both approaches required spectral decompositions of EEG time series for which we
280 used the Fieldtrip toolbox (Oostenveld et al., 2011).

281

282 2.6.1. SSR power

283 Artefact-free epochs were truncated to segments of 3000 ms that started 500 ms after
284 audio-visual stimulation onset and averaged separately for each EEG sensor, experimental
285 condition and participant. The first 500 ms were omitted in order to exclude event-related
286 potentials to stimulus onset from spectral analyses. From de-trended (i.e. linear trend
287 removed) 3000 ms segments we quantified power (= squared amplitude) spectra by means
288 of Fourier transforms. For the FFT, the 768 data points representing each 3000 ms segment
289 were zero-padded to a length of 8192 (2^{13}) to achieve a fine-grained spectral resolution
290 (0.0312 Hz).

291 *Figure 2a* illustrates that our stimulation was effective in driving distinct SSRs: Power spectra
292 pooled across all 64 scalp electrodes and experimental conditions showed clear peaks at the
293 stimulation rates. Notably, spectra revealed strong harmonic responses at twice the pulse
294 frequencies (6.28 and 7.24 Hz). We included these pulse-driven harmonics in further
295 analyses because fundamental and harmonic responses have been hypothesized to reflect
296 different aspects of stimulus processing (Kim et al., 2011; Pastor et al., 2007; Porcu et al.,
297 2013) and showed modulation by synchrony in a previous study (Keitel and Müller, 2015).
298 Grand-average topographical distribution of pulse-driven as well as flicker-driven SSR power
299 averaged over conditions showed widespread maxima at parieto-occipital electrode sites
300 (scalp maps in *Figure 2a*) that are typically observed in experiments with lateralized flicker
301 stimulation (see e.g. Keitel et al., 2013).

302 For each participant and condition, SSR amplitudes were averaged across a cluster of 15
 303 electrodes covering parieto-occipital maxima (Oz, O1, O2, I2, I1, I2, POz, PO3, PO4, PO7, PO8,
 304 P7, P8, P9, P10; as indicated in left-most scalp map in *Figure 2a*). Using a unified cluster of
 305 electrodes across frequencies & stimuli allowed for a comparable spatial sampling of all SSR
 306 components.

307 Amplitudes were further normalized by taking the decadic logarithm, then multiplying it by
 308 20, to yield dB-scaled values (termed log-power in the following). All-positive SSR amplitude
 309 values typically show a left-skewed distribution across participants. By taking their logarithm
 310 we approximated a normal distribution (skew minimized) that better met the requirements
 311 of parametric statistical procedures.

312 SSR log power was subjected to four-way repeated measures analysis of variances (ANOVAs)
 313 with factors of driving *stimulus position* (left vs. right hemifield), *attention* (attended vs.
 314 unattended), *synchrony* (synchronous vs. asynchronous) and *SSR component* (pulse 1f, pulse
 315 2f and flicker 1f).

316 The factor *stimulus position* had no effect on SSR log power and did not show any interaction
 317 with the other factors (see *Results*). This afforded collapsing normalized power across left
 318 and right stimuli, i.e. across pulse frequency following ('pulse 1f') 3.14 Hz and 3.62 Hz, pulse
 319 frequency doubling ('pulse 2f') 6.28 and 7.24 Hz, as well as flicker frequency following
 320 ('flicker 1f') 14.17 and 17.00 Hz SSRs, respectively, in subsequent analyses.

321

322 2.6.2. SSR inter-trial phase coherence

323 We computed inter-trial phase coherence (Cohen, 2014) based on Fourier transforms of
 324 artefact-free single trial epochs, truncated to 3000 ms segments (as described above for SSR
 325 amplitude analyses) according to:

$$326 \quad ITC(f) = \left| \frac{1}{N} \sum_{n=1}^N \frac{c_n(f)}{|c_n(f)|} \right| \quad [2]$$

327 where $c_n(f)$ is the complex Fourier coefficient of trial n at frequency f and $|\cdot|$ indicates the
328 absolute value. Inter-trial phase coherence as a measure of SSR modulation has been
329 introduced to SSR analyses more recently (Kim et al., 2007; Nozaradan et al., 2012) and SSR
330 amplitude and phase coherence have demonstrated different sensitivities to top-down
331 influences on sensory processing (Kashiwase et al., 2012; Porcu et al., 2013). SSR Inter-trial
332 phase coherence can be visualized as spectra that typically display narrow peaks at
333 stimulation frequencies and higher order harmonics (Nozaradan et al., 2012; Ruhnau et al.,
334 2016).

