

## HIGHLIGHTS

- Soil macroaggregates (4-1 and 1-0.25 mm) thin sections have been investigated
- Optical microscopy and SEM-EDS allowed the *in situ* analysis of OM in macroaggregates
- Both physical occlusion and mineral interactions stabilized OM in macroaggregates
- The highest OM stabilization by both mechanisms was in fine macroaggregates
- In fine macroaggregate, both OM accumulation and functionality maintenance occurred

1 **Title**

2 **New insights into organic carbon stabilization in soil macroaggregates: an *in situ* study by optical**  
3 **microscopy and SEM-EDS technique**

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16

17 **ABSTRACT**

18 The purpose of this study was to investigate the *in situ* characterization of organic matter (OM) within soil  
19 macroaggregates, and to assess the relationships between OM characteristics and macroaggregate size  
20 indicating different OM stabilization mechanisms. Optical micro-morphological investigations, coupled to  
21 SEM-EDS (scanning electron microscopy and energy X-ray spectroscopy) technique, were carried out on thin  
22 sections of 1-4 and 0.25-1 mm soil aggregates (coarse and fine macroaggregates, respectively) from 0-20 cm  
23 soil layer corresponding to A horizon of four different sites in which soil structure were not disturbed by  
24 tillage.

25 The intraggregate porosity, measured by image analysis of four different size classes (<50, 50–100, 100–200,  
26 >200  $\mu\text{m}$ ), showed that fine macroaggregates were significantly less porous (3.70-6.71% of total porosity)  
27 and had higher presence of the finest pore class (<50  $\mu\text{m}$ ) compared to coarse macroaggregates (5.93-9.08%  
28 of total porosity), independently from sites. The percentage of organic matter forms (OMFs) identified by  
29 optical investigation was significant higher in fine (13.5-17.7%) than in coarse (4.19-8.27%) macroaggregates.  
30 In particular, fine macroaggregates were richer in red and black amorphous organic forms, which were  
31 characterized by the highest values of Al:C, Fe:C and Ca:C molar ratios. These findings suggested thus an  
32 accumulation of OM in fine macroaggregates than in coarse macroaggregates occurred. It was probably due  
33 to a more efficient OM stabilization in fine than in coarse macroaggregates related to both physical occlusion  
34 (lower porosity and smaller pore size) and organo-minerals interaction (higher presence of OMFs  
35 characterized by the highest Al:C, Fe:C and Ca:C ratios),

36 The OM exposure index (EI), a measurement of the OM surface exposed to pores and thus potentially  
37 available for microbial activity, was unexpectedly higher in fine than in coarse macroaggregates (EI: 0.48-0.79  
38 and 0.25-0.58  $\text{mm}^{-1}$  in fine and coarse macroaggregates, respectively). However, the accessibility of OM  
39 defined by the EI seemed to facilitate neither the oxidative transformation nor the damage of enzyme  
40 activities, being the EI positively related to C:N ratio ( $r=0.66$ ), negatively to  $\delta^{13}\text{C}$  values ( $r=-0.74$ ) and positive  
41 to the geometric mean of the five assayed enzyme activities related to C-cycle ( $r=0.79$ ). Therefore, even  
42 more potentially exposed, in fine macroaggregates the OM was not accessible to microorganisms due to the

43 effective physical occlusion, and thus both accumulation of few transformed OM and maintenance of  
44 functionality related to C-cycle occurred.

45 The OM stabilization in macroaggregates thus involved both physical occlusion and organo-metals/mineral  
46 phase interactions processes. Both these processes are often related to microaggregates rather than  
47 macroaggregates. Our findings thus seem to provide a new insight for studying the potentiality of OM  
48 stabilization and C sequestration in soil macroaggregates.

49

50 **KEYWORDS**

51 Macroaggregate size; aggregate thin sections; optical microscopy; SEM-EDS; physical occlusion; organo-  
52 mineral interactions.

53

## 54        **1. INTRODUCTION**

55        The largest amount of organic C in terrestrial ecosystems is in the soil and it is three times the amount of C in  
56        the atmosphere and four times that in the biota (Janzen, 2004). The persistence of this high amount of organic  
57        matter (OM) in soil depends on many factors including land use, edaphic factors and climate (Smith et al.,  
58        2008), and can be altered by human activities, which can indeed have contrasting effects (Bai et al., 2018;  
59        Baude et al., 2019; Lal, 2004a; Lal, 2004b; Lal et al., 2015). One of the objectives of the current soil science  
60        research is to model, in a reliable way, the flow of C from, within, and to the soil in order to allow the  
61        assessment of the different soil properties and management practices applied. To date, one of the main  
62        difficulties in reaching this goal is given by the lack of sufficiently detailed knowledge on the processes that  
63        govern the persistence of the soil OM (Schmidt et al., 2011).

64        Several authors consider that, for a mechanistic understanding and modelling of soil OM decomposition and  
65        stabilization, it is crucial to improve knowledge on processes such as occlusion of organic matter within  
66        aggregates and sorption of organics onto mineral surfaces (Kögel-Knabner et al., 2008). Conant et al. (2011)  
67        proposed a conceptual model defining the resistance of soil OM to decomposition as being due to its  
68        chemical structure and its physicochemical protection. The former referred to the de-polymerization process,  
69        the latter to adsorption/desorption on mineral surface and aggregate turnover. Recently, Wiesmeier et al.  
70        (2019) stressed the role of physical protection within aggregates for soil OM persistence, stating that physical  
71        protection, and therefore the aggregation process itself, must be considered as an important mechanism for  
72        stabilization of organic C.

73        The physical protective capacity of aggregates to soil OM is related to the spatial separation of substrate and  
74        microorganisms, as well as to reduced microbial activity due to a lower diffusion of gases into and within  
75        aggregates (Mikutta et al., 2006; Six et al., 2002). Kravchenko et al. (2015), combining CO<sub>2</sub> respiration  
76        measurements with X-ray computed micro-tomography imaging, demonstrated a feed-forward relationship  
77        between particulate organic matter decomposition and pore connections in intact soil samples. Furthermore,  
78        organo-mineral associations acting in soil OM protection can be considered as structural units of soil

79 aggregates and nanoparticulate fractions of the smaller aggregates themselves (Totsche et al., 2017), and  
80 are, therefore, strictly related to the aggregate formation process.

81 Six et al. (2000) postulated that SOM stabilization is based on microaggregate (<0.25 mm) formation within  
82 macroaggregates (>0.25 mm), with C in microaggregates stabilized and sequestered for the long-term.  
83 Macroaggregates would instead provide minimal C physical occlusion (Six et al., 2004). The efficiency of  
84 macro- and microaggregates in soil OM stabilization is due to the different mechanisms that generate  
85 aggregates of different size, as extensively described (e.g., Six et al., 2004). However, the role of  
86 macroaggregates is essential in soil OM stabilization; macroaggregates being important environment where  
87 both organic C is preferentially accumulated and microaggregate formation occurs (Giacchini et al., 2016;  
88 Six et al., 2000).

89 In addition to the aggregate size, the extent of the C transformation and stabilization in aggregates can be  
90 influenced by the network of the intraggregate pores (Toosi et al., 2017), and by the OM exposure to the  
91 pore surface (Ananyeva et al., 2013). The exposure of the OM to the pores surface can influence the contact  
92 with the gaseous and biotic phase of the soil, two fundamental factors in the transformation OM processes.  
93 We suggest thus that the localization of the OM within the aggregates is an aspect that needs to be taken  
94 into account and demands in-depth investigation.

