

1 **Environmental conditions influence susceptibility of striped catfish**
2 ***Pangasianodon hypophthalmus* (Sauvage) to *Edwardsiella ictaluri***

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9 **Abstract**

10 Over the last 20 years the **production** farmed Vietnamese striped catfish
11 (*Pangasianodon hypophthalmus*) has increased significantly and in 2016, over 1.2
12 million tonnes of catfish were farmed and sold globally. Bacterial disease outbreaks
13 due to *Edwardsiella ictaluri* continue to be one of the biggest threats to the sector,
14 however, little is known on how the environmental conditions affect the survival of
15 the fish during disease outbreaks. Growth of 14 *Edwardsiella ictaluri* strains recovered
16 from natural disease outbreaks occurring in 4 provinces in Vietnam between 2002-
17 2011 was investigated *in vitro* under different pH and salt concentrations. The results
18 showed that a pH value of 6.5, NaCl concentration of 0.5% was optimal for the growth
19 of the bacteria *in vitro*. The effect of varied pH and salt concentrations on the
20 susceptibility of striped catfish to *E. ictaluri* infection was also studied *in vivo* following
21 an immersion bacterial challenge (1.1×10^7 cfu ml⁻¹ *E. ictaluri* for 30 s). The cumulative
22 mortality of striped catfish in water at pH 5.5 and pH 6.5 was significantly higher than
23 that of fish maintained in more alkaline water ($p < 0.05$). The cumulative mortality of
24 the striped catfish maintained in 0.5% NaCl was significantly lower than those kept in

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63 25 0%, 1 % and 1.5 % NaCl ($p < 0.05$). This study identified the effect of pH and salinity
64
65 26 changes on the susceptibility of striped catfish to *E. ictaluri* infections.
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68 27 **Keywords:** *Edwardsiella ictaluri*, *Pangasianodon hypophthalmus*, environmental
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70 28 conditions, pH, salinity.
71

72 29 **1. Introduction**

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75 30 Aquaculture is currently the fastest growing food production sector globally with the
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77 31 most rapid growth being visible in the Asian sector (Jennings et al., 2016). The
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79 32 freshwater catfish *Pangasianodon hypophthalmus* remains one of Vietnam's top
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81 33 seafood products, with most farms located in the Mekong Delta. The farmed catfish
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83 34 products are exported to almost 140 countries, including the USA and countries of the
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85 35 EU (Halls and Johns, 2013). Since 2006, *P. hypophthalmus* production has increased in
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87 36 Vietnam but the sustainable development of the sector is constantly threatened by
88
89 37 infectious disease outbreaks (De Silva and Phuong, 2011). Bacterial diseases have
90
91 38 been reported as the major infections affecting Vietnamese striped catfish farming
92
93 39 (De Silva and Phuong, 2011), where outbreaks can account for up to 50% of total losses
94
95 40 in these farms compared to non-infectious and infectious causes (Phuong et al., 2007).

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97
98 41 Bacillary necrosis of Pangasius (BNP) is a bacterial infection caused by the gram-
99
100 42 negative bacterium *Edwardsiella ictaluri* (Crumlish et al., 2002) and is considered as
101
102 43 the most serious disease occurring in striped catfish (Crumlish and Dung, 2006; De
103
104 44 Silva and Phuong, 2011; Phan et al., 2011). The influence of environmental conditions
105
106 45 on infectivity of *E. ictaluri* remains unclear. Experimental studies have shown that
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108 46 thermal fluctuation was the most significant precursor to establishment of *E. ictaluri*
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110 47 infection in channel catfish (Baxa-Antonio et al., 1992; Francis-Floyd et al., 1987;
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123 48 Plumb and Shoemaker, 1995) and high salinity altered the host response increasing
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126 49 susceptibility to infection (Uribe et al. 2011).
127
128 50 The Mekong Delta is forecast to be severely impacted by climate change, where a rise
129
130 51 in sea levels will increase the salinity and change the pH of the large downstream
131
132 52 region of striped catfish farming area (Nguyen et al., 2014; Nguyen et al., 2017). In
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134 53 Vietnamese farming systems, outbreaks of BNP are reported throughout the
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136 54 production cycle, but mortalities peak during seasons when the water quality changes
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138 55 rapidly, which correlated with the onset of the wet season, and increased rainfall in
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140 56 Vietnam (Luu, 2013; Phan et al., 2011; Phuong et al., 2007).
141
142
143 57 Given the importance of environmental conditions on the host-pathogen interaction,
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145 58 the aim of this study was to determine the survival and growth of *E. ictaluri in vitro*
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147 59 and evaluate how these environmental conditions may influence pathogenicity during
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149 60 an *in vivo* experimental challenge in *P. hypophthalmus*.

153 61 **2. Materials and methods**

156 62 *2.1. Source and identification of bacterial strains*

158 63 A total of 14 *E. ictaluri* isolates were included in the *in vitro* screening study, all
159
160 64 recovered from 14 different clinical disease outbreaks of pangasius catfish (*P.*
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162 65 *hypothalamus*) distributed in four provinces in Vietnam (Vinh Long, Can Tho, An Giang
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164 66 and Dong Thap province). These bacteria were all collected from natural disease
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166 67 outbreaks occurring between 2002 to 2011 (Table 1) and given the degree of
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168 68 homogeneity in genotypic profiles between the different strains, isolates used were
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170 69 representative of the six groups identified from the Pulsed Field Gel Electrophoresis
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172 70 (PFGE) study and for 4 provinces (data not shown) and arbitrarily selected for use in
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183 71 the *in vitro* studies. The isolates applied in this study were representative of the
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185 72 different temporal and geographical presence of the infectious disease (Table 1). All
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187
188 73 strains had been previous identified by routine bacteriology methods following
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190 74 Frerichs and Millar (1993) and 16 S rRNA gene sequencing and stored as purified
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192 75 strains deposited on cryo-preservative in commercially prepared Protect bead vials
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195 76 (Technical Service Consultant Ltd, UK) at -70°C until required. To confirm viability and
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197 77 purity from storage, a single bead per strain was grown in 10 mL of Tryptone Soya
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199 78 Broth (TSB, Oxoid UK) under vigorous shaking at 28°C for 24h and pure cultures
200
201 79 confirmed by plating onto Tryptone Soya Agar (TSA, Oxoid UK) and primary
202
203 80 identification tests performed with motility, oxidase, methyl Red, Voges-Proskauer,
204
205 81 Triple Sugar Iron Agar (TSI), Lysine decarboxylase, Arginine decarboxylase, Ornithine
206
207 82 decarboxylase and DNase activity following methods described in Frerichs & Millar
208
209 83 (1993) and Crumlish (2002). Motility test was performed with the wet-mount
210
211 84 technique, haemolysis was assessed on 5% sheep blood agar. Fermentation of
212
213 85 carbohydrates was assessed using purple broth base (Difco, UK) with 5% glucose,
214
215 86 fructose, galactose, glycerol, maltose, manose, or ribose added.

216
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218
219 87 The biochemical profiles of the isolates were determined using the commercially
220
221 88 available kit API 20E (Biomerieux, UK) where the kit was used following the
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223 89 manufacturer's instruction except inoculated strips were incubated at 28°C and
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225 90 results read after 48h. The *E. ictaluri* type strain (NCIMB 12733) was purchased from
226
227 91 the National Collection of Industrial and Marine Bacteria and used as an internal
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229 92 control for the *in vitro* screening.

230
231
232 93 **Table 1.** List of *Edwardsiella ictaluri* isolates according to the geographical region and
233
234 94 year of isolation.

Province	Isolate ID	Year Recovered*
An Giang	042	2006
	049	2008
	070	2011
Can Tho	008	2002
	021	2004
	062	2010
Dong Thap	026	2005
	045	2007
	055	2009
	076	2011
Vinh Long	036	2005
	037	2005
	074	2011
	079	2011

* = each strain represents a different farm even in the same year and province.

2.2. Bacterial preparation for in vitro assays

From a pure bacterial growth plate on TSA, a single colony was removed and placed directly into 5 mL of sterile TSB and incubated overnight at 28°C in the shaking incubator (Kuhner shaker, ISF-1-W, Switzerland; 140 rpm). After 24h the bacterial suspension was centrifuged at 3,500 rpm (Sanyo NSE Mistral 2000R, Japan) and washed twice in sterile phosphate-buffered saline (PBS), containing 0.02 M phosphate and 0.15 M NaCl, and the cell pellet re-suspended in sterile saline (0.85% NaCl) to achieve an OD_{600nm} value of 1, which was expected to give 1 × 10⁹ cfu mL⁻¹ based on

301
302
303 104 standard bacterial growth curves (data not presented). The Miles & Misra method
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305 105 provided viable colony counts (Miles et al. 1938). Briefly, the bacterial suspension of
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307 106 OD_{600nm} value of 1 was serially 10x diluted by adding 1x of suspension to 9x of diluent.
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309 107 The dilutions were made to 10^{-8} . Three TSA plates were prepared for each dilution
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311 108 series. Plates were divided into 6 equal sectors which were labelled with the dilutions
312
313 109 from 10^{-3} to 10^{-8} . In each section, 1 x 20 μ L of the appropriate dilution was dropped
314
315 110 onto the surface of the agar. The plates were left upright on the bench to dry before
316
317 111 inversion and incubation at 28°C for 24 hours. Colonies were counted in the sector
318
319 112 where the highest number of full-size discrete colonies can be seen. The number of
320
321 113 colony forming units (CFU) per mL were calculated by average number of colonies for
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323 114 a dilution x 50 x dilution factor. Then 10-fold serial dilutions were performed to give
324
325 115 approximately 1×10^7 cfu mL^{-1} concentration per strain tested. This bacterial
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327 116 concentration was used for all of the tolerance assays performed in this study. The
328
329 117 actual bacterial concentration used in the in vitro studies was evaluated by viable
330
331 118 colony counts method above.