335 Similar to SSR amplitudes, ITCs showed broad topographic maxima at parieto-occipital
336 electrode sites. Condition-averaged ITC spectra pooled across the 15-electrode cluster as
337 described above (see section 2.6.1) revealed distinct peaks at the six frequencies of interest
338 (Figure 2b).

339 Pooled ITCs were subjected to a four-way ANOVA with a design identical to SSR amplitude
340 analyses. Note that ITCs were normalized by taking the natural logarithm prior to statistical
341 evaluation. As for SSR log power, we found that ITC was insensitive to the *stimulus position*
342 (left vs right; see section 3.2.2.), which again afforded collapsing across left- and right-
343 stimulus driven in subsequent analyses.

344 2.6.3. Power of the ongoing EEG and SSRs

345 As depicted in Figure 2c, SSRs have very low signal-to-noise ratios when being evaluated on
346 the basis of averaged single-trial power spectra. Instead, these spectra accentuate the
347 typical $1/f^\alpha$ profile of power decreasing towards higher frequencies as well as peaks in the
348 vicinity of 10 Hz that are consistent with alpha rhythmic brain activity. In turn, these features
349 are much attenuated in SSR 'evoked' power and ITC spectra (Figures 2a and b).

350

351 2.6.4. Joint analyses of SSR amplitude and inter-trial phase coherence modulation

352 As laid out in the Results section, both of our manipulations, spatial attention and audio-
 353 visual synchrony, revealed distinct patterns of effects on SSR amplitudes and ITCs. To further
 354 characterize and compare these effects we computed an index that expressed attention-
 355 and synchrony-related amplitude and ITC modulations for each subject and SSR frequency
 356 component f (pulse 1f, pulse 2f and flicker 1f) according to:

$$357 \quad AMI_f = \frac{Amp_f^{att} - Amp_f^{ign}}{Amp_f^{att} + Amp_f^{ign}} \quad [3]$$

358 This attention modulation index (AMI) expressed the net gain effect of attention. AMIs were
 359 calculated for each stimulus individually. Amp^{att} denotes SSR amplitudes when a stimulus
 360 was attended and Amp^{ign} when the same stimulus was unattended (i.e. ignored). An
 361 identically scaled synchrony modulation index (SMI) was computed by contrasting SSR
 362 amplitudes between in-sync and out-of-sync conditions. We were thus able to compare both
 363 indices directly. Entering ITCs instead of SSR amplitudes into formula (3) yielded ITC-based
 364 AMIs and SMIs.

365 ANOVAs carried out for SSR amplitudes and ITC revealed that *attention* and *synchrony*
 366 influenced SSRs additively, i.e. no interaction between these factors was found (see *Results*).
 367 This finding justified collapsing AMIs across synchrony conditions and SMIs across attention
 368 conditions for each SSR component, separately, in the following analyses. As an example, we
 369 pooled the AMIs expressing the gain between synchronous conditions ($A+S+$ vs $A-S+$) and
 370 asynchronous conditions ($A+S-$ vs $A-S-$).

371 Because further analyses rested firmly on the assumption of an absent *attention * synchrony*
 372 interaction, we additionally applied a Bayesian inference approach because in contrast to
 373 the classical frequentist inference it allowed determining the amount of evidence in favor of
 374 the null hypothesis (H_0 : no interaction) explicitly. To this end, we estimated Bayes factors
 375 (Rouder et al., 2012), i.e. the plausibility of a specific model given the data. First, separately

376 for SSR power and ITC, we determined models based on factors and interactions that turned
377 out significant in ANOVAs. For example, SSR ITC was affected by a linear combination of
378 factors *attention + synchrony + (synchrony * SSR component)*. These models were tested
379 against two alternative models, one including an interaction term (*attention * synchrony*),
380 and another one including a main effect of *stimulus position*.

381 The analysis was performed by means of the function *anovaBF* provided by the R (version
382 3.3.0; R Core Team, 2013) package *Bayes factor* v0.9.12–2 (Morey et al., 2015). We adopted
383 the Jeffrey-Zellner-Siow (JZS) prior with a standard scaling factor r of .707 (Rouder et al.,
384 2012; 2009; Schönbrodt and Wagenmakers, 2015). Monte-Carlo resampling was based on
385 10^6 iterations. Participants were considered as random factor. Importantly, Bayesian
386 modelling favored the additive model (*attention + synchrony*) without an influence of the
387 factor *stimulus position* (see *Results*) and further justified calculating AMIs and SMIs while
388 collapsing across left and right stimuli. Results were robust against changing scaling factors.
389 Finally, AMIs and SMIs were entered into a three-way ANOVA with factors of *SSR component*
390 (pulse 1f, pulse 2f, and flicker 1f), *gain type* (attention vs synchrony) and *gain measure* (SSR
391 amplitude vs ITC). Modulation indices were further tested against zero by means of t-tests
392 (corrected for multiple comparisons).