95 Optical micro-morphological investigations of soil aggregate thin sections allows researchers to localize soil  
96 OM in an undisturbed physical space within aggregates and, coupling them with SEM-EDS analysis, to  
97 investigate *in situ* characteristics of OM. Considering that C preferentially accumulates in macroaggregates,  
98 and that the processes leading to the long-term soil OM stabilization begins within macroaggregates (i.e., the  
99 microaggregates formation begins within macroaggregates), we believe that a study of OM properties within  
100 macroaggregates can provide new insights into the understanding of the processes of organic carbon  
101 preservation into soil aggregates.

102 For this, optical micro-morphological investigations, coupled SEM-EDS technique, of thin sections of  
103 macroaggregates of different size (1-4 mm coarse macroaggregates, 0.25-1 mm fine macroaggregates) were  
104 carried out to study *in situ* OM properties. In order to increase our knowledge on soil OM persistence, the

105 current research examined these microfeatures in soils characterized by different site conditions in two  
106 mountain and plain areas in the Northern Italy.

107 Specifically, this study focused on (i) the *in situ* characterization of soil organic matter within coarse and fine  
108 macroaggregates from soil in different site conditions, and (ii) the existence of relation between OM  
109 characteristics and macroaggregate size suggesting specific OM stabilization processes. We investigated four  
110 soils from sites that differed in key drivers of OM persistence, such as climate, soil properties and  
111 management (Wiesmeier et al., 2019) because we would test if the hypothesized relationships between OM  
112 characteristics and macroaggregate size were similar among different sites (i.e., sites which differed in  
113 climate, soil properties and management) and thus if a certain size-effect exists transgressing the  
114 environmental key properties.

115

## 116 **2. MATERIALS AND METHODS**

### 117 *2.1. The study area*

118 In this study we investigated both mountain and plain areas of different altitudes in the Emilia Romagna  
119 region (Northern Italy). The mountain area was located at Monzuno in the Appennine mountain, while the  
120 plain area was at Cadriano in the Po Valley (Table 1). The soils in the mountain area formed on limestone-  
121 marl and pelitic-sandstone stratifications, while those in the plain area develop on conoids, i.e. sedimentary  
122 bodies consisting of a clastic sediment accumulation. Both soils are ascribed to Inceptisols (Soil Survey Staff,  
123 2014) as evinced from the Regional Soil Survey Service database (Regione Emilia Romagna, 2018). The climate  
124 of the mountain area is characterized by mean annual temperature of 11.6°C and mean annual precipitation  
125 of 967 mm, while in plain area by 12.9°C and 645 mm, respectively.

126 In both areas we selected two sites on the basis of soil management (Table 1), avoiding agricultural sites  
127 subject to annual tillage operations that would strongly affect soil aggregation (Bronick and Lal, 2005). In the  
128 mountain area, we thus selected a 16-yrs old oak wood (M-OW) and a 5-yrs old alfalfa (M-AA.). In the plain  
129 area we investigated an experimental walnut grove of the cv. Lara in place since 2001 selecting one fertilized  
130 area (P-FF) receiving 90 kg urea ha<sup>-1</sup> y<sup>-1</sup> as granular urea and one non-fertilized control area without urea



131 distribution (P-NF). In each site, two different plots have been selected and pits wide about 0.3 m were dug.  
132 From each pit, the 0-20 cm soil layer corresponding to A horizon was collected. The main physico-chemical  
133 properties of the fine earth of 0-20 cm topsoil were reported in Table 1S.

134 All soil samples were air dried at room temperature and sieved in order to obtain the macroaggregate  
135 fraction ( $>0.25$  mm; Six et al. 2000; Tisdall and Oades, 1982). The macroaggregates have been further divided  
136 by dry-sieving into coarse macroaggregates (1-4 mm) and fine macroaggregates (0.25-1 mm) using a 1 mm  
137 sieve in agreement with experimental evidence (e.g., Legout et al., 2005; Lu et al., 2016) indicating that  
138 aggregates  $>1$  mm might have lower stability, and thus higher turnover, than aggregates  $<1$  mm.

139

#### 140 *2.2. Soil aggregate thin sections preparation*

141 The aggregates in both macroaggregate classes have been gently mixed and at least 25 and 50 aggregates  
142 have been randomly kept for the preparation of thin section of the coarse and fine macroaggregate,  
143 respectively. Water was removed from the aggregates by air-drying to avoid C losses during the acetone  
144 replacement drying process. No accommodating crack voids were identified in the air-dried thin sections,  
145 suggesting that shrinkage and cracking during the drying process had been minimal. The method for  
146 preparing thin section was based on Takeda (1988) and Tippkötter et al. (1986). Blocks of aggregates were  
147 obtained by impregnation of aggregates samples with polyester resin. The blocks have been then cut along  
148 a diameter plane, shaven out and glued to the slide. The slices were thinned to a standard thickness of 30-  
149 40  $\mu\text{m}$ , using the Logitech precision lapping machine. The slices were further reduced to few  $\mu\text{m}$  and hand-  
150 polished by rubbing the slices on paper coated using the birefringence colours of the minerals as indicated in  
151 the Michel-Levy paper which reports the birefringence colours of individual minerals according to their  
152 thickness. Finally, aggregates thin sections (28 x 48 mm) were polished using diamond paste. The slides were  
153 not cover-slipped since the organic microfeatures in these thin-sections were to be analysed for their  
154 elemental composition by scanning electron microscope (SEM) equipped with an EDS probe.

155

156 *2.3. Optical micromorphology observations, image analysis of pores and organic components in the*  
157 *aggregate thin sections*

158 Conventional descriptions of thin sections were made at 40X following the guidelines of Stoops (2003) and  
159 Fitzpatrick (1980). To achieve our research aim, the area of interest in each thin section in this study  
160 corresponded to the intraggregate area. Measurements on aggregates close to the edge of the thin sections  
161 or having inside/near artificial bubbles were avoided, and consequently in coarse macroaggregate thin  
162 sections from 9 to 16 single aggregates were analysed for each site, while in fine macroaggregate thin  
163 sections from 23 to 41 aggregates were investigated. Optical observations have been carried out using a  
164 polarised microscope Olympus BX50.

165 For image analysis of intra-aggregates porosity and organic matter, high-resolution images were captured at  
166 40x using a digital camera, and connected to a computer equipped with an images frame grabber. Captured  
167 images were then available for computerised analysis carried out by AnalySIS v 510 (Olympus Soft Imaging  
168 Solutions GmbH) image analysis software. Image analysis provides quantitative information from the  
169 scanned image.

170

171 *2.3.1. Total porosity and pore size distribution*

172 To measure pores, multiple images of the same representative aggregates were taken under both plane (PPL;  
173 Figure S1-a) and crossed polarized light (XPL) at 0.5 and 15° (Falsone et al., 2014). This was necessary to  
174 distinguish between pores and quartz, since both were translucent under PPL. These images were additively  
175 combined and the result inverted. The inverted images were multiplicatively layered with a natural light  
176 image to produce a composite binary image in which minerals were readily distinguished from voids, with  
177 minerals and soil matrix represented by black pixels and pores by white pixels (Figure S1-b; Hallaire et al.,  
178 2000; Nakatsuka and Tamura, 2016). To exclude any electronic noise and difficulties in removing quartz, the  
179 minimum size for detecting pores was set at 100  $\mu\text{m}^2$ .

180 The pores were classified according to four different size classes (Pagliai et al., 2004; Zhou et al., 2012): <50,  
181 50–100, 100–200, >200  $\mu\text{m}$ , on the basis of their equivalent diameters. The total surface of pores and the

182 surface of each pore classes were measured. The percentage of total porosity (total porosity %, i.e., total  
183 surface of pores/surface of investigated area) and pore size distribution (% of <50, 50–100, 100–200, >200  
184  $\mu\text{m}$ ; i.e., surface of each pore class/total surface of pores) were thus calculated.