332 119 2.3. Tolerance of bacterial growth to varied NaCl and pH conditions, in vitro

333 120 2.3.1. NaCl tolerance assay

334 121 One hundred microliters of pure *E. ictaluri* suspension at (10^7 cfu mL^{-1}) was aseptically
335
336 122 inoculated into 30 mL of sterile TSB with 6 NaCl concentrations (0, 0.5, 1.0, 1.5, 2.5
337
338 123 and 4.0% NaCl) and grown in a shaking incubator (Kuhner shaker, ISF-1-W,
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340 124 Switzerland) at 28°C, 140 rpm for 24 hours. A pH of 6.5 was used as the pH standard
341
342 125 for all NaCl treatments investigated. The un-inoculated TSB broth (containing 0.5%
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344 126 NaCl) was used as the negative control (Plumb & Vinitnantharat 1989; Benson 2002).
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346 127 Each salt tolerance assay was performed in triplicate per isolate tested. After 24 hour
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363 128 incubation at 28°C, the optical density (OD_{600nm}) was measured and viable colony
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365 129 counts were performed as previously described in 2.2.

368 130 2.3.2. pH tolerance assay

369
370 131 Farm data on the pH ranges in the striped catfish ponds both outwith and during
371
372 132 disease outbreaks were used as a guide for the assay performed (un published data of
373
374 133 survey Phuoc 2011). The pH range of 4.5, 5.5, 6.5, 8.5 and 9.5 was used in this assay.

375
376 134 The bacterial broth suspensions were prepared as previously described and 100uL of
377
378 135 the bacterial suspension inoculated into 30 ml of TSB at each of the pH levels being
379
380 136 tested. All samples were incubated as described above while the pH of TSB (7.5) and
381
382 137 un-inoculated tubes of TSB were used as an internal and a negative control,
383
384 138 respectively. Prior to adding in the bacteria, the pH values were adjusted using 1N HCl
385
386 139 or 1N KOH (Oxoid, UK) and measured by pH meter (Mettler Toledo, Fisher Scientific)
387
388 140 both prior and after **autoclaving**. Each pH tolerance assay was performed in triplicate.

389
390 141 The densities of all strains under different pH values were defined after incubating for
391
392 142 24 hours at 28°C by spectrophotometry (OD_{600nm}) (Jenway™ 630 501, Thermo
393
394 143 Scientific) and viable colony counts performed as previously described in 2.2. The NaCl
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396 144 concentration in TSB broth (0.5% NaCl) was used as reference concentration for all
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398 145 treatments.

404 146 2.4. In vivo challenge.

406 147 2.4.1. Source of the fish

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408 148 Apparently healthy fish (*P. hypophthalmus*) were transported from The National
409
410 149 Breeding Centre for Southern Freshwater Aquaculture at An Thai Trung Commune, Cai
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412 150 Be district, Tien Giang province, Vietnam **to the Applied Hydrobiology Laboratory of**
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414 151 **International University, Ho Chi Minh National University, Ho Chi Minh city, Vietnam.**

421
422
423 152 The fish had been starved for 1 day prior to being transported by air-conditioned car.
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425 153 The transportation time was 3 hours and fish were maintained in 4000 L fibreglass
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427
428 154 tanks using continuous flow-through water at 0.38 L min⁻¹ at 28°C ± 2°C, and fed
429
430 155 commercial catfish diet (Catfish 2 T502, Uni-President Co., Vietnam) for 14 days in the
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432 156 aquaria, prior to use. Fish used in this study were between 15-20g and health checks
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434 157 of fish prior to **experimental** challenge were performed by sampling the kidney of 5
435
436 158 fish directly onto TSA and checking for bacterial growth.
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439 159 2.4.2. Bacterial challenge strain

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441 160 A single bacterial strain of *E. ictaluri* (isolate 360) was used for all *in vivo* experiments.
442
443 161 This isolate was identified as *E. ictaluri* (Crumlish et al. 2002) and had been used in
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445 162 previous infectivity trials (Crumlish et al. 2010). To enhance pathogenicity after long-
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447 163 term storage, the *E. ictaluri* strain was **passed** through naive fish by intra peritoneal
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449 164 (i.p.) injection. Moribund fish were sampled for bacterial recovery from the kidney.
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451 165 This process was repeated twice. The isolate (called ex-passage 2) recovered from the
452
453 166 fish was identified as described previously and used for the *in vivo* fish experimental
454
455 167 challenge studies.
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458
459 168 The *E. ictaluri* challenge inoculum was grown in 50 mL of sterile TSB (pH 6.5) with 4
460
461 169 different % added NaCl of 0%, 0.5%, 1%, and 1.5% by adding NaCl (Oxoid, UK) or in
462
463 170 TSB (0.5% NaCl) at pH 5.5, 6.5, 7.5 or 8.5. The pH was adjusted using **1N HCl** or **1N KOH**
464
465 171 (Oxoid, UK) and **bacteria were** grown in an orbital shaking incubator at 28°C, 140 rpm
466
467 172 for 24 hours. After 24h the bacterial suspension was prepared to achieve an OD_{600nm}
468
469 173 value of 1 and then 10-fold serial dilutions performed to give approximately 1 x 10⁷ cfu
470
471 174 mL⁻¹ for the *in vivo* studies (Ngoc Phuoc N., et al., 2020). The actual bacterial
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473 175 concentration was determined by viable colony counts as previously described in 2.2.
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483 176 2.4.3. *In vivo* Experimental design
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485 177 All fish (*P. hypophthalmus*) were held in 50 L tanks and exposed to the bacteria by
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487 immersion for 30 seconds. Fish were immersed in the 10L tanks containing bacteria at
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489 179 1.1×10^7 cfu mL⁻¹, removed after 30 seconds and placed into the flow-through
490
491 experimental tanks (50 L) and observed for 14 days. The bacterial concentration was
492
493 180
494 determined from previous pilot studies where fish had been held at 0% added NaCl
495
496 and pH = 7.5 and was designed to give 60% total mortalities (data not shown). All fish
497
498 182
499 and treatment groups were randomly allocated. Each treatment group had 3 replicate
500
501 183
502 tanks containing 10 fish per tank (n=30 fish per treatment group). The control group
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504 185
505 had duplicate tanks with 10 fish per tank (n=20 fish) and a total of 260 fish were used
506
507 186
508 for all experiments.

508 187 For the NaCl treatment groups, fish were held in tanks containing 0, 0.5, 1 or 1.5%
509
510 188 added NaCl for 2 weeks before and after receiving the challenge as described above.

512 189 The challenge bacteria were grown in the same NaCl concentrations as the fish. The
513
514 control fish group received the same treatment were maintained at 0% added NaCl
515
516 190
517 191 but were not exposed to bacteria.

519 192 For the pH treatment groups, a range of 4.5, 5.5, 6.5, 7.5 and 8.5 was used in the *in*
520
521 *vivo* challenge. This range reflected the pH values reported from catfish farms
522
523 193
524 (unpublished data) and following recommendations from Wurts & Durborow (1992).
525

526 195 Fish were maintained in water at either pH at 5.5, 6.5, 7.5 or 8.5 for 2 weeks before
527
528 196 and after exposure to the *E. ictaluri* bacteria, as described above. Again the *E. ictaluri*
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530 197
531 was grown at pH 5.5, 6.5, 7.5 or 8.5 (*in vitro*). The control fish group received the same
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533 198
534 treatment but were maintained at pH 7.5 and received no bacteria.
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543 199 After exposure to the bacteria, fish were kept in 50 L plastic tanks using continuous
544
545 200 flow-through water at 0.38 L min⁻¹, a 12 h light: 12 h dark cycle and water temperature
546
547 201 at 26 ± 2°C for 15 days. Aeration was supplied through an air stone to each tank and
548
549 202 the fish were fed with a commercial diet (Catfish 2 T502, Uni-President Co., Vietnam)
550
551 203 to apparent satiation twice daily. The desired pH and NaCl concentration of the tank
552
553 204 water were adjusted using 1N HCl or 1N KOH or NaCl (Oxoid, UK). The water
554
555 205 temperature, salinity and pH was checked daily using a portable pH meter
556
557 206 (pH/temperature Hanna Model-HI98190, Rumani) and a refractometer (Atago Model
558
559 207 2491-master's, Japan). Moribund/dead fish were removed daily and samples for
560
561 208 histopathology and bacteriology taken following methods described in Crumlish et al.,
562
563 209 (2010). At the end of the challenge period, 50% of all surviving fish per treatment
564
565 210 group were examined for gross clinical signs of disease and sampled for bacterial
566
567 211 recovery.

572 212 *2.5. Statistical analysis*

573
574
575 213 Parametric assumptions (the bacterial growth as measured from OD values of
576
577 214 different isolates over the NaCl or pH ranges tested) were evaluated using Levene's
578
579 215 test for homogeneity of variances and Shapiro–Wilk's test for normality.

580
581 216 As data were normally distributed and homoscedastic, the growth rates (OD) of
582
583 217 different isolates at pH 7.5 and 8.5 or different concentration of NaCl (0.5 and 1%)
584
585 218 were compared using one-way ANOVA, followed by Tukey test. For non-normal
586
587 219 distributed data, the growth rates (OD) of different isolates at pH values (4.5, 5.5, 6.5
588
589 220 and 9.5) and NaCl concentrations (0%, 1.5%, 2.5% and 4%) were compared by Kruskal-
590
591 221 Wallis. The multiple comparisons and correlation analyses between growth rate (OD)
592
593 222 of isolate at different pH and NaCl concentration were conducted using 2-way Anova
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603 223 with (isolate and pH) or (isolate and NaCl) concentration as fixed factors, the OD value
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605 224 of each pH or each concentration of NaCl was treated as dependent **variable**. The
606
607
608 225 survival rates between treatment groups exposed to the bacteria *in vivo* were
609
610 226 compared by one-way ANOVA, and estimation of survival times was analysed using
611
612 227 Kaplan-Meier curves.