393 → Insert Figure 2 here

394 3. RESULTS

395 3.1. Behavioral data

396 Participants detected luminance fadings more accurately when attending to left Gabor
397 patches (main effect *attended stimulus*: $F(1,11) = 32.30$, $P < 0.001$, $\eta^2 = 0.579$; see *Table 1*).
398 Accuracy remained unaffected by in-sync vs. out-of-sync tone presentation (main effect
399 *synchrony*: $F(1,11) < 1$). The interaction of both factors was not significant ($F(1,11) < 1$).
400 Reaction times increased slightly when participants performed the task on in-sync Gabor
401 patches (main effect *synchrony*: $F(1,11) = 9.27$, $P < 0.05$, $\eta^2 = 0.061$; see *Table 1*) but were

402 comparable between left and right stimuli (main effect *attended stimulus*: $F(1,11) < 1$). As for
403 accuracy, the interaction of both factors remained negligible ($F(1,11) < 1$).

404 On average participants responded to 7.17% of distractors (median; interquartile range =
405 14.00%). Due to their overall low occurrence false alarms were not analysed in detail. Note
406 however that they contributed to the here employed accuracy score (see Formula 1).

407 → *Insert Table 1 here*

408 3.2. EEG data

409 We focused our analyses on SSR amplitudes and inter-trial phase coherence values (ITCs) to
410 evaluate effects of spatial attention and audio-visual synchrony on early visual stimulus
411 processing. Each stimulus drove three spectrally distinct SSR components: one at the
412 frequency of stimulus pulsation, another one at twice the pulsation rate and a third
413 following stimulus flicker (i.e., pulse 1f, pulse 2f and flicker frequencies, respectively).

414

415 3.2.1. SSR power

416 SSR power decreased with increasing stimulus presentation rate (main effect *SSR*
417 *component*: $F(2,22) = 55.76$, $P_{GG} < 0.001$, $\epsilon_{GG} = 0.90$, $\eta^2 = 0.301$; also see *Figure 3*) as has been
418 documented extensively before (Keitel and Müller, 2015; Porcu et al., 2014). *Figure 3c*
419 underlines that amplitudes further varied with the allocation of attention towards stimuli
420 (main effect *attention*: $F(1,11) = 24.15$, $P < 0.001$, $\eta^2 = 0.094$) and were affected by audio-
421 visual *synchrony* ($F(1,11) = 71.01$, $P < 0.001$, $\eta^2 = 0.067$). Amplitudes were comparable for
422 left and right stimuli (main effect *stimulus position*: $F(1,11) < 1$). A significant *SSR*
423 *component * synchrony* interaction ($F(2,22) = 37.03$, $P_{GG} < 0.001$, $\epsilon_{GG} = 0.56$, $\eta^2 = 0.057$)
424 warranted a closer investigation of synchrony effects on specific SSR components. The
425 crucial *attention * synchrony* interaction ($F(1,11) = 1.12$, $P = 0.313$, $\eta^2 < 0.001$) as well as
426 other interaction terms remained non-significant (maximum $F(2,22) = 2.94$, $P = 0.074$, $\eta^2 =$
427 0.009 for the *stimulus position * SSR component interaction*).

428 The ANOVA results suggested a model based on the linear combination of factors *attention*
 429 + *synchrony* + *SSR component* + (*synchrony* * *SSR component*). Bayesian inference confirmed
 430 that this model was more plausible than the model including an (*attention* * *synchrony*)
 431 interaction given our data ($Bf_{\text{additive}} / Bf_{\text{interactive}} = 4.61 \pm 1.31\%$), as well as a model including a
 432 main effect of *stimulus position* ($Bf_{\text{additive}} / Bf_{\text{additive} + \text{stim. pos.}} = 7.55 \pm 2.47\%$).
 433 The *SSR component* * *synchrony* interaction originated from overall differences in the effect
 434 of synchrony (in-sync minus out-of-sync) on each SSR component that was most pronounced
 435 for pulse 2f components and virtually absent for flicker 1f responses (see *Figure 4a*). Specific
 436 contrasts confirmed that pulse 2f SSRs were more susceptible to synchrony effects than
 437 pulse 1f components ($t(11) = 4.19, P_{\text{HB}} < 0.05$). Pulse 1f components in turn showed stronger
 438 modulation than flicker 1f components ($t(11) = 5.02, P_{\text{HB}} < 0.05$). Lastly, pulse 2f components
 439 carried greater synchrony effects than flicker 1f components ($t(11) = 7.83, P_{\text{HB}} < 0.05$).