185

### 186 2.3.2. *Total surface of organic matter forms (OMFs) and their distribution*

187 Under PPL and XPL conditions, the organic forms were identified and categorized as being either organ or  
188 amorphous in form (Babel, 1975; Figure S2). Once classified according to their form, organic components  
189 have been further described according to the extent of their decomposition following the classification  
190 proposed by Fitzpatrick (1993) where amorphous forms were strongly decomposed organic fragments, and  
191 were further described by their colour, with change in colour from yellow to red and black indicating greater  
192 decomposition due to oxidative and microbial processes (Bullock et al., 1985; Figure 2). A manual delimitation  
193 of each organic component has been provided using image analysis software within PPL images (Figure S1-  
194 c). Images were thus segmented selecting for organic fragments, and the total area of organic fragments and  
195 the area of each class of organic features was measured.

196 The percentage of total surface of organic forms (organic matter forms %, i.e., surface of organic forms/  
197 surface of investigated area) was calculated. The distribution of different organic components recognised (%  
198 of organs and amorphous forms, classified according to their decomposition degree and colour, respectively)  
199 was also calculated (i.e., surface of each organic form/surface of investigated area).

200

### 201 2.3.3. *Organic matter-pores contact: the exposure index (EI)*

202 The images obtained by organic components analysis was exported and stacked upon the binary pore image  
203 thereby forming a map showing the distribution of organic matter in relation to soil pores. It was thus possible  
204 to identify the surface of organic matter in contact to the pores and to measure the length of contact  
205 perimeter between the two features. Then, the total length of the contact perimeter (in mm) was normalized  
206 by the total area of organic form (in  $\text{mm}^2$ ), in order to obtain a measure of the proportion of the organic  
207 matter surface in contact to the pore. For each sample, an index, called exposure index (EI;  $\text{mm}^{-1}$ ) was

208 calculated. The EI gives information about the organic matter-pores contact, and therefore on the potential  
209 physical exposure of organic matter to the microbial activity (Young et al., 2008).

210

#### 211 *2.4. SEM-EDS analysis on the aggregate thin sections*

212 Polished thin sections were analysed using an environmental scanning electron microscope (SEM) and  
213 elemental data were collected by energy-dispersive spectroscopy (EDS) detector using ZEISS SEM systems  
214 (EVO MA15) linked to an Oxford Instruments INCA X-max detector with an 80-mm<sup>2</sup> SDD. For this work, the  
215 instrument setup was: low vacuum conditions (>30 kPa), accelerating voltage of 5-20 keV, process time of  
216 5.0, working distance of 8.5 mm, spot-size between 500-560. EDS analysis was performed at high  
217 magnifications (500-1000x). The microanalysis was carried out for the detected organic features (Figure S2)  
218 in coarse and fine macroaggregates. About 50 points were scored for each organic feature. Data was  
219 normalized to 100%, giving a semi-quantitative measure of elemental concentrations. Thus elemental molar  
220 ratios are discussed in this work rather than absolute concentrations. Additionally, using elemental molar  
221 ratios any C resin effect has been avoided. The ratios are thus being interpreted relative to one another rather  
222 than being presented as actual soil ratios.

223 In this work we took into account the Al:C, Fe:C and Ca:C molar ratios as indicators of the degree of organic-  
224 metals/minerals interactions (Falsone et al., 2014).

225

#### 226 *2.5. Aggregate properties measured on aggregate fractions separated by sieving*

227 In order to check the relationships between the features measured *in situ* on aggregate thin sections and  
228 chemical and biochemical aggregate properties, the organic carbon, total nitrogen,  $\delta^{13}\text{C}$  signature and  
229 enzyme activities related to carbon cycle have been measured on each macroaggregate class obtained by  
230 dry-sieving.

231

##### 232 *2.5.1. Organic C, total N and $\delta^{13}\text{C}$*

233 For each aggregate fraction separated by dry-sieving, a representative subsample has been kept and finely  
234 ground (<0.5 mm). The total organic C (g C kg<sup>-1</sup><sub>aggregate</sub>) and total N (g N kg<sup>-1</sup><sub>aggregate</sub>) concentration were  
235 determined on about 10-15 mg of finely ground aggregate subsamples by dry combustion (CHNS-O Elemental  
236 Analyser 1110, Thermo Scientific GmbH, Dreieich, DE). The relative abundance of C stable isotopes was  
237 determined by continuous flow- isotope ratio mass spectrometry (CF-IRMS) using an isotopic mass  
238 spectrometer Delta V advantage (Thermo- Finnigan, DE). The values were then expressed as δ<sup>13</sup>C, as  
239 deviation in parts per thousand compared to the universal reference standard.

240

#### 241 *2.5.2. The geometric mean of enzyme activities (GMea)*

242 For each aggregate fraction separated by dry-sieving, enzyme activities have been measured using about 2 g  
243 of samples. The geometric mean of the assayed enzyme activities (GMea) was used as a comprehensive index  
244 of soil quality in order to compare enzyme activities in coarse and fine aggregates (Liu et al., 2013). For each  
245 aggregates class the geometric mean of the assayed enzyme activities (GMea) was calculated as:

$$246 \quad GMea = \sqrt[5]{\beta - GLU \cdot \alpha - GLU \cdot N - AG \cdot \beta - XYL \cdot \beta - CEL} \quad (1)$$

247

248 where β-GLU, α-GLU, N-AG, β-XYL and β-CEL were β-glucosidase, α-glucosidase, N-acetyl β-glucosaminidase,  
249 β-xylosidase, β-cellobiosidase, respectively. These enzyme activities were chosen on their relevance for C  
250 cycle (Liu et al., 2013, Qin et al., 2010). The activity of these five extracellular hydrolytic enzymes was  
251 determined using MUF conjugates at final concentrations (Microplate fluorometer infinite200, TECAN,  
252 Männedorf, CH) ensuring substrate saturating conditions in according to Giacometti et al. (2014).

253

#### 254 *2.6. Statistical analysis*

255 Differences in the micromorphological features (porosity, organic forms, EI) between coarse and fine  
256 macroaggregates (size factor) were checked by the one-way ANOVA.

257 Differences in the molar ratio (Al:C, Fe:C and Ca:C), determined from EDS analysis, among organic forms and  
258 between coarse and fine macroaggregates in thin section were tested by the one-way ANOVA.

259 The assumption of ANOVA was tested by Shapiro-Wilks test for normality and data distribution and Levene  
260 test for homogeneity of variances.

261 The relationships between EI micromorphological property and both the chemical and biochemical  
262 properties measured on the aggregates were evaluated using the Pearson's correlation coefficient.

263 The threshold used for significance in all statistical tests was set at 0.05. All data treatments were carried out  
264 using R *agricolae* package (R core team, 2019).

265

### 266 3. RESULTS

#### 267 3.1. Porosity

268 The total detectable porosity, measured in the intraggregates space, ranged from 5.93 to 9.08% and from  
269 3.70 to 6.71% in coarse and fine macroaggregates, respectively (Table 2). Porosity varied significantly based  
270 on size factor ( $p < 0.001$ ), and the fine macroaggregates were less porous than the coarse ones (Table 2).

271 Within each aggregate class, among sites no differences in the intraggregate porosity were found.

272 Figure 1 (a-b) shows the pore size distribution (PSD) in the different aggregates. The PSD was significantly  
273 influenced by the size factor ( $p < 0.01$ ; Figure 1-c). The pores  $> 200 \mu\text{m}$  were only present in coarse  
274 macroaggregates, while in fine macroaggregates pore  $< 50 \mu\text{m}$  predominated. Fine macroaggregates,  
275 therefore, were significantly less porous and showing finer porosity compared to coarse macroaggregates.