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615 228 All analysis was performed using the SPSS program 20.0, and significance identified as
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617 229 **$P \leq 0.05$** .

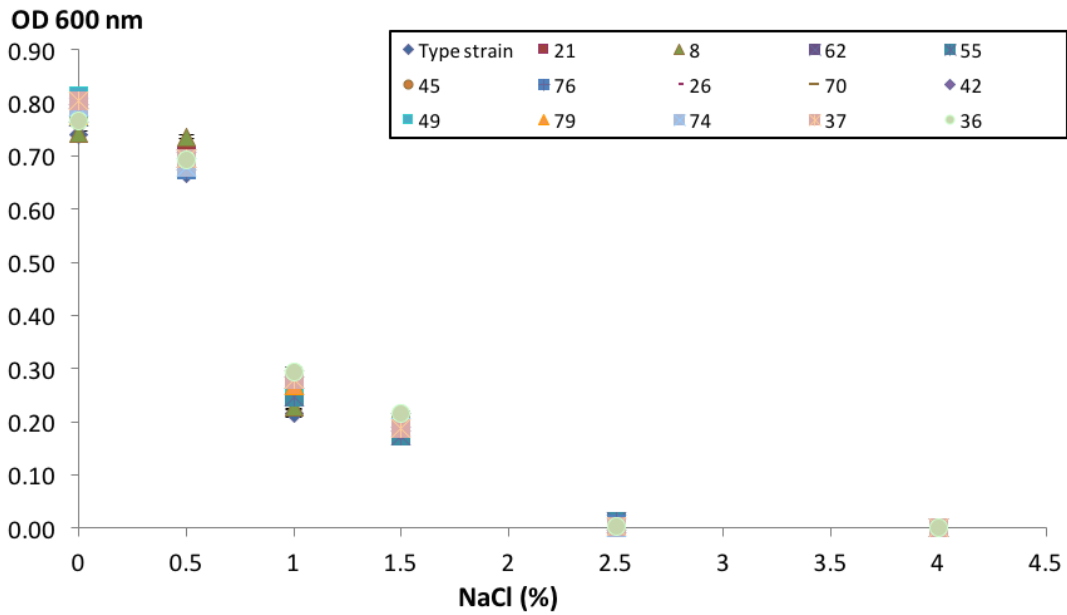
618 619 230 *2.6. Ethical Considerations*

620
621 231 All studies were approved following the ethical review processes at University of
622
623 232 Stirling. The *in vivo* fish trials were performed in Vietnam, but all studies were
624
625
626 233 conducted according to the ethical approval processes of **the Home Office Licence**
627
628 234 **60/3949**.

629 630 235 **3. Results**

631 632 633 236 *3.1. Tolerance of E. ictaluri to NaCl and pH, as judged by OD and viable recovery*

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636 237 All bacterial isolates examined in this study grew in TSB at 0 to 1.5% NaCl and no
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638 238 growth or viable bacterial recovery was observed at 2.5 % and 4% NaCl (Fig. 1).



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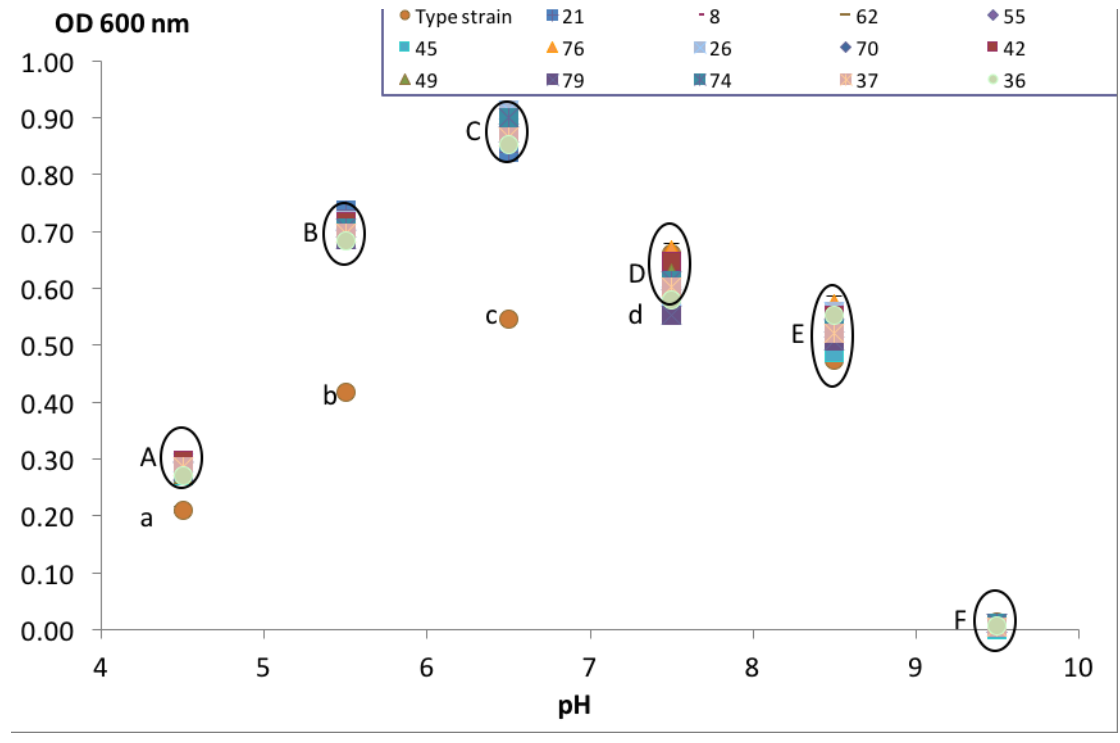
240 **Fig. 1.** The OD value of *Edwardsiella ictaluri* grown in 0-4% NaCl, *in vitro*. The type strain is
 241 American *E. ictaluri* 12733 from the National Collection of Industrial and Marine Bacteria
 242 (NCIMB).

243 Although all of the *E. ictaluri* isolates grew in 0 to 1.5% added NaCl, the **better** growth
 244 was observed in the treatments of 0% or 0.5% added NaCl as determined by
 245 absorbance values (Fig. 1). Lower bacterial growth was observed for all isolates
 246 cultured in NaCl concentrations of **1% and above** (Fig. 1). **With the exception of one**
 247 **isolate (isolate 8), all of the Vietnamese *E. ictaluri* had statistically higher absorbance**
 248 **(growth) at 1% and 1.5% added NaCl compared to the strains grown at 2.5% (p=0.017;**
 249 **p=0.05, respectively) and at 4% added NaCl (p=0.06; p=0.00, respectively). No**
 250 **significant difference in bacterial growth was observed in the strains grown at 2.5%**
 251 **(p= 0.733) and 4% added NaCl (p=1).**

252 All isolates grew in TSB with pH from 4.5 to 8.5 but higher OD value (growth) was
 253 observed at pH 5.5 and 6.5 compared to those at pH 4.5, 7.5, 8.5 and 9.5 (Fig. 2).

254 Growth of the isolates examined was statistically greater when isolates were

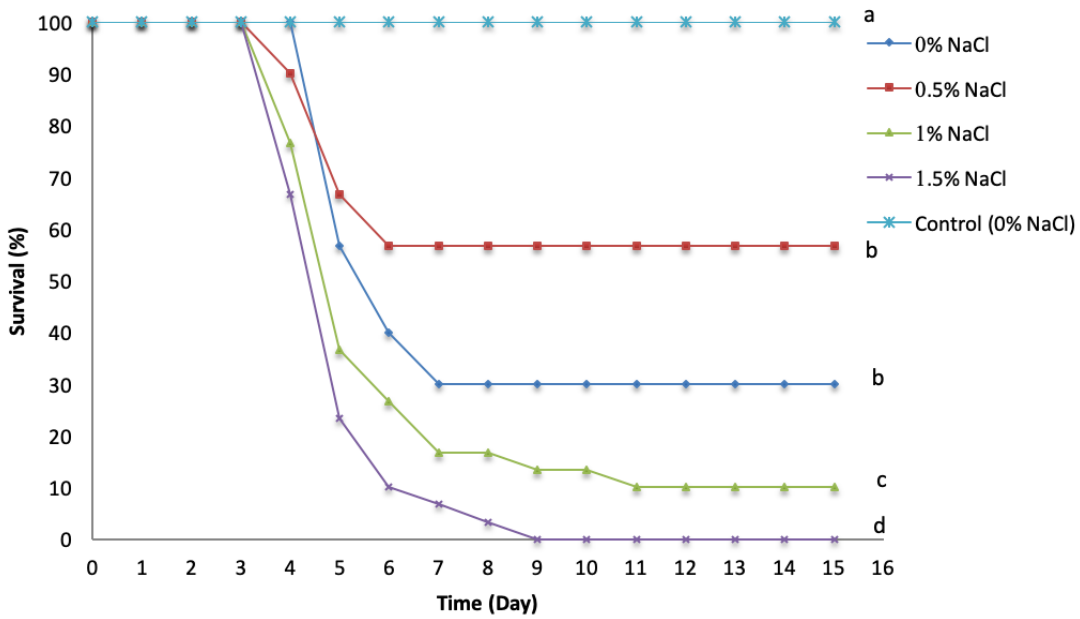
255 inoculated at pH 6.5 than those in any other pH treatments (Fig. 2). The Vietnamese
 256 *E. ictaluri* isolates had a better growth than the USA NCIMB type strain at pH 4.5
 257 (p=0.03), pH 5.5 (p= 0.04), pH 6.5 (p= 0.04), pH 7.5 (p=0.017), and pH 8.5 (p=0.00) as
 258 judged by higher OD value. No significant difference was found between growth of
 259 isolates at pH 9.5 (p=0.206). At the highest pH value, all isolates remained viable but
 260 non-culturable, and became culturable once transferred to normal TSA (0.5% NaCl, pH
 261 6.5) and incubated 3 days at 28°C.
 262 For all isolates tested, no differences were observed in the colony or micromorphology
 263 of the bacteria grown in different levels of NaCl or pH.