440 → Insert Figure 3 here

441 3.2.2. SSR inter-trial phase coherence

442 ITC showed substantial variation with audio-visual *synchrony* ($F(1,11) = 39.48, P < 0.001,$
 443 $\eta^2 = 0.113$) and the allocation of *attention* ($F(1,11) = 23.43, P < 0.001, \eta^2 = 0.139$) but no
 444 effect of *SSR component* ($F(2,22) = 2.24, P = 0.130, \eta^2 = 0.026$) or *stimulus position*
 445 ($F(1,11) < 1$). A significant *SSR component* * *synchrony* interaction ($F(2,22) = 16.16,$
 446 $P_{\text{GG}} < 0.001, \epsilon_{\text{GG}} = 0.54, \eta^2 = 0.064$) indicated that some SSR components were more
 447 susceptible to effects of audio-visual synchrony than others (*Figure 3b and d*). Remaining
 448 interaction terms, especially the *attention* * *synchrony* term ($F(1,11) < 1$), failed to indicate
 449 systematic effects (maximum $F(1,11) = 2.80, P = 0.082, \eta^2 = 0.014$ for the *attention* * *SSR*
 450 *component interaction*). Only the *synchrony* * *stimulus position* interaction was significant
 451 ($F(1,11) = 5.05, P = 0.046$) but explained a negligible amount of variance in the data
 452 ($\eta^2 = 0.003$) and was thus not further investigated. Note that the absence of effects of *SSR*
 453 *component, stimulus position* or an interaction of both factors on ITC supports a comparable

454 spatial sampling (by averaging across a uniform cluster of 15 parieto-occipital electrodes; see
455 *Methods*) of all SSR components.

456 Similar to SSR power, Bayesian inference supported the lack of an *attention * synchrony*
457 interaction. Comparing additive and interactive models by means of the Bayesian approach
458 showed evidence in favor of the additive model ($Bf_{\text{additive}} / Bf_{\text{interactive}} = 4.30 \pm 1.98\%$), again
459 best modelled without an influence of the factor *stimulus position* ($Bf_{\text{additive}} / Bf_{\text{additive + stim. pos.}}$
460 $= 6.71 \pm 0.96\%$).

461 *Figure 4b* illustrates that the *SSR component * synchrony* interaction stemmed from greater
462 synchrony effects (in-sync minus out-of-sync) on pulse 1f than flicker 1f components
463 ($t(11) = 4.50$, $p_{\text{HB}} < 0.05$). Also, synchrony affected pulse 2f ITC more strongly than flicker 1f
464 components ($t(11) = 5.06$, $p_{\text{HB}} < 0.05$). Effects between pulse 1f and 2f SSRs were comparable
465 ($t(11) = 2.09$, $p_{\text{HB}} = 0.19$).

466

467 3.2.3. Attention- vs Synchrony-related gain effects

468 As described in detail in the methods section, we computed indices that expressed SSR
469 attention- and synchrony-related modulation of each SSR component. These modulation
470 indices (AMIs and SMIs) allowed for a direct statistical comparison of the magnitude of
471 attention and synchrony-related gain effects on SSR amplitudes and ITCs. As MI analyses
472 assumed effects of attention and synchrony to be additive, further to the non-significant
473 *attention * synchrony* interactions reported above, we estimated the plausibility of additive
474 vs interactive models given our data by using a Bayesian approach. The estimated Bayes
475 factors for SSR power and ITC (see sections 3.2.1. and 3.2.2.) indicated that both results
476 were more than 4 times more likely under the additive than the interactive model.
477 Comparing modulation indices based on SSR amplitudes (Figure 4E) and SSR inter-trial
478 coherence (Figure 4F) revealed that, overall, attention led to stronger gain effects on SSRs
479 than synchrony ($15.7\% \pm 1.8$ vs $13.7\% \pm 1.8$, mean \pm standard error; main effect *gain type*:

480 $F(1,11) = 28.79, P < 0.001, \eta^2 = 0.20$). Most importantly, however, this difference in gain
481 effects varied between SSR components (interaction *gain type* * *SSR component*:
482 $F(2,22) = 6.66, P_{GG} = 0.007, \epsilon_{GG} = 0.898, \eta^2 = 0.13$) in the absence of a modulation of gain
483 effects across *SSR components* alone (main effect: $F(2,22) = 0.41, P = 0.668$).
484 From a methodological perspective it should be noted that power-based modulation
485 indicated a small but significantly higher gain than ITC based modulation (main effect *gain*
486 *measure*: $F(1,11) = 19.77, P < 0.001, \eta^2 < 0.01$), an effect that further depended on whether
487 attention or synchrony caused the modulation (interaction *gain measure* * *gain type*:
488 $F(1,11) = 7.85, P = 0.017, \eta^2 < 0.01$).
489 However, we disregarded these small effects to investigate the *gain type* * *SSR component*
490 interaction more closely. First, SSR amplitude and ITC-based modulation indices were tested
491 against zero. Attention systematically modulated all SSR components (see Figures 4E & F;
492 asterisks denote significant deviations from zero at a Holm-Bonferroni corrected alpha level
493 of $P < .05$). Synchrony, in turn, only modulated pulse 2f, but not pulse 1f and flicker 1f
494 responses for both, SSR power- and ITC- based modulation indices.
495 Given these highly similar patterns we pooled across measures. Then we tested gain
496 differences (Attention minus Synchrony) between SSR components. Elucidating the *gain*
497 *type* * *SSR component* interaction, gains differed more for flicker 1f than for pulse 1f SSRs
498 ($t(11) = 3.03, P_{HB} < .05$) and for pulse 2f SSRs ($t(11) = 3.06, P_{HB} < .05$). In turn, gain differences
499 were statistically comparable between pulse 1f and pulse 2f SSRs ($t(11) = -0.92, P = .376$)
500 highlighting the exclusive role of the flicker-driven signal component.

501 → Insert Figure 4 here

502 4. DISCUSSION

503 The role of top-down attention in multisensory binding and, conversely, bottom-up
504 multisensory influences on attentional orienting have been studied largely independent of
505 each other (Talsma et al., 2010). The present study was designed to bridge this gap.

506 Specifically, we studied situations in which participants attended to the position of one of
507 two pulsing and flickering stimuli providing it with a top-down processing advantage over
508 the other stimulus. Additionally, a tone pulsing in synchrony with either the attended or
509 unattended stimulus was introduced to produce a strong multisensory bottom-up bias in
510 visual processing. EEG-recorded SSRs driven by stimulus flicker and pulsation allowed us to
511 test whether and how spatial attention and audio-visual synchrony acted, and possibly
512 interacted, to facilitate cortical visual stimulus processing.

513

514 We evaluated two commonly used SSR measures, evoked power and inter-trial phase
515 coherence (ITC) to quantify modulations in stimulus processing. Both measures widely agree
516 on patterns of effects and will thus be considered jointly in the following.

517

518 Briefly summarizing the results, spatial attention facilitated pulse- *and* flicker-driven SSRs. In
519 contrast, synchrony specifically facilitated pulse-driven SSRs only with greater effects on
520 pulse 2f components while leaving flicker 1f components unaffected. Most importantly,
521 attention and synchrony produced independent additive gain effects. We confirmed that,
522 given our data, an additive model of both influences was more plausible than assuming
523 interactive effects. These findings replicate results from an earlier study using a related
524 paradigm. In that study we tested concurrent influences of feature-based attention and
525 audio-visual synchrony on two spatially super-imposed Gabor patches (Keitel and Müller,
526 2015).

527

528 **4.1. Spatial attention facilitates processing of all stimulus aspects**

529 The described effects of spatial attention are in line with numerous studies demonstrating
530 sensory gain effects on SSR-indexed cortical visual processing (Müller et al., 1998a; Störmer
531 et al., 2014; Walter et al., 2015). Interestingly, our results show that spatial attention has

532 comparable effects on SSRs driven by two different but simultaneous rhythmic changes in
533 stimulus appearance: a relatively fast on-off flicker (> 14 Hz) and a slow-paced sinusoidal
534 spatial frequency modulation (3 – 4 Hz). These results support the notion that spatial
535 attention prioritizes all aspects of sensory information within its focus (Andersen et al.,
536 2008; Keitel and Müller, 2015) as is central to psychological (Treisman and Gelade, 1980;
537 Wolfe, 1994) and neurophysiological models of attention (Bundesen et al., 2015; Reynolds
538 and Heeger, 2009) .

539 Note that participants performed better in the visual detection task when they attended to
540 the left stimulus. This effect could be due to a left-hemifield advantage as has been
541 described previously for rapid serial visual presentation paradigms (Śmigasiewicz et al.,
542 2014; Verleger et al., 2011). In turn, SSR analyses did not show differences in stimulus
543 processing between left and right stimulus positions. It is therefore possible that the
544 imbalance in task performance did not stem from differences in early visual processing of
545 left and right stimuli but was introduced at a later processing stage.