276 Between sites, no differences in the PSD were found (Figure 1-c).

277

#### 278 3.2. Organic C concentration and organic matter forms (OMFs)

279 The concentration of organic C in aggregates, measured on ground samples, varied from 6.6 and 49.6  $\text{g kg}^{-1}$   
280 and from 7.8 and 52.4  $\text{g kg}^{-1}$  in coarse and fine macroaggregates, respectively (Table 2). The organic C content  
281 of fine and coarse macroaggregates was therefore characterized by a high variability, but it was possible to  
282 observe a tendency for which the accumulation of C significantly increased passing from the coarse to the  
283 fine macroaggregates within each site ( $p$  always  $< 0.01$ ; Table 2). The percentage of OMFs, measured on

284 aggregate thin sections, varied from 4.19 to 8.27 and from 13.54 to 17.75% in coarse and fine  
285 macroaggregates, respectively (Table 2), confirming that accumulation of organic matter was higher in fine  
286 than in coarse macroaggregates ( $p < 0.001$ ). The percentage of OMFs on macroaggregates thin section and  
287 organic C concentration measured by dry combustion on ground aggregates showed thus a significant similar  
288 trend ( $r = 0.567$ ,  $p < 0.05$ ).

289

### 290 *3.3. OMFs distribution and Al:C, Fe:C and Ca:C molar ratios*

291 The OMFs detected on thin sections were differently distributed between aggregates (Table 3): the organs  
292 have been detected only in coarse macroaggregates of mountain sites, while the organic amorphous forms  
293 have been found both in coarse and fine macro-aggregates. The lack of organs in the plain area evidenced  
294 difference according sites, which was confirmed also for amorphous forms ( $p < 0.05$ ). However, independently  
295 from the sites, according to the size factor the fine macroaggregates were clearly the richest in red and black  
296 organic amorphous forms ( $p < 0.001$ ).

297 Coupling the optical analysis to the SEM-EDS technique, it was possible to perform a semi-quantitative  
298 measurement of the element concentrations for each identified OMFs class. In particular, Al:C, Fe:C and Ca:C  
299 molar ratios of each OMFs class in coarse and fine macro-aggregates were determined (Figure 2). The  
300 morphologically recognised OMFs showed different values of molar ratios. In particular, red and black  
301 amorphous forms were characterized by the highest values of Al:C and Fe:C ( $p < 0.05$ ) and black amorphous  
302 forms had also the highest values of Ca:C molar ratios ( $p < 0.05$ ). This occurred both in coarse and fine  
303 macroaggregates.

### 304 *3.4. Exposure Index (EI)*

305 The EI values (Figure 3) varied from 0.25 to 0.58  $\text{mm}^{-1}$  in coarse macroaggregates and from 0.48 to 0.79  $\text{mm}^{-1}$   
306 in fine macroaggregates, being significantly higher in fine macroaggregates ( $p < 0.05$ ). Figure 4 showed the  
307 relationships between EI values and both C/N ratio and  $\delta^{13}\text{C}$  values. Specifically, EI values was positively

308 correlated to the C/N ratio and negatively to the  $\delta^{13}\text{C}$  values. The EI was significantly positively correlated  
309 also to GMea (Figure 5).

310

## 311 **4. DISCUSSION**

### 312 *4.1 The effect of macroaggregate size on OM characteristics*

313 One of the objectives of our work was to characterize soil organic matter (OM) fractions according to their  
314 specific physical location within the fine and coarse macroaggregates (*in situ*). Technically this was performed  
315 through optical investigation of aggregate thin sections which allowed us the *in situ* identification and  
316 quantification of organic matter forms (OMFs). The quantification of OM was also performed on ground  
317 samples by the well-standardized dry combustion method, which provides the quantification of the whole  
318 organic C in disturbed samples without any distinction among different forms. The quantification of OM  
319 content in the aggregates obtained by the two methods (i.e., the content of OC in ground aggregates and the  
320 presence of OMFs detected on aggregate thin section) showed similar trend and the data was significantly  
321 related.

322 The *in situ* quantification of OMFs showed that fine macroaggregates were richer in organic matter than  
323 coarse ones. Organic C accumulation in small aggregates is often reported (Six et al., 2000; Six et al., 2004;  
324 Tisdall and Oades, 1982), and in general this C-enrichment refers to microaggregates (i.e, aggregate <0.25  
325 mm). Our findings thus showed that C accumulation can occur also in small macroaggregates of 0.25-1 mm  
326 size class. Additionally, our data showed that OC accumulation in fine macroaggregates was coupled to a  
327 decrease in porosity. In fact, the effect of macroaggregate size was also observed in the aggregate porosity,  
328 with the lowest porosity and the smallest pore size in the fine macroaggregate class. In our opinion, thus, the  
329 lowest porosity and smallest pore size in fine macroaggregates could enhance the persistence of OM. The  
330 effect of pores network on soil OM stabilization has been in fact observed by several authors. Toosi et al.  
331 (2017) demonstrated by their long-term experiment that, in natural succession system, the abundance of  
332 specific size classes of pores affected OM decomposition and thus its chemistry in macroaggregates.  
333 Kravchenko and Guber (2017) reported experimental evidences indicating pores of 30-90  $\mu\text{m}$  in size as drivers



334 in processes of organic carbon decomposition. Ananyeva et al. (2013) showed that abundance of 40-70  $\mu\text{m}$   
335 pores was negatively correlated with levels of organic carbon in macroaggregates, suggesting that aggregates  
336 with great amount of such pores poorly protected organic matter. Quigley et al. (2018) agreed that pores of  
337 40–90  $\mu\text{m}$  size range are associated with quick organic C decomposition, while pores  $<40 \mu\text{m}$  tend to be  
338 associated with C protection. Yang et al. (2019) suggest that total porosity has a significant role, increasing  
339 soil aggregate organic carbon respiration. With regard to our data, we suggest that the highest total porosity  
340 could have favored a greater degradation of organic matter in coarse macroaggregates, and that conversely  
341 the greatest percentage of pores  $<50 \mu\text{m}$  in fine macroaggregates, according to cited authors, could have  
342 contributed to organic matter storage within them. Therefore, in our study the physical occlusion would be  
343 more efficient in fine macroaggregates than in coarse ones.

344 The effect of size classes of macroaggregates on physical occlusion seemed to transgress that of the site  
345 conditions, being both porosity and OMFs amount similar within fine and coarse macroaggregates. This  
346 finding was quite unexpected, because site conditions (climate, parent material, soil texture, soil OM, etc.)  
347 are considered as key factors in the aggregation process (e.g., Bronick and Lal, 2005; Saker et al., 2018).  
348 However, in our study a certain site effect has been detected on organic C content of ground aggregates.  
349 Thus, even if clearly the aggregate size strongly affected the physical occlusion of OM, we can not completely  
350 excluded a specific site effect on OM stabilization. The OMFs distribution in fact differed among sites: in the  
351 aggregates from the soils located in the plain areas organs completely lacked while they were present in the  
352 coarse macroaggregates of soils in the mountain areas. Fitzpatrick (1993) and Ismail-Meyer et al. (2018)  
353 suggested that organs consist of plant residues containing cells and represent thus less transformed soil OM.  
354 The presence of less transformed soil OM in mountain areas was indeed in agreement with the well-known  
355 slowing down of organic matter oxidative kinetics due to low temperature (e.g., Cardelli et al., 2019; De  
356 Feudis et al., 2019) allowing at higher altitude greater accumulation of less transformed OM in mountain soils  
357 than in plain ones. No general trend has been instead detected in amorphous forms, that according to  
358 Fitzpatrick (1993) and Falsone et al. (2014) are the end-product of organic residues transformation.