264
 265 **Fig. 2.** The OD value of *Edwardsiella ictaluri* in different pH conditions, *in vitro*. Means with
 266 the same letter are not significantly different (p>0.05). Mean with same letter but different
 267 style (upper case and low case) are significantly different (p ≤ 0.05). The type strain is
 268 American *E. ictaluri* 12733 from the National Collection of Industrial and Marine Bacteria
 269 (NCIMB).
 270

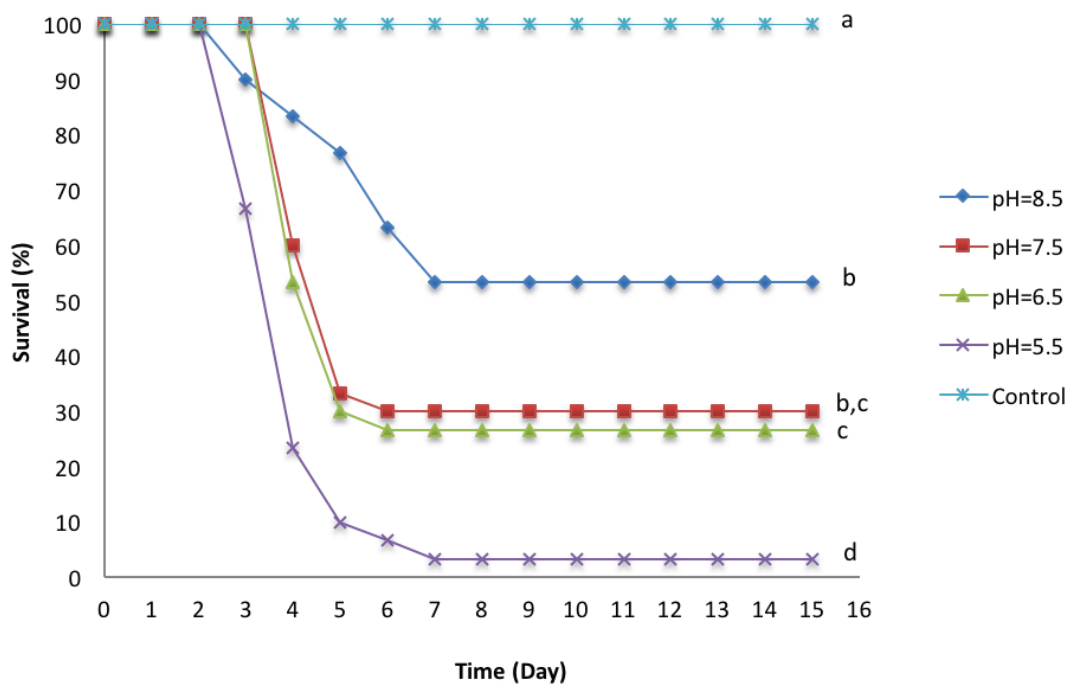
271 3.2. Survival in the challenge test of striped catfish maintained at varied water
 272 salinities or pH

273 The highest fish survival (60%) was recorded in the treatment group held in 0.5%
 274 added NaCl, which was twice the survival rate of the fish held in 0% NaCl (30%) (Fig.
 275 3), however, this was not statistically significant ($p= 0.064$). All fish died in the 1.5%
 276 added NaCl treatment group (Fig. 3). Survival rate of the fish in the treatment receiving
 277 1% NaCl was only 10%. The survival of fish in the treatment group receiving 1.5 %
 278 added NaCl and in the treatment of 1% added NaCl was lower than those in treatment
 279 of 0.5% added NaCl ($p= 0.000$; $p=0.000$, respectively) or treatment of 0% NaCl
 280 ($p=0.000$; $p =0.026$, respectively) (Fig. 3).



281
 282 **Fig. 3.** Survival of striped catfish in different salinities after immersion exposure to *E.*
 283 *ictaluri* for 30 seconds. Different letters indicate significantly different treatments
 284 ($p<0.05$).

841
842
843 285 Fish survival was pH dependent, as the lowest survival was observed in the fish
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846 286 exposed to the lowest pH value (pH 5.5) and was statistically significantly lower than
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848 287 those in the fish held at pH 6.5 (p=0.01), or pH 7.5 (p=0.00), or pH 8.5 (p=0.00) (Figure
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850 288 4). The survival of fish exposed to bacteria at pH 8.5 was highest (53.3%) but was not
851
852 289 significant different with treatment pH 7.5 (p=0.08).

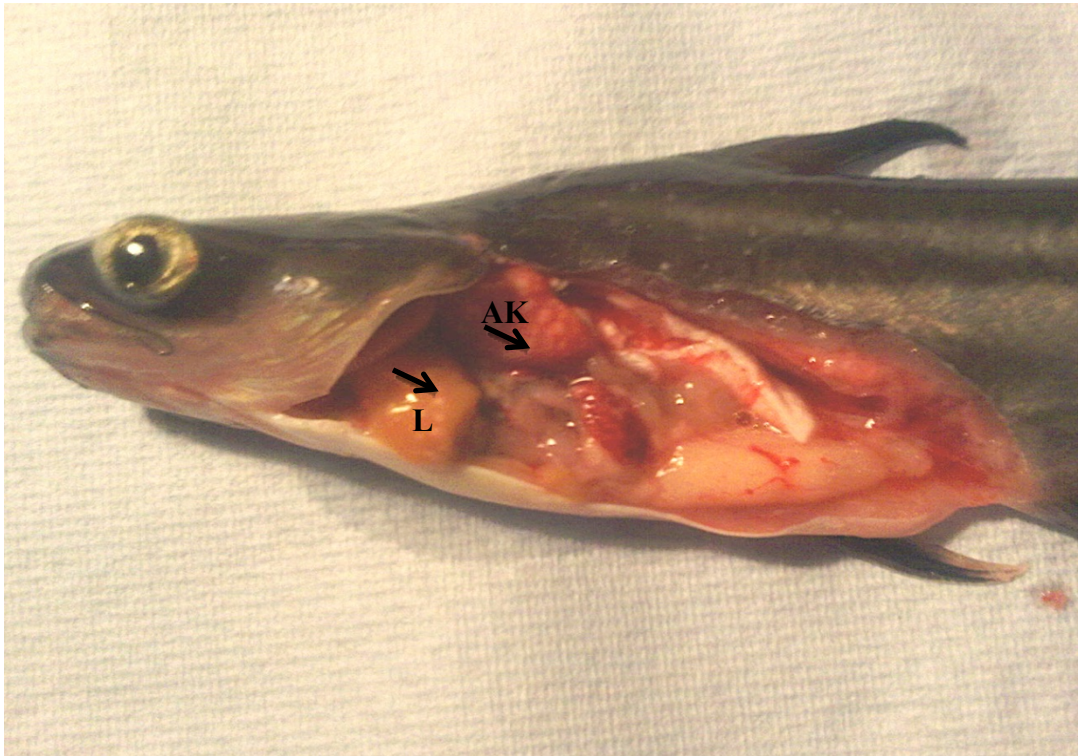


876
877 290
878
879 291 **Fig. 4.** Survival of fish exposed to *E. ictaluri* for 30 seconds under different pHs and the control
880 292 group. Different letters indicate significantly different treatments (p<0.05).

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882 293 **3.3. Clinical signs and gross pathology**

883
884 294 Moribund/dead fish experimentally exposed to *E. ictaluri* under different pH and NaCl
885
886 295 concentration showed clinical signs of BNP disease similar to naturally infected fish
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888 296 with typical clinical signs of white lesions observed grossly in the kidney and liver
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890
891 297 within 4 days post exposure (Fig. 5).

901
902
903 298 Typical pathological changes of cellular inflammation and large areas of necrosis were
904
905 299 observed in the spleen and kidney of infected fish exposed to different salinities and
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908 300 pH (results not shown). No mortalities/morbidity, clinical signs of disease or
909
910 301 histopathological changes were seen in the control fish group or the survivors.
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935 302
936 303 **Fig. 5.** Typical gross presentation of moribund fish exposed to bacteria, white lesions (arrows)
937
938 304 observed in the anterior kidney (AK) and liver (L).
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940 305 3.4. *Bacteria identification in the experimental fish groups*

941
942 306 All bacterial isolates recovered from affected fish with clinical signs of BNP from all
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944 307 experiments performed showed almost identical phenotypic characteristics to the
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946 308 original challenge strain. All isolates were described as small gram-negative rods,
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948 309 formed semi-transparent, round colonies on TSA and were cytochrome-oxidase
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950 310 negative. They were positive for lysine decarboxylase and only fermentation was
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952 311 observed using glucose as the substrate. The API 20E biochemical profile was 400400
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963 312 for all isolates recovered during the experimental challenge studies performed, which
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965 313 confirmed *E. ictaluri*. Pure cultures were recovered from the kidney of moribund fish
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967
968 314 in all experiments where fish had clinical signs of BNP disease. No *E. ictaluri* was
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970 315 recovered from the surviving or control fish sampled at the end of the study period
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972 316 for any experimental groups.

975 317 **4. Discussion**

978 318 Although little has been published on NaCl or pH tolerances and *E. ictaluri* infections
979
980 319 in striped catfish, the results from this study would support increased susceptibility to
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982 320 infection when fish are kept in water at low pH and high salinity conditions. This
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984 321 agreed with previous studies where environmental conditions (pH, salinity) in the
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986 322 water were considered to favour the expression of virulence factors in USA *E. ictaluri*
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988 323 strains recovered from infected channel catfish (Rogge and Thune, 2011).