546

547 **4.2. Synchrony selectively facilitates stimulus aspects relevant for multisensory integration**

548 Facilitation of visual processing by audio-visual synchrony has largely been studied using
549 transient stimuli (Busse et al., 2005; Talsma et al., 2009). So far, only a few studies have
550 demonstrated synchrony-driven effects while employing dynamic ongoing stimulation
551 (Keitel and Müller, 2015; Nozaradan et al., 2012; Schall et al., 2009). Prolonged exposure to
552 synchronous sensory input, however, can be a vital factor in multisensory integration
553 because it improves the estimate of temporal correlations between visual and auditory
554 stimuli over time (Parise and Ernst, 2016). This is important in situations with multiple
555 concurrent stimuli (as studied here) because even unrelated visual and auditory events can
556 occur simultaneously occasionally.

557

558 Our study corroborates this role of ongoing audio-visual synchrony. Interestingly, synchrony-
559 related gain effects were thereby restricted to SSR components that reflected stimulus
560 pulsing, i.e. those rhythmic modulations that produced the impression of synchrony.

561

562 Visual stimulus dynamics either matched with or differed from the spectral profile of the
563 auditory stimulus, thus providing either maximal or minimal temporal correlation. Less
564 intuitively, the SSR component at twice the pulsation rate (pulse 2f) showed greater
565 synchrony modulations than the pulse-frequency following response (pulse 1f). In line with
566 Keitel et al. (2015), who employed a stimulus with similar dynamic properties, the pulse 2f
567 modulation was accounted for by the transients elicited by the stimulus at twice the
568 stimulus pulsation rates during maximum up- and down-slopes of the sinusoidal modulation,
569 or alternatively its extrema, i.e. peaks and troughs.

570

571 We propose that successive cross-modal phase resets may be the neural process underlying
572 synchrony-related modulation of both pulse-driven components. Cross-modal phase
573 resetting has been considered as the primary channel for multisensory interactions between
574 early sensory cortices (Lakatos et al., 2009; van Atteveldt et al., 2014). Unlike neurons in
575 higher order cortices, which are intrinsically multisensory (and hence sensitive to combined
576 multisensory information) neurons in early sensory cortices are primarily sensory specific,
577 but crucially sensitive to temporal information conveyed also by non-specific modalities. As
578 underlined by Lakatos et al. (2008), appropriately timed inputs in one modality can aid in
579 processing a stimulus presented in a different modality. In our case these connections may
580 support phase stability of visual SSRs by providing a cross-modal temporal scaffold (Kayser et
581 al., 2010; Lakatos et al., 2009). As a consequence, the temporal precision of cortical stimulus
582 representations increases, which awards them a processing advantage (Chennu et al., 2009).

583

584 Although our results are broadly in line with Nozaradan et al. (2012), who firstly measured
585 synchrony effects on SSRs, it is worth noting a discrepancy: In contrast to our findings the
586 authors reported an effect on a flicker-driven SSR with a frequency of 10 Hz, while
587 establishing synchrony with auditory beats at either 2.1 or 2.4 Hz. These differences may be
588 accounted for by the fact that the authors presented only one visual stimulus centrally. In
589 this setup, gain effects cannot not unambiguously be ascribed to synchrony, or alternatively,
590 altered attentional demands between synchronous and asynchronous conditions.

591

592 **4.3. Facilitatory effects of spatial attention and synchrony add up**

593 We found that attended and unattended stimulus experienced comparable gain through
594 synchrony. Vice versa, synchronous and asynchronous stimuli were similarly facilitated when
595 their position was attended. Remarkably, these findings point towards a dual reign of
596 attention and audio-visual synchrony in early sensory cortices, suggesting that both
597 influences can work independently and in parallel. This result seemingly contradicts previous
598 studies (Alsius et al., 2005; Fairhall and Macaluso, 2009) that showed an interdependence
599 between attention and multisensory interactions. However, this contradiction can be
600 reconciled by examining the experimental paradigm employed in the current study.

601

602 Unlike previous experiments, in which mutual input from different senses was essential for
603 successful behavioral performance, it is hard to construe a direct benefit from audio-visual
604 synchrony in performing our task, i.e. the purely visual detection of luminance changes. Our
605 paradigm might thus have promoted the independence between attention and audio-visual
606 interactions triggering two concurrent, but distinct processes: On the one hand, performing
607 the detection task required a sustained goal-driven deployment of spatial attention, while
608 on the other hand merging the audio-visual signals was most likely a stimulus-driven

609 process, triggered by the high temporal correlation between auditory and visual signal
610 components.