359 Independently from sites, other processes than physical occlusion might however contribute to the higher  
360 accumulation of organic matter in fine than in coarse macroaggregates. Processes related to interaction of  
361 OM with minerals/metals can in fact contribute to OM stabilization (Conant et al., 2011).  
362 Our data showed that OM accumulation in fine macroaggregates was due to the organic amorphous forms,  
363 being organs missing in fine macroaggregates. Additionally, SEM-EDS microanalysis showed that that red and  
364 black amorphous forms had the highest Al:C, Fe:C and Ca:C molar ratios. These molar ratios are chemical  
365 indicators of OM stabilization reflecting the interaction of OMFs with the soil mineral phase, and high molar  
366 ratio values indicate stronger organo-mineral interactions (Brown et al., 2000). OM stabilization by mineral  
367 interactions was thus mainly attributable to red and black OMFs. This was in agreement with the fact that  
368 red and black amorphous organic forms are the end-product of organic residues transformation (Fitzpatrick,  
369 1993), and that plant residues or particulate organic matter during decomposition become encrusted with  
370 mineral particles and microbial by-products in macroaggregates (Six et al., 2004). It is well-known that these  
371 interactions form the core of smaller aggregates within the larger ones, increasing soil OM stabilization in  
372 microaggregates (Six et al., 2004). Our data allowed to detect that OM stabilization by mineral interactions  
373 was not exclusive only of microaggregates, but efficiently occurred in fine macroaggregates.  
374 Finally, the increasing of OM in fine macroaggregates should be ascribe to a more efficient OM stabilization  
375 by both physical occlusion and organo-mineral interactions than in coarse macroaggregates.

376

#### 377 *4.2 The dynamics of macroaggregates and organic matter stabilization*

378 The stabilization of organic matter in soil aggregate limits the oxidative processes, which generally drive the  
379 transformation of soil OM. They may be in turn influenced by the degree of exposure of the OM to pores  
380 interfacing with the gaseous and biotic phase (Geisseler et al., 2011). For this reason, an exposure index (EI)  
381 of OM was calculated from the aggregate thin sections, and the relationships between EI and both C/N and  
382  $\delta^{13}\text{C}$  values have been investigated. We interpreted the EI as an index of the potential physical exposure of  
383 organic matter to microbial activity (Young et al., 2008), while the C/N ratio and the  $\delta^{13}\text{C}$  signature provide  
384 information the degree of transformation of organic matter. The C/N ratio is in fact an indicator of the whole

385 organic matter pool turnover (Bronick and Lal, 2005), and a high value of C/N suggests the presence of OM  
386 with low transformed status. Isotopic carbon fractionation instead occurs during the process of organic  
387 decomposition, leading to enrichment in  $^{13}\text{C}$  due to oxidation of  $^{12}\text{C}$  by microorganisms (Feng, 2002).  
388 Consequently, lower values of  $\delta^{13}\text{C}$  (more negative) correspond to less oxidized organic matter (Angers et al.,  
389 1997). In our study, the C/N ratio and the  $\delta^{13}\text{C}$  signature of fine and coarse macroaggregates were  
390 significantly correlated ( $r=-0.675$ ,  $p<0.01$ ; Figure S3), confirming the data convergence related to the degree  
391 of OM transformation.

392 Our findings showed that high values of EI was associated to fine macroaggregates. This was quite unexpected,  
393 because our data suggested higher physical occlusion of OM in fine macroaggregates due to lower porosity  
394 and smaller pore size than in coarse macroaggregate. Because of the methodological procedure used for EI  
395 determination, the EI measures the proximity of OM to the pore surface and thus assesses if the OM is  
396 encapsulated in the soil matrix or exposed. The apparent discrepancy between great degree of OM exposure  
397 and high physical occlusion in fine macroaggregates, in our opinion should be explain through the origin of  
398 fine macroaggregate themselves. Organic residues must initially be accessible (i.e., exposed) to  
399 microorganisms in order to form fine macroaggregates in coarse ones, in agreement with Six et al. (2004)  
400 who described how the transformations of the OM represent the driving processes for the formation of fine  
401 aggregates into coarse ones. Our hypothesis was thus that at least a part of the OM in fine macroaggregates  
402 inherited its localisation from its initial accessibility in coarse macroaggregates, and that *i)* its initial  
403 degradation allows the formation of fine macroaggregates causing OM encapsulation and *ii)* consequently  
404 favouring OM stabilization. This seemed to be supported by positive correlation between EI and C/N ratio,  
405 and the negative ones between EI and  $\delta^{13}\text{C}$ . They in fact indicated that high values of EI, typically associated  
406 with fine macroaggregates, was related to OM form with lower degree of transformation. Additionally, EI  
407 was positively linked to GMea. Thus, the apparent accessibility of OM defined by the EI did not compromise  
408 the C-cycle functionally related to enzyme activities related to C-cycle and thus the soil functionality was  
409 preserved (Wang et al., 2015; Wang et al., 2017).

410

411 **5. CONCLUSIONS**

412 This study offers a picture of the processes that are active within macroaggregates (1-4 and 0.25-1 mm) and  
413 which influence the transformation and stabilization of the OM as a function of its physical location. Our *in*  
414 *situ* investigation has allowed us to detect that:

- 415 • Coarse macroaggregates (1-4 mm) tended to be more porous and contained lower percentages of  
416 OMFs and organic C than and fine macroaggregates (0.25-1 mm).
- 417 • Fine macroaggregates accumulated OMFs characterized by a greater interaction with the mineral soil  
418 fraction, greater degree of exposure to the pores surface, lower degree of chemical transformation  
419 and higher maintenance of C-cycle functionality than in coarse macroaggregates.
- 420 • The fractions of the OM that have undergone the greatest chemical transformations (evaluated by  
421 C/N value and  $\delta^{13}\text{C}$  signature) were in coarse macroaggregates and were not those that were more  
422 stable within the aggregates of the soil, in agreement with Schmidt et al. (2011).

423 The data of porosity, distribution of pores and organic forms determined by image analysis, confirmed  
424 that coarse and fine macroaggregates differed, and they were physically differentiated microhabitats for  
425 microorganisms. Specifically, fine macroaggregates had organic matter closer to the pores surface than  
426 in coarse macroaggregates probably due to the origin of fine macroaggregates themselves, whose  
427 genesis begins because of the decomposition of accessible particulate organic residues within coarse  
428 macroaggregates (Figure 6). In fine macroaggregates, the interaction between OM and metals and/or  
429 mineral phase and the physical occlusion of OM lead to its stabilisation (Figure 6).

430 Physical occlusion and interaction with minerals thus appeared as two complementary mechanisms  
431 enhancing OM stabilization in fine macroaggregates. Both these processes are often related to  
432 microaggregates (<0.25 mm) rather than macroaggregates (>0.25 mm) and further researches need on  
433 the study of their relative importance in fine macroaggregates. For example, the application of other  
434 techniques able to study intact (i.e, non-destroyed) aggregates and the three-dimensional nature of OM  
435 and pores distribution, might provide useful information on the physical protection of OM within soil

436 aggregates. However, our findings seem to provide a new insight for studying the potentiality of OM  
437 stabilization and C sequestration in soil macroaggregates.

438

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442

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583

584

585 **Figure captions**

586 Figure 1. Pore size distribution in a) coarse and b) fine macroaggregates. In c) the ANOVA results are  
587 reported. M-OW and M-AA: 16-yrs old oak wood and 5-yrs old alfalfa in mountain area, respectively; P-NF  
588 and P-FF: non-fertilized and fertilized walnut grove in plain area.

589 Figure 2. Al:C, Fe:C and Ca:C molar ratio of the different organic forms in coarse and fine macroaggregates.  
590 Different capital letters represent the significant differences among organic forms. Different lower letters  
591 represent the significant difference between coarse and fine macroaggregates within the same organic  
592 forms.