991 324 Sodium chloride tolerance of Vietnamese *E. ictaluri* isolates recovered from striped
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993 325 catfish (*P. hypophthalmus*) *in vitro* was similar to that reported from the USA *E. ictaluri*
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995 326 isolates recovered from channel catfish (*Ictalurus punctatus*) (Hawke et al., 1981;
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997 327 Plumb and Vinitnantharat, 1989; Waltman and Shotts, 1986). The NaCl tolerance of
998
999 328 Vietnamese *E. ictaluri* isolates investigated in this study was in agreement with the
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1001 329 previous findings that *E. ictaluri* can grow *in vitro* at 1.5% but not in 2% NaCl, thus
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1003 330 supporting *E. ictaluri* as a freshwater pathogen able to tolerate brackish water
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1005 331 conditions (Plumb and Vinitnantharat, 1989; Waltman et al., 1986).

1009 332 In this study, the fish groups held at the lower NaCl concentrations (0 or 0.5% NaCl),
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1011 333 had significantly reduced mortality/morbidity when experimentally infected with *E.*
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1013 334 *ictaluri*. This was in agreement with Plumb and Shoemaker (1995) who demonstrated

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1023 335 significantly lower mortalities in Channel catfish naturally infected with *E. ictaluri*
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1025 336 when held in lower concentrations of NaCl. An incremental increase in NaCl
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1027 337 concentration from 0 to 0.5% significantly decreased the mortality in the striped
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1029 338 catfish experimentally infected with *E. ictaluri*. Furthermore, the best growth rates of
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1031 339 the *E. ictaluri in vitro* were observed when the bacteria were cultured at the lower
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1033 340 concentration of NaCl (0 or 0.5% NaCl), however in the *in vivo* bacterial challenge
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1035 341 study, the survival of fish was highest at 0.5% NaCl, suggesting that the striped catfish
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1037 342 benefit physiologically from 0.5% NaCl thus increasing resistance. No measurements
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1039 343 of the host-pathogen interaction were made during the study, but it may be that the
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1041 344 lower salinity is better for the catfish host and does not preferentially enhance
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1043 345 virulence factors for the bacteria. This is supported by the greater increase in
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1045 346 mortality observed in the striped catfish held at 1% and 1.5% NaCl, perhaps suggesting
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1047 347 that the higher NaCl concentration affects both the growth of *E. ictaluri* but is more
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1049 348 damaging to osmoregulatory functions of the catfish leading to increased disease
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1051 349 susceptibility. Until now, the effect of NaCl concentration on striped catfish in Vietnam
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1053 350 has not been investigated in relation to diseases susceptibility or even host physiology.
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1055 351 However, Allen (1969) showed that a 1% NaCl concentration or less, permitted normal
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1057 352 growth and survival of channel catfish (Allen, 1969).
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1059 353 NaCl has been commonly used in striped catfish farming as a therapeutant (Crumlish
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1061 354 and Dung, 2006; Phan et al., 2011; Phan et al., 2009). The amount of NaCl reportedly
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1063 355 used by Vietnamese fish farmers varied from 300kg to 500kg per 20 000m³ per 1 to 2
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1065 356 weeks (unpublished data). When NaCl is added to the freshwater ponds during the
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1067 357 production cycle as a proxy treatment or putative preventive measure, this may lead
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1069 358 to an increase in the NaCl tolerance of striped catfish. In the study presented, there
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1083 359 were no mortalities or morbidity experienced in the catfish groups when held at
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1085 360 higher salinity levels (1 and 1.5% added NaCl) during the acclimation prior to bacterial
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1087 361 exposure. The addition of 0.5% added NaCl within the experimental facilities did not
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1089 362 significantly affect the behaviour or apparent health of the *P. hypophthalmus* and
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1091 363 increased the survival of fish when experimentally challenged with *E. ictaluri*. Low
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1093 364 NaCl can be applied in ponds where natural salinity water is available for giving the
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1095 365 better survival rate of freshwater striped catfish.
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1099 366 The pH was considered as one of an important factors influencing the susceptibility of
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1101 367 Chanel catfish to stress-induced Edwardsiellosis (Baxa-Antonio et al., 1992; Mqolomba
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1103 368 and Plumb, 1992). Data generated in this study from the *in vitro* work showed the
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1105 369 optimum pH for growth of *E. ictaluri in vitro* was between 5.5 and 6.5 in contrast to
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1107 370 the previous finding of Plumb and Vinitnantharat, (1989) who found that a pH of 7-7.5
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1109 371 was the optimum growth condition for USA *E. ictaluri*. In this study, Vietnamese
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1111 372 isolates grew better at the lower pH 5.5 compared with pH 7.5. Furthermore, the
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1113 373 Vietnamese *E. ictaluri* strains appeared more acid tolerant when tested *in vitro*. When
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1115 374 investigating the effect of pH on the virulence of American isolates, Booth et al., (2009)
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1117 375 found that *E. ictaluri* produced an acid-inducible urease enzyme to increase its
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1119 376 virulence at pH levels equal or less than 4. Moreover, the type III secretion system
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1121 377 (T3SS) apparatus gene and the T6SS gene in the *E. ictaluri* , which are virulence factors
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1123 378 promoting infectivity in channel catfish were more activated at lower pH 5.5 (Booth
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1125 379 et al., 2009; Rogge and Thune, 2011; Rogge et al., 2013; Thune et al., 2007). In this
1126
1127 380 study, we did not investigate the expression of urease from bacteria maintained at
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1129 381 different pH nor did we determine the effect of pH on expression of virulence genes.
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1131 382 It is important to investigate this characteristic further particularly as peak *E. ictaluri*
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1143 383 infections resulting in heavy mortality in farmed striped catfish in Vietnam have been
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1145 384 reported and observed during the rainy season when pH of water was lower than 6.5
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1148 385 (Anh et al., 2010; Giang H.T., 2008).
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1150 386 Furthermore, the availability of a urea source in the fishponds from uneaten feed and
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1152 387 fish waste could easily be stimulating the activity of *E. ictaluri* urease resulting in
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1154 388 enhanced survival, growth and virulence. Therefore, the “BNP window” as described
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1156 389 by the Vietnamese fish farmers may be dependent on the pH of the aqueous
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1158 390 environment. The results provided from this study would support this hypothesis on
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1160 391 the pH dependent window of BNP infections, thus higher mortalities during seasonal
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1162 392 variations.
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1164 393 At the highest pH values tested in this study the *E. ictaluri* strains remained viable but
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1166 394 non-culturable, however, when incubated in more “favourable” conditions i.e. at
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1168 395 lower pH they became culturable again. This may also support the mechanisms to
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1170 396 enhance prolonged survival of the *E. ictaluri* bacterial loads in the Vietnamese catfish
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1172 397 farms. Although pH 9.5 inhibited the growth of this bacterium under *in vitro* conditions
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1174 398 it was unrealistic to use this value as it would be dangerous to fish because of the rise
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1176 399 in blood NH₃ levels which would result in a marked increase in body stores of total
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1178 400 ammonia and toxicity to fish (Randall and Wright, 1989). Wurts & Durborow (1992)
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1180 401 also recommended that the pH range for aquaculture should be between 6.5-9.0 and
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1182 402 fish may become stressed and die if the pH drops below 5 or rises above 10.
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1184 403 The wastewater and sludge discharge from striped catfish ponds contribute to the
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1186 404 acidification of water in the river and surrounding water areas (Anh et al., 2010) and
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1188 405 is considered to contribute to an increase in *E. ictaluri* outbreaks in striped catfish in
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1190 406 Vietnam. The findings for the study presented, certainly supported that a lower
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1203 407 survival rate was observed in the fish exposed to the bacteria and held at more acid
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1205 408 pH water.

1207 1208 409 **5. Conclusion**

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1210 410 This study showed that the infectivity of the bacterium *E. ictaluri* is altered depending
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1212 411 on the environmental conditions of the fish. However, further work is required to
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1214 412 evaluate the impact of varied salinity and pH conditions to the health and welfare of
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1216 413 the striped catfish as this study looked at fish and bacterial survival but did not
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1218 414 evaluate the change in host-pathogen interaction or even subsequent alteration of
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1220 415 virulence expression of the bacterium and host immune response within these
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1222 416 conditions. These data help to establish a relationship between 2 important
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1224 417 environmental factors (NaCl and pH) and the susceptibility of striped catfish to *E.*
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1226 418 *ictaluri* infection and lead the way for future studies to evaluate infectivity and host
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1228 419 response.