611

612 For these two processes to co-occur independently, we assumed the involvement of distinct
613 neural pathways. Various aspects of attention and its influence on perception have been
614 related to a number of anatomical networks (Shipp, 2004). To date, a dorsal fronto-parietal
615 network, which entails the intra-parietal sulcus (IPS) in posterior parietal cortex, a portion of
616 the precentral supplemental motor area, the so-called frontal eye fields (FEF) and early
617 sensory areas, such as visual cortex has been described most comprehensively (Corbetta and
618 Shulman, 2002). This cortical network has been implicated in the control of attention
619 (Corbetta et al., 1998) and was likely involved in deploying the resources necessary to
620 perform in our behavioral task.

621

622 On the other side, auditory influences on visual processing could have been conveyed by
623 two candidate routes that have been suggested as a results of earlier invasive
624 electrophysiological and anatomical studies in the animal brain: (1) feed-forward
625 projections between thalamus and early sensory cortices (Cappe et al., 2009), (2) lateral
626 projections between early sensory cortices (Falchier et al., 2002). From our data alone, we
627 cannot say which pathway was critical in the investigated situation. Both neural pathways
628 however are anatomically distinct from the fronto-parietal attention network (as described
629 above) and are thus consistent with our results.

630

631 It should be mentioned that our data analyses and interpretation of results depend on the
632 implicit assumption that attention and synchrony effects follow similar time courses and,
633 once established, remain constant through the course of each trial. At least for, spatial
634 attention we know that gain effects reach asymptote after ~500 ms and keep level for

635 several seconds (Müller et al., 1998b). A time course for synchrony-related gain instead has
636 not been established yet. This uncertainty notwithstanding, we restricted our analyses to a
637 period starting 500 ms after stimulus onset. We were confident that this time frame would
638 allow for enough audio-visual coincidence to be detected to establish synchrony. The
639 comparison of temporal profiles of attention- and synchrony related gain remains an
640 interesting subject for future studies, nevertheless.

641

642 As a final remark, Talsma et al. (2010) suggested that bottom-up multisensory integration
643 benefits a given stimulus the most when competition within one sensory modality is high,
644 e.g. when the visual field is cluttered. Our situation, with one stimulus presented to each
645 hemifield, promoted only minimal competition. Inter-hemispheric competition is introduced
646 relatively late in the visual processing hierarchy (Schwartz et al., 2007). Moreover,
647 attentional resources seem to split more readily between than within visual hemifields
648 (Franconeri et al., 2012; Störmer et al., 2013; Walter et al., 2015). It would thus be
649 interesting to test how synchrony-related gain effects vary with the amount of competition
650 by placing more than one stimulus within visual hemifields.

651

652 **4.4. Conclusion**

653 We investigated the concurrent effects of spatial attention and audio-visual synchrony on
654 early cortical visual stimulus processing. Our paradigm allowed us to test both influences in
655 isolation as well as their combined effects. We found that attention-related and synchrony-
656 related facilitation add up when an audio-visual synchronous stimulus is attended. Further,
657 attention facilitated pulse- and flicker-driven neural responses while synchrony only
658 targeted pulse-driven responses, i.e. those coding for stimulus dynamics that were relevant
659 for multisensory integration. Consequentially, the present results favor an account in which
660 goal-directed sustained spatial attention and stimulus-driven audio-visual synchrony convey

661 their influences independently via different neural processes and possibly along different
662 neural pathways. At least for situations similar to the one studied here, this finding implies
663 that facilitation through synchrony cannot simply be modelled as a sustained attraction of
664 spatial attention.

665

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673

674 **Conflict of interest:** The authors declare that they have no conflict of interest.

675

676

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896 **Figure captions**

897

898 **Figure 1** Stimulation details. (A) On-screen stimulus display comprising central fixation rings
 899 and one Gabor patch per lower left and right visual hemifield. All items not to scale.
 900 Participants received auditory stimulation via headphones. (B) Schematic trial time course.
 901 An instructive position cue allocates attention to the left or right stimulus. Subsequent
 902 ongoing Gabor-patch and tone stimulation are represented by grey sinusoids. (C) A common
 903 frequency modulation (FM; solid black line) of auditory tone pitch and the spatial frequency
 904 of one of the two Gabor patches produces a synchronous pulsing audio-visual percept.
 905 Concurrently, the spatial frequency of the other Gabor patch modulates at a slightly
 906 different frequency (dashed grey line), thus rendering it asynchronous to the tone.
 907 (D) Frame-by-frame visual stimulation for the right Gabor patch. The illustration shows the
 908 first 27 frames of each trial. Note the emphasis on the on-off cycles leading to a 17-Hz flicker
 909 along the horizontal axis (black boxes = off-frames) and one full cycle of the spatial
 910 frequency modulation leading to a 3.14-Hz ‘pulsation’ along the vertical axis.