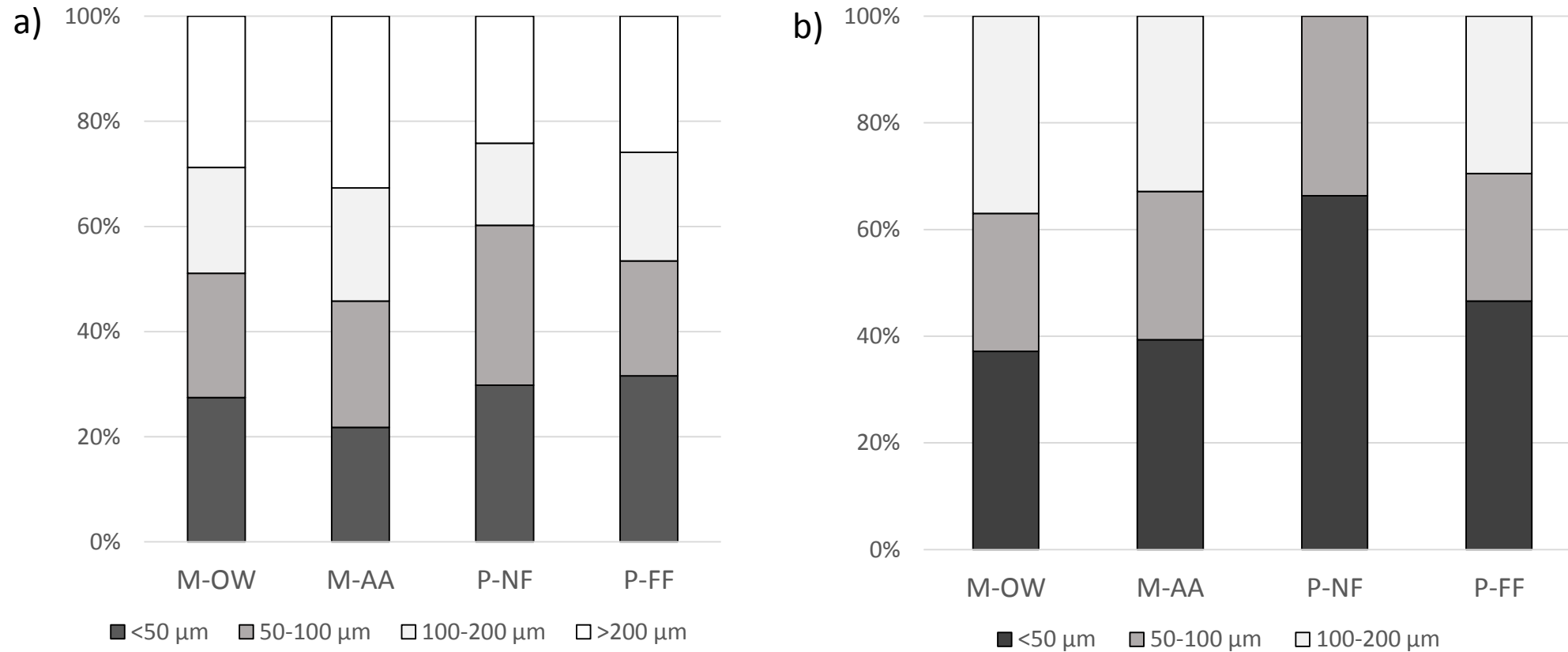
593 Figure 3. Box-plots of exposure index (EI, in  $\text{mm}^{-1}$ ) values in the coarse and fine macroaggregates. The box  
594 represents the interquartile range, the line represents the median value, the symbol represents the mean  
595 value, error bars represent the full range of data. Different letters refer to significant differences ( $p < 0.05$ )

596 Figure 4. Relationships between EI values measured in aggregate thin sections and chemical properties  
597 measured on ground aggregates (C/N ratio and  $\delta^{13}\text{C}$ ). The coarse (filled symbols) and fine macroaggregate  
598 (open symbols) classes are display for each plot. The error bars indicate the standard deviation.

599 Figure 5. Relationships between EI values measured in aggregate thin sections and geometric mean of  
600 assayed enzyme activities (GMea). The coarse (filled symbols) and fine macroaggregate (open symbols)  
601 classes are display for each plot. The error bars indicate the standard deviation.

602 Figure 6. Conceptual scheme of macroaggregates and organic matter stabilization. Into brackets the  
603 microfeatures used in this study and suggesting each step of the scheme