1232 1233 420 **Acknowledgement**

1234
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1236
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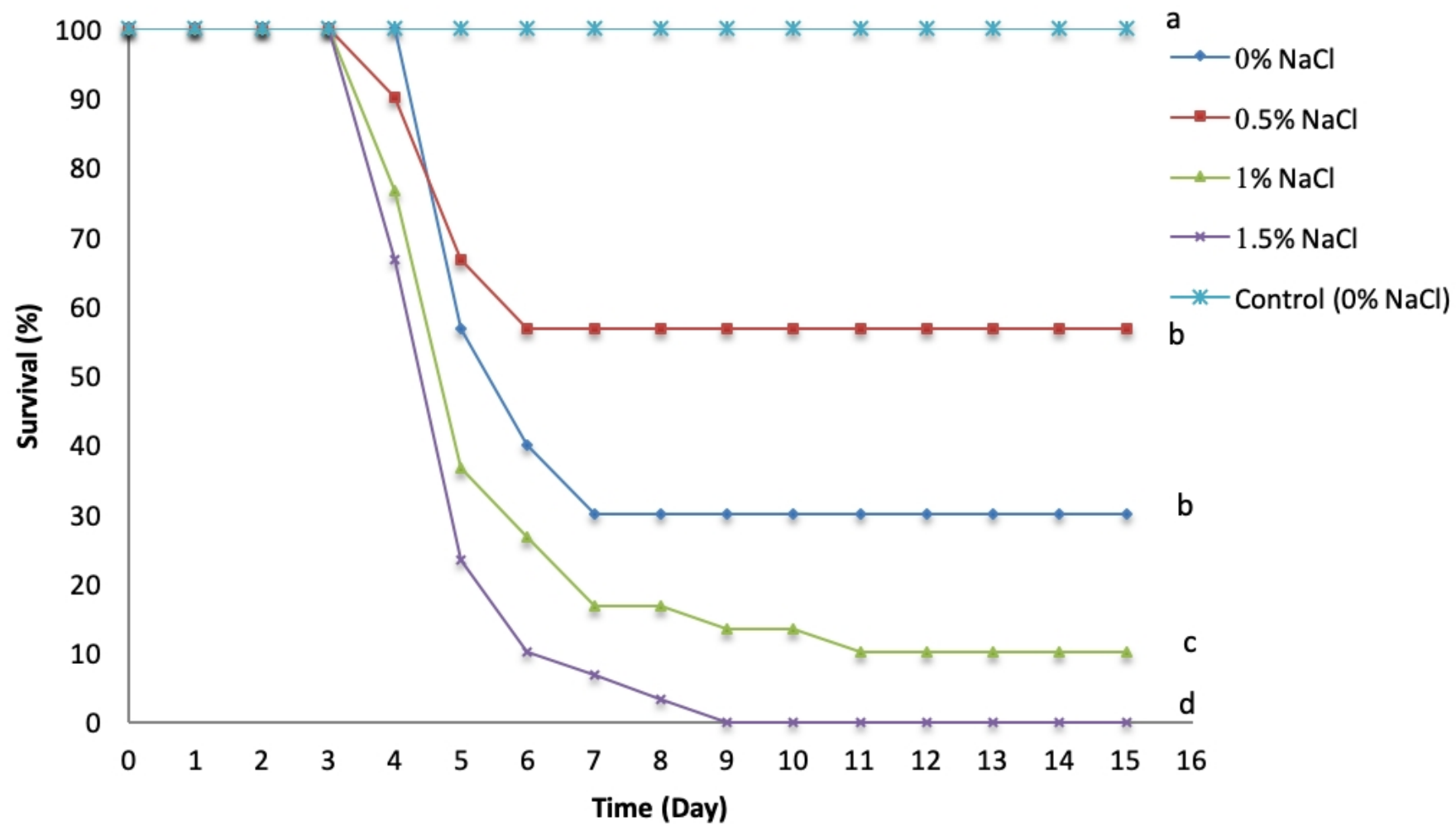
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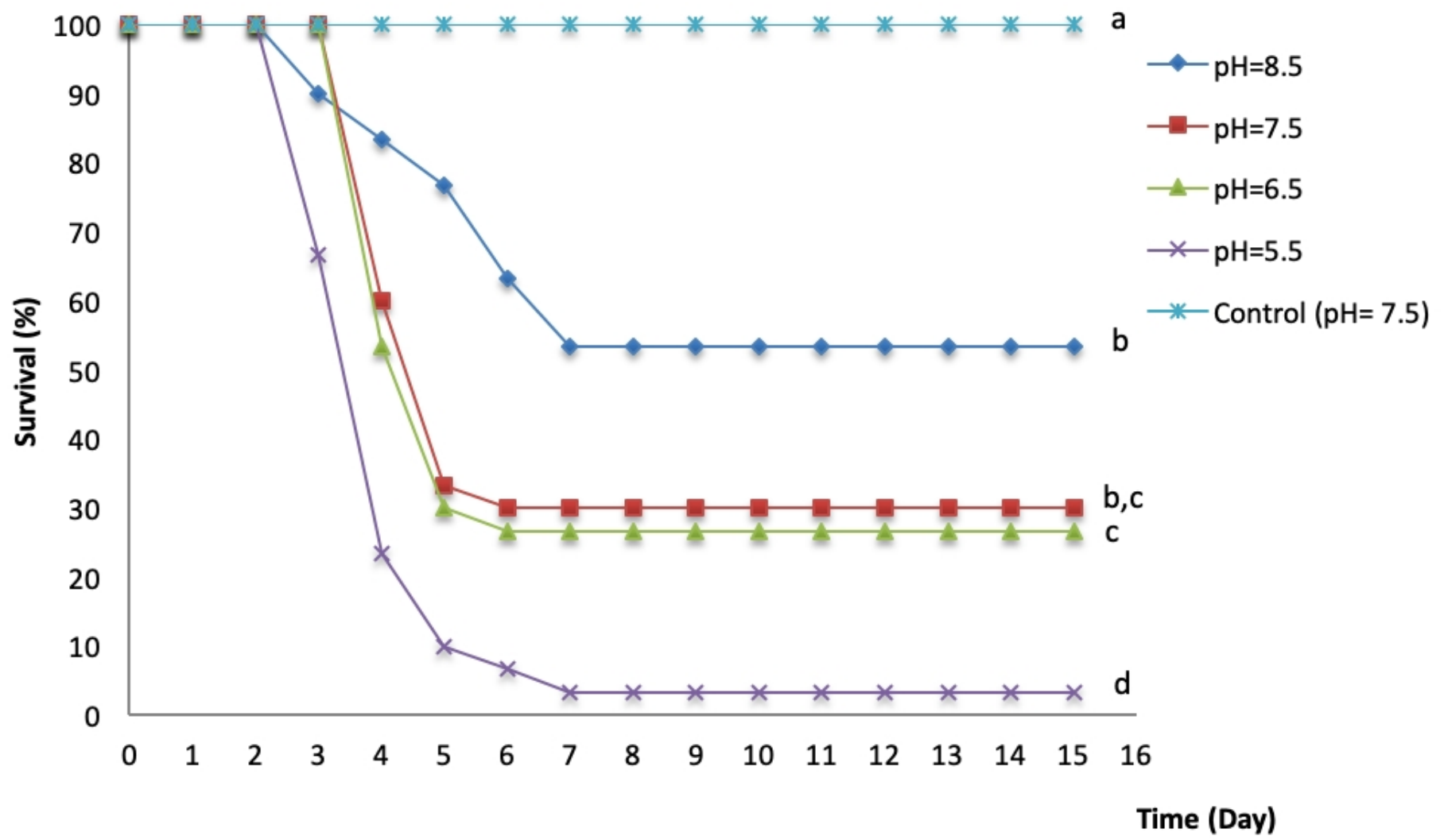
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a

—◆— pH=8.5

—■— pH=7.5

—▲— pH=6.5

—×— pH=5.5

—*— Control (pH= 7.5)

b

b,c

c

d

Survival (%)

Time (Day)



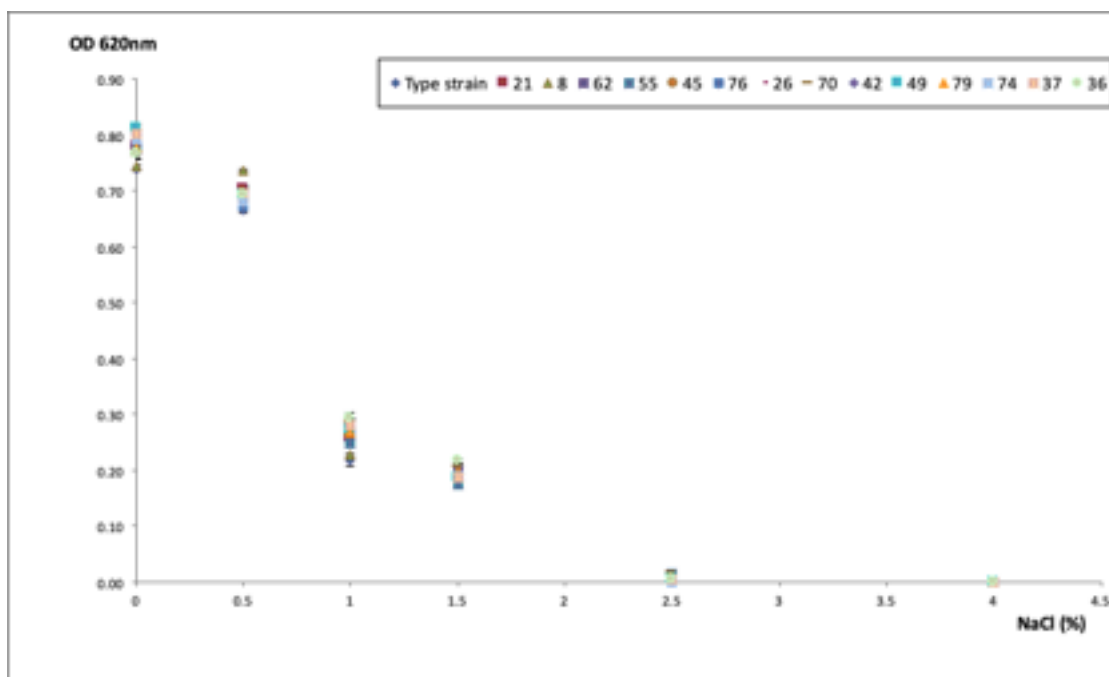


Fig. 1. The OD value of *Edwardsiella ictaluri* grown in 0-4% NaCl, *in vitro*. The type strain is American *E. ictaluri* 12733 from the National Collection of Industrial and Marine Bacteria (NCIMB).