911

912 **Figure 2** Stimulus-driven steady-state responses (SSRs) – spectra and scalp maps. (A) SSR
 913 power extracted from spectral decomposition of trial-averaged EEG waveforms, thus
 914 “stimulus-evoked”. Scalp maps show topographical distributions of power for the pulse-
 915 frequency following (*pulse 1f*), pulse-frequency doubling (*pulse 2f*) and flicker-frequency
 916 following (*flicker 1f*) SSR components driven by left and right stimuli respectively. White dots
 917 in left-most scalp map highlight the uniform sensor cluster used in all data analyses. Spectra
 918 below depict condition-averaged individual power spectra (grey lines) and, superimposed in
 919 black, the grand-average spectrum. Arrows indicate peaks that correspond to the respective
 920 driving frequencies (in Hz). (B) Same as (A) but for SSR inter-trial phase consistency (ITC)
 921 measured in arbitrary units (au). (C) Power spectra based on averaged spectral
 922 decompositions of single trials for comparison. Note that this approach emphasizes spectral
 923 characteristics of the ongoing EEG, such as the alpha rhythm (see peaks around 10 Hz,
 924 denoted α), over SSRs given our stimulation.

925

926 **Figure 3** SSRs by condition. (A) Condition-resolved grand-average power (dB) spectra. Top
 927 panel: Spectra split for Attend Left (dark graph) and Attend Right (light graph) conditions.
 928 Bottom panel: Spectra split for conditions in which the tone pulsed in synchrony with the left
 929 (dark) or right (light) Gabor patch. Shaded areas represent standard error of the mean
 930 (SEM). Arrows pointing to peaks indicate the spatial position of the corresponding driving
 931 stimulus (L = left, R = right). (B) Same as in (A) but for SSR inter-trial phase coherence (ITC)
 932 measured in arbitrary units (au). (C) Zoom-in on power at SSR component frequencies. For
 933 each frequency, box plots showcase inter-individual power distributions. Boxes depict
 934 interquartile ranges with medians superimposed as strong horizontal lines. Grey dots signify
 935 outliers. A common color code applies (also see color key): Hot colors = corresponding visual
 936 stimulus attended; Monochrome = visual stimulus unattended; Light colors = visual stimulus
 937 in sync with tone; Dark colors = visual stimulus and tone asynchronous. (D) Same as in C but
 938 for SSR inter-trial coherence.

939

940 **Figure 4** Quantifying and comparing attention- and synchrony related gain modulation. (A)
 941 SSR power (in dB) for all three SSR components of interest (*pulse 1f*, *pulse 2f* and *flicker 1f*)
 942 separated by whether the driving visual stimulus was attended (orange) or unattended (red).
 943 Box plots display inter-individual power distributions. Boxes depict respective interquartile
 944 ranges with medians superimposed as strong horizontal lines. (B) Same as in (A) but for SSR
 945 inter-trial phase coherence (ITC) measured in arbitrary units (au). (C) SSR power (in dB) for
 946 *pulse 1f*, *pulse 2f* and *flicker 1f* components separated by whether the driving visual stimulus

947 pulsed in sync with the tone (light grey) or asynchronous (dark grey). (D) Same as in (C) but
948 for SSR inter-trial phase coherence (ITC) measured in arbitrary units (au). (E) Boxes indicate
949 SSR power modulation (in au) by attention (brown) and synchrony (blue) for *pulse 1f*,
950 *pulse 2f* and *flicker 1f* components of interest. (F) Same as in (C) but for modulation of SSR
951 inter-trial phase coherence (in au). Grey dots in plots signify outlier values. Asterisks close to
952 medians in E & F demarcate statistically significant deviations from zero, i.e. systemic gain
953 modulations (two-tailed t-tests, $P < .05$, Holm-Bonferroni corrected for multiple
954 comparisons).
955

ACCEPTED MANUSCRIPT

Table 1 Average behavioral performance in the visual fading detection task (N = 12).

Attended Stimulus		Left		Right	
		S+	S-	S+	S-
Proportion	<i>M</i>	85.6 %	84.2 %	76.4 %	76.8 %
correct (%)	\pm SEM	2.2 %	2.0 %	2.4 %	2.7 %
Reaction	<i>M</i>	674	662	667	662
time (ms)	\pm SEM	14	16	16	13

M = mean; SEM = standard error of the mean; S+ = synchronous; S- = asynchronous