604



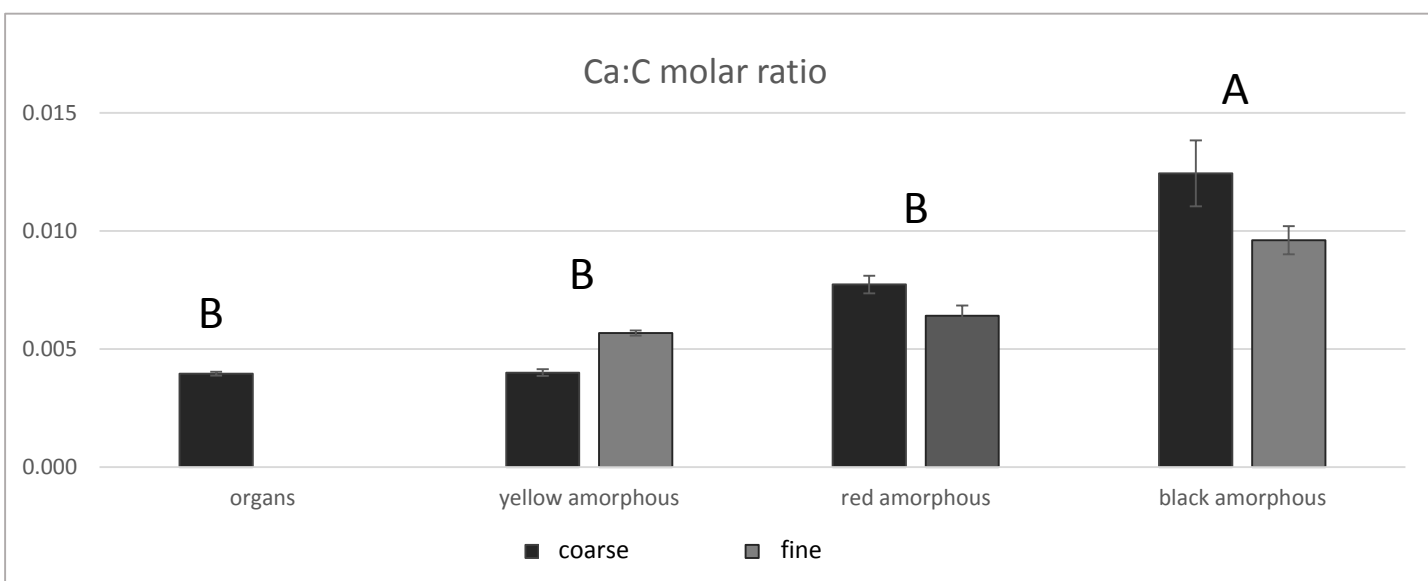
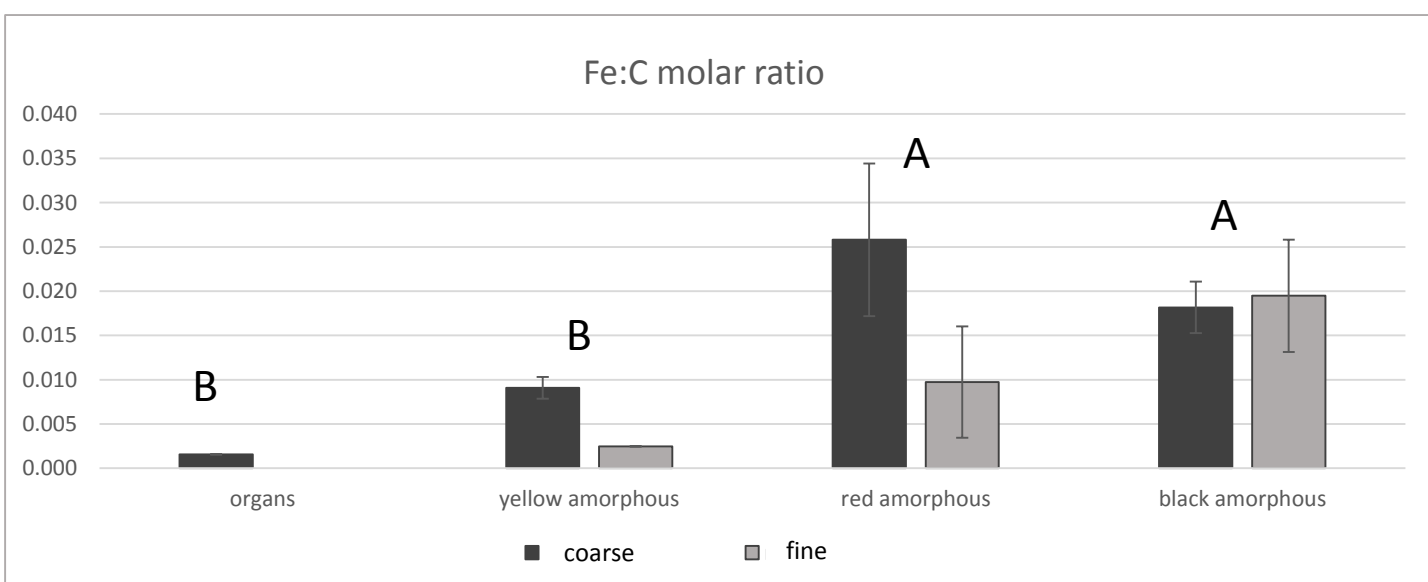
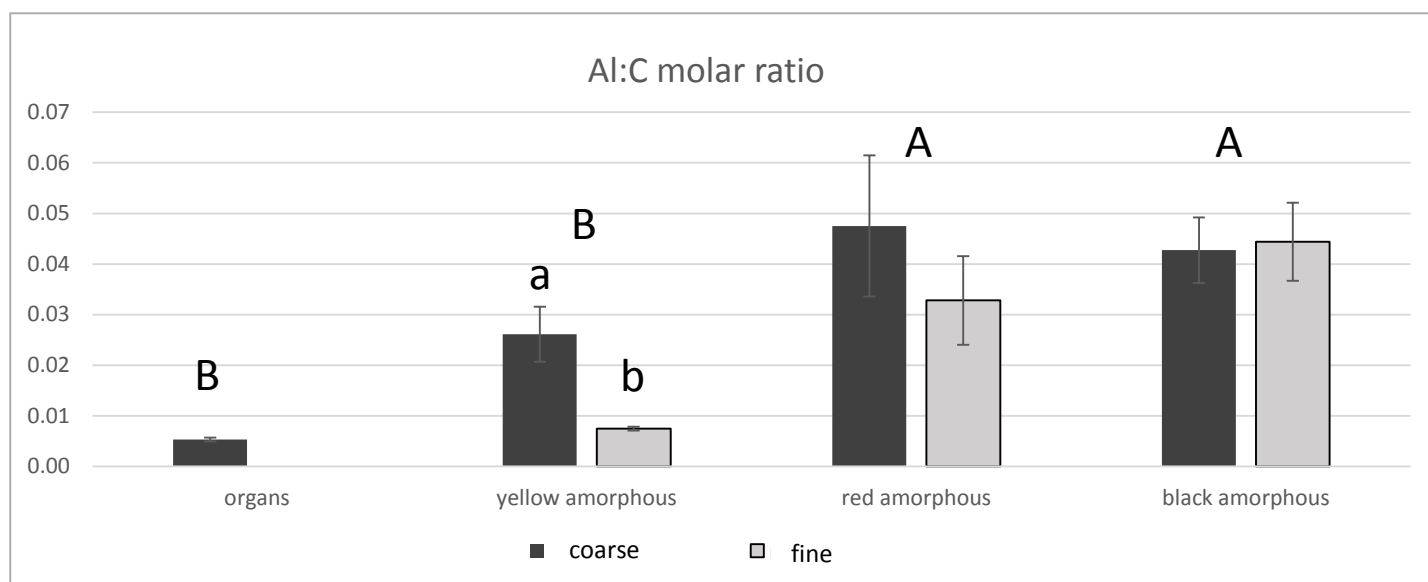
c)

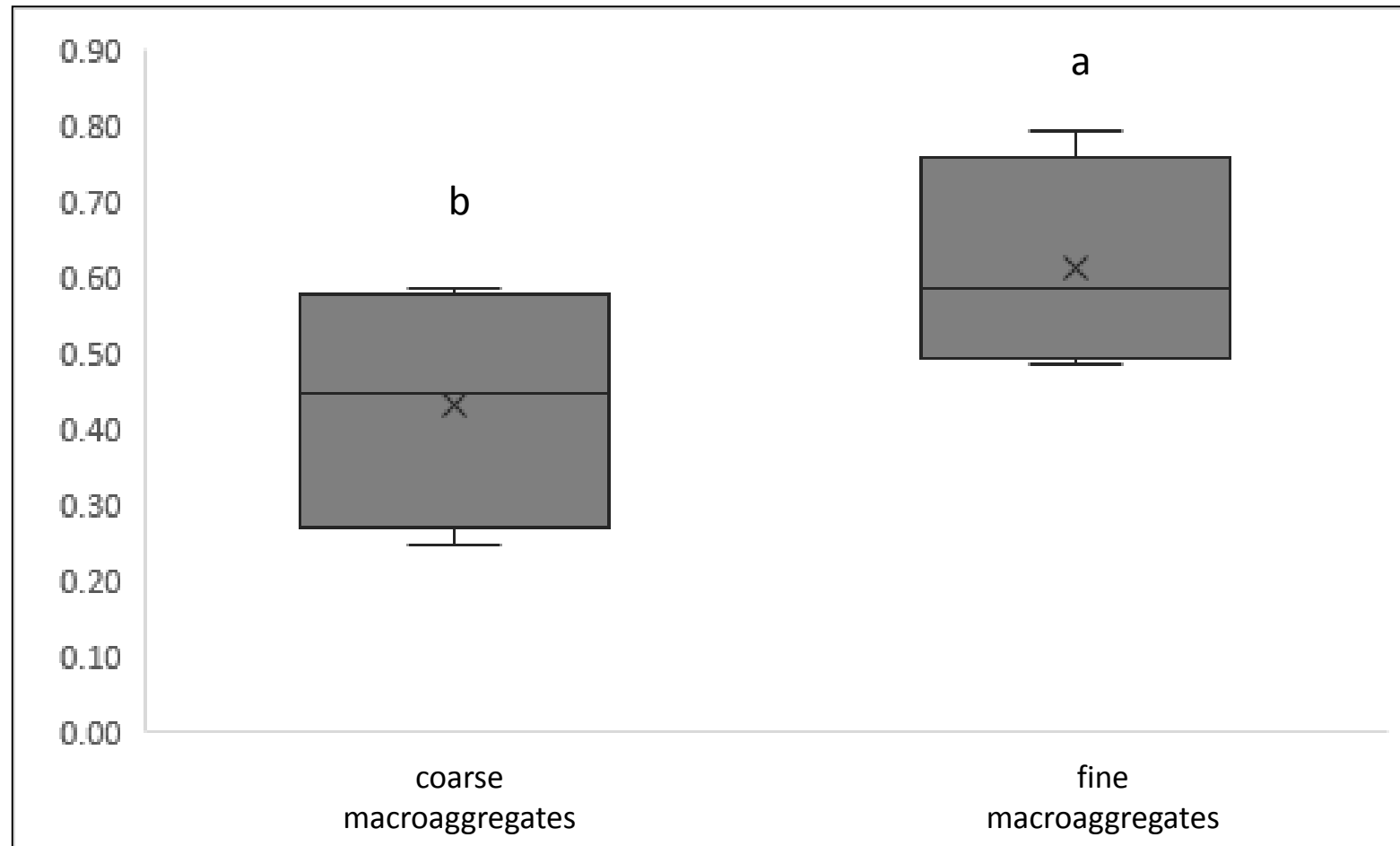
		<50 μm (%)	50-100 μm (%)	100-200 μm (%)	>200 μm (%)
coarse vs fine macroaggregates		***	**	***	nd
within coarse macroaggregates	site	ns	ns	ns	ns
within fine macroaggregates	site	ns	ns	ns	nd