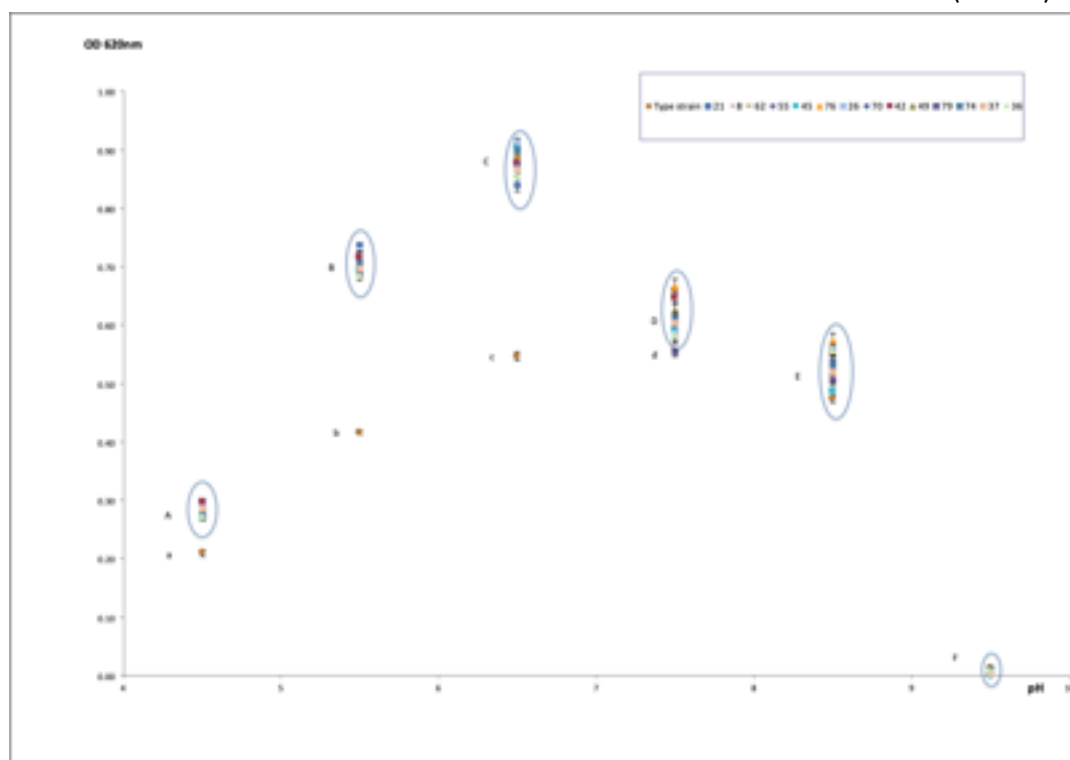


Fig. 2. The OD value of *Edwardsiella ictaluri* in different pH conditions, *in vitro*. Means with the same letter are not significantly different ($p > 0.05$). Mean with same letter but different style (up case and low case) are significantly different ($p < 0.05$). The type strain is American *E. ictaluri* 12733 from the National Collection of Industrial and Marine Bacteria (NCIMB).

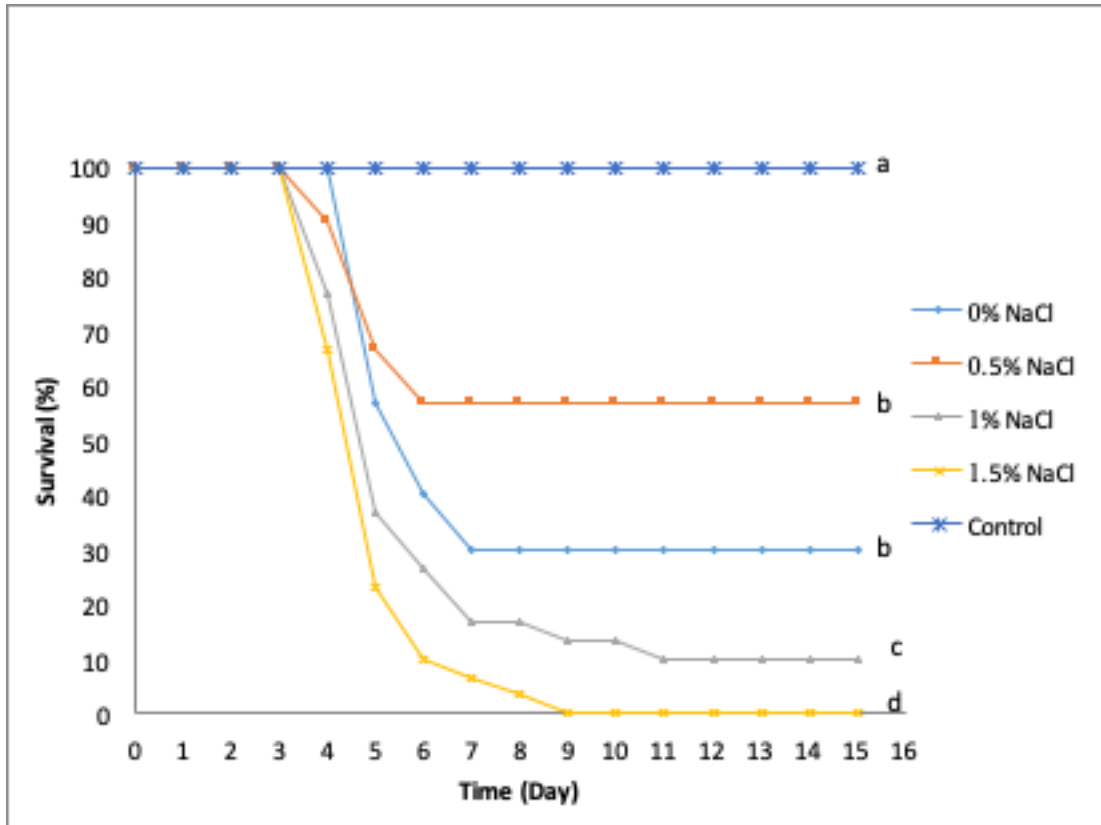


Fig. 3. Survival of striped catfish in different salinities after immersion exposure to *E. ictaluri* for 30 seconds. Different letters indicate significantly different treatments ($p < 0.05$).

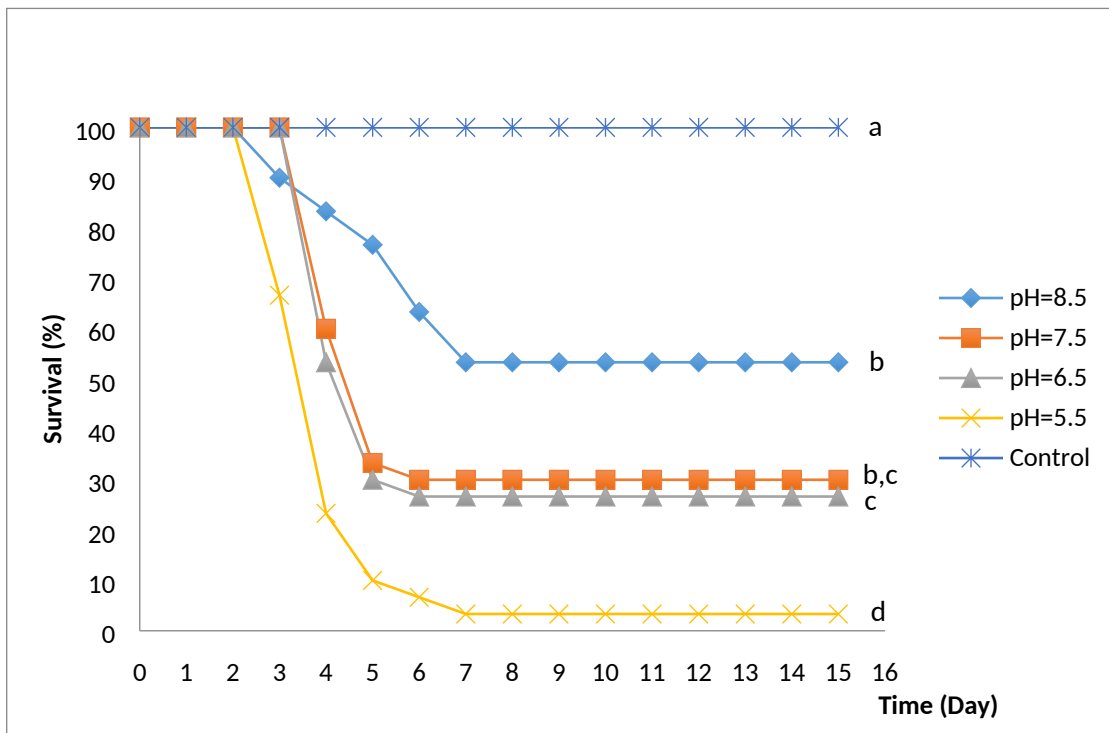


Fig. 4. Survival of fish exposed to *E. ictaluri* for 30 seconds under different pHs and the control group. Different letters indicate significantly different treatments ($p < 0.05$).

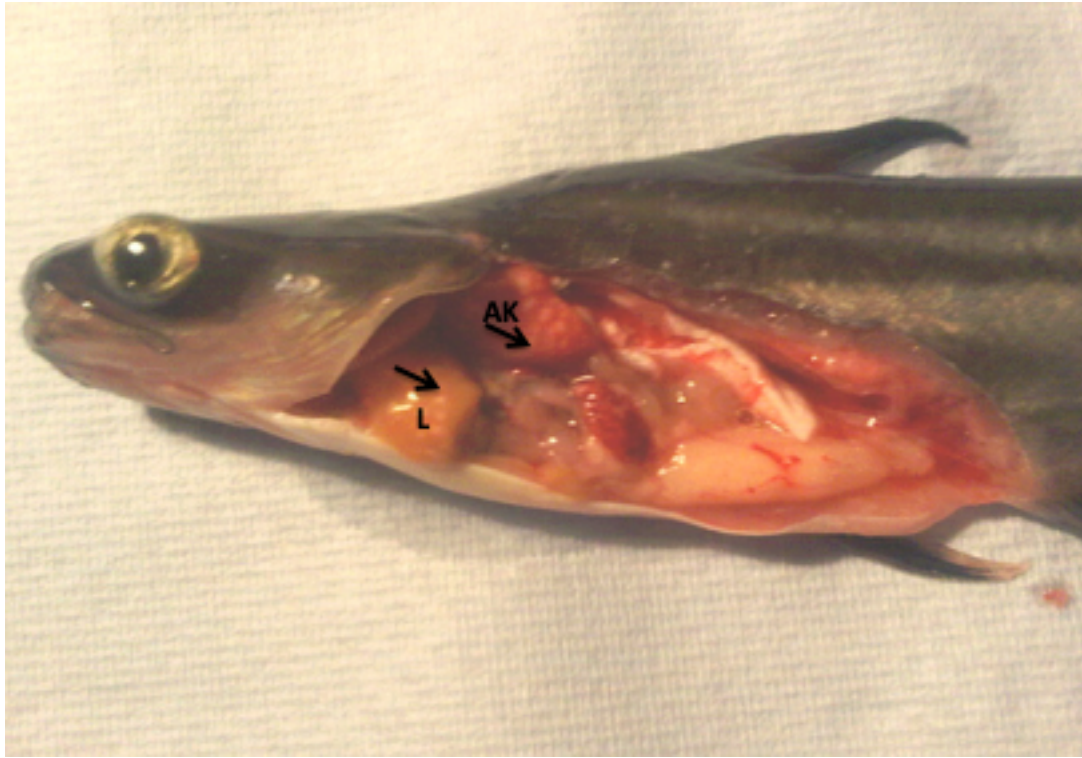
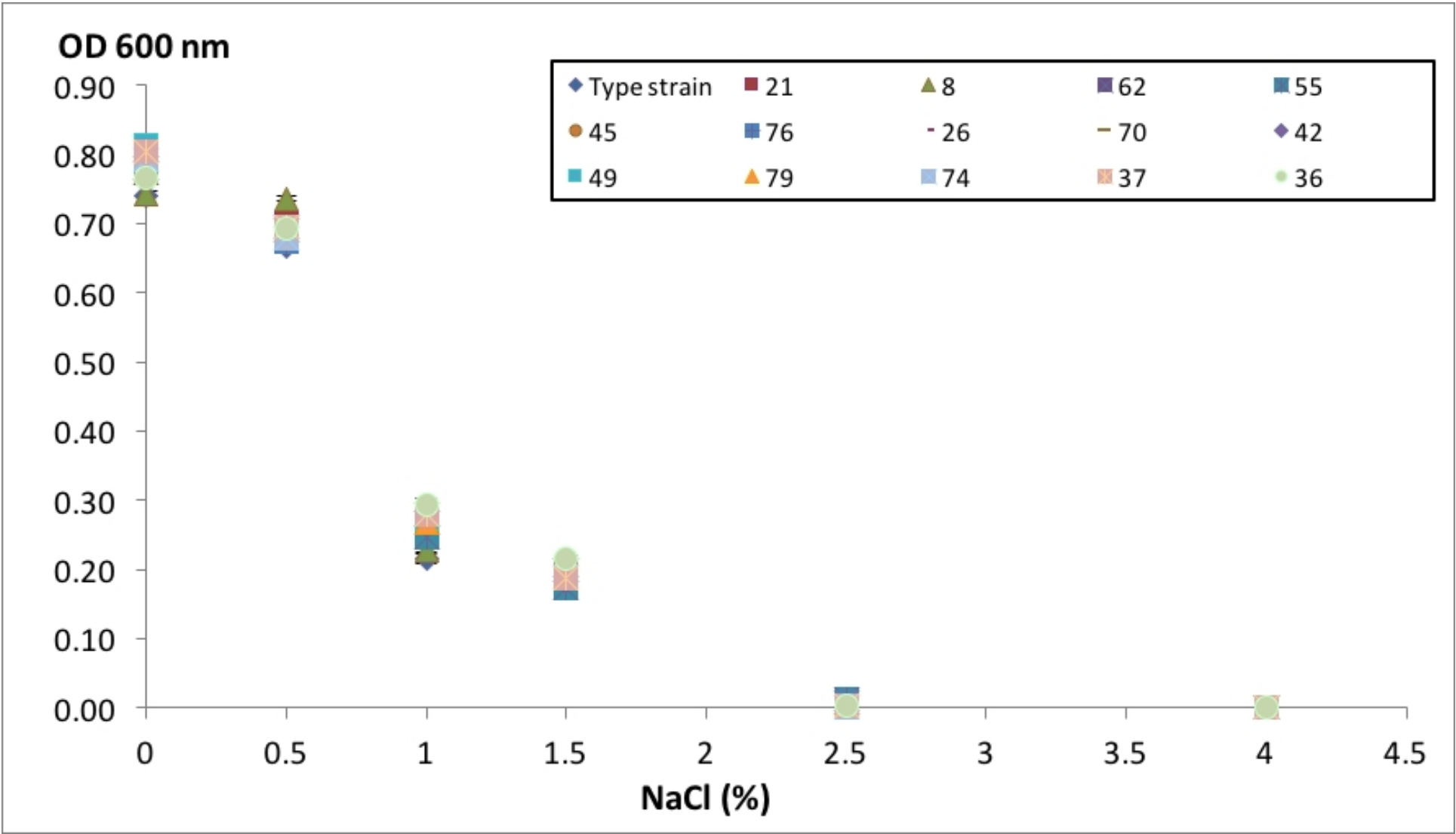


Fig. 5. Typical gross presentation of moribund fish exposed to bacteria, white lesions (arrows) observed in the anterior kidney (AK) and liver (L).



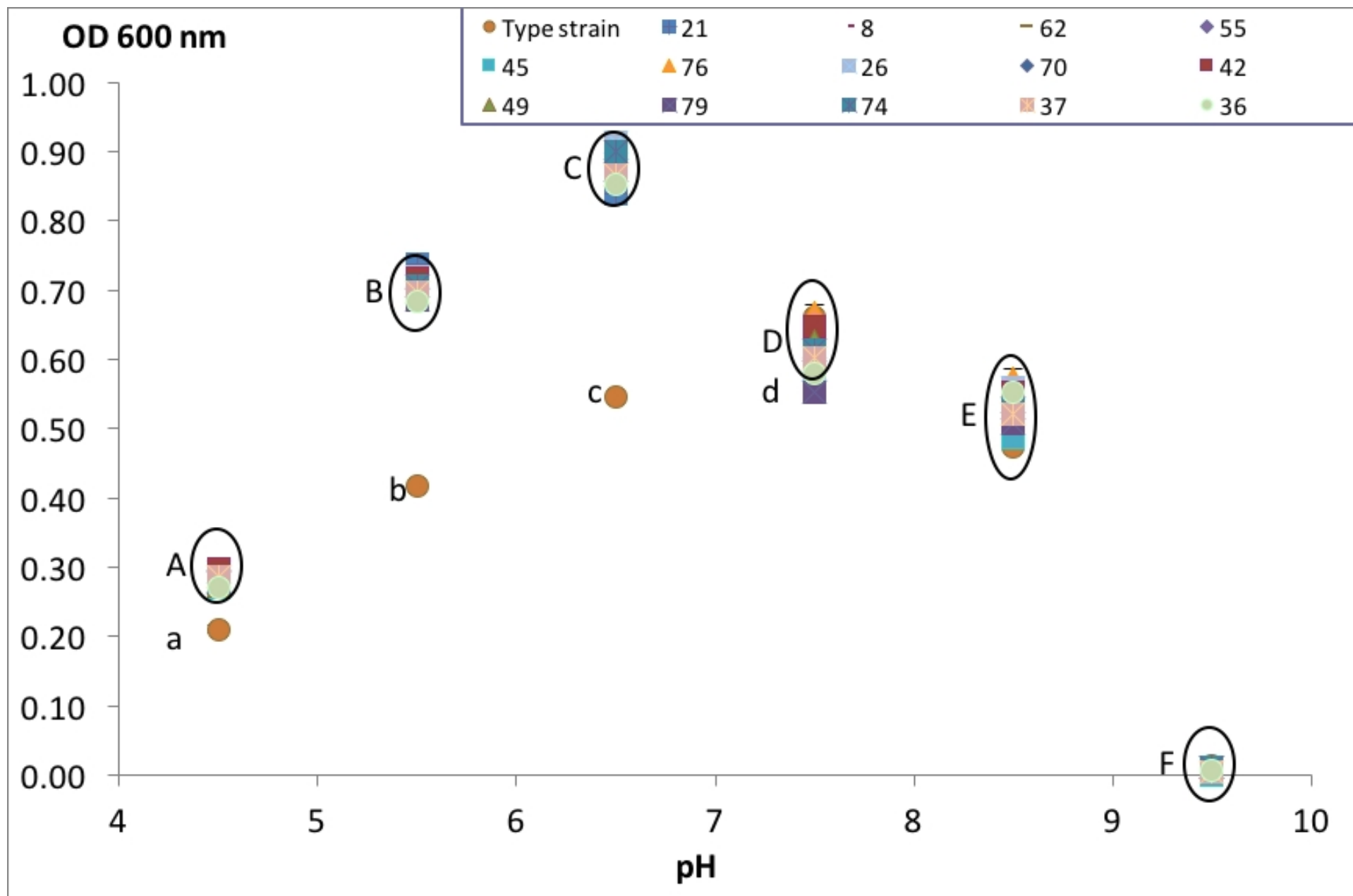


Table 1. List of *E. ictaluri* isolates according to the geographical region and year of isolation.

Province	Isolate ID	Year Recovered*
Can Tho	021	2004
	008	2002
	062	2010
Dong Thap	055	2009
	045	2007
	076	2011
	026	2005
An Giang	070	2011
	042	2006
	049	2008
Vinh Long	079	2011
	074	2011
	037	2005
	036	2005

* = each strain represents a different farm even in the same year and province.

Conflict of interest statement

All authors approved the manuscript, this submission and declared no known conflicts of interest associated with this publication.

**Environmental conditions influence susceptibility of striped catfish
Pangasianodon hypophthalmus (Sauvage) to *Edwardsiella ictaluri***

Author: Nguyen Ngoc Phuoc , Randolph Richards and Margaret Crumlish

The role(s) of all authors to the manuscript:

- Conceptualization: Nguyen Ngoc Phuoc, Randolph Richards, Magrgaret Crumlish
- Methodology: Nguyen Ngoc Phuoc, Magrgaret Crumlish
- Validation: Nguyen Ngoc Phuoc, Magrgaret Crumlish
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