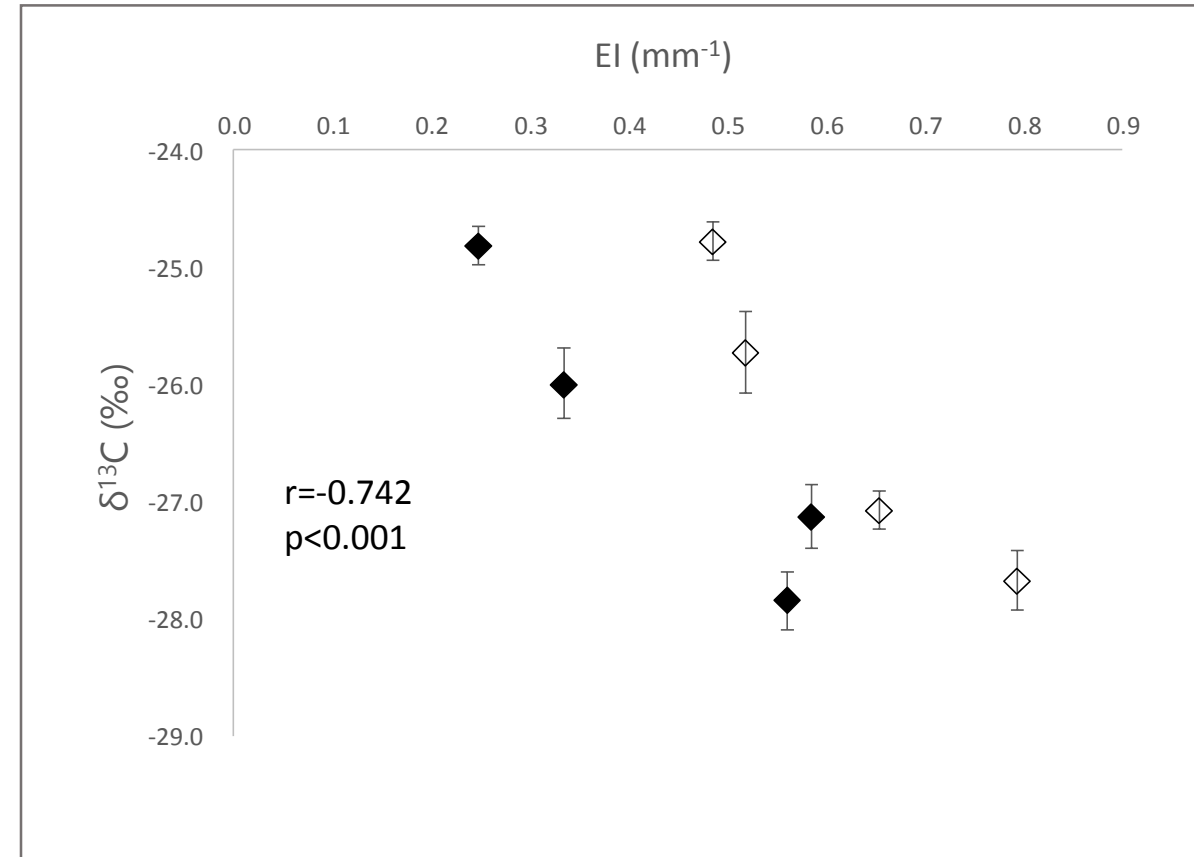
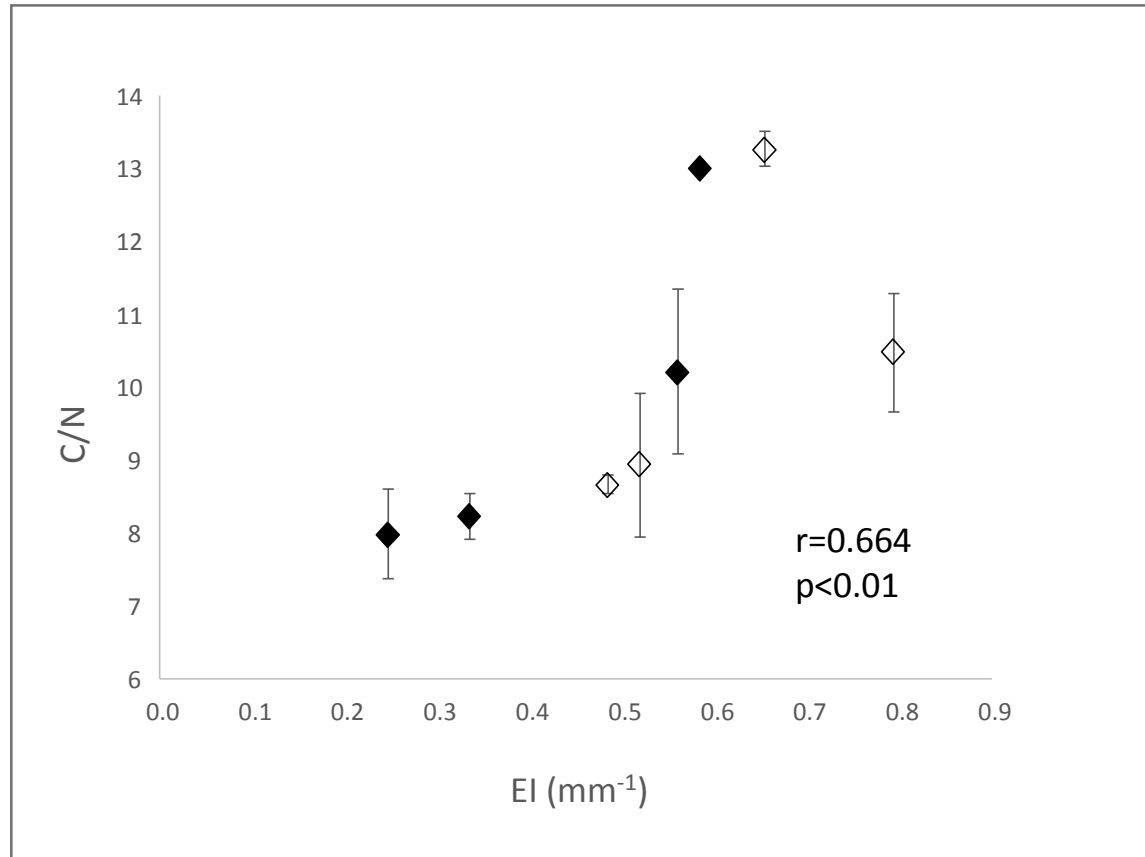
ns: not significant ( $p > 0.05$ ); \*:  $p < 0.05$ ; \*\*:  $p < 0.01$ ; \*\*\*:  $p < 0.001$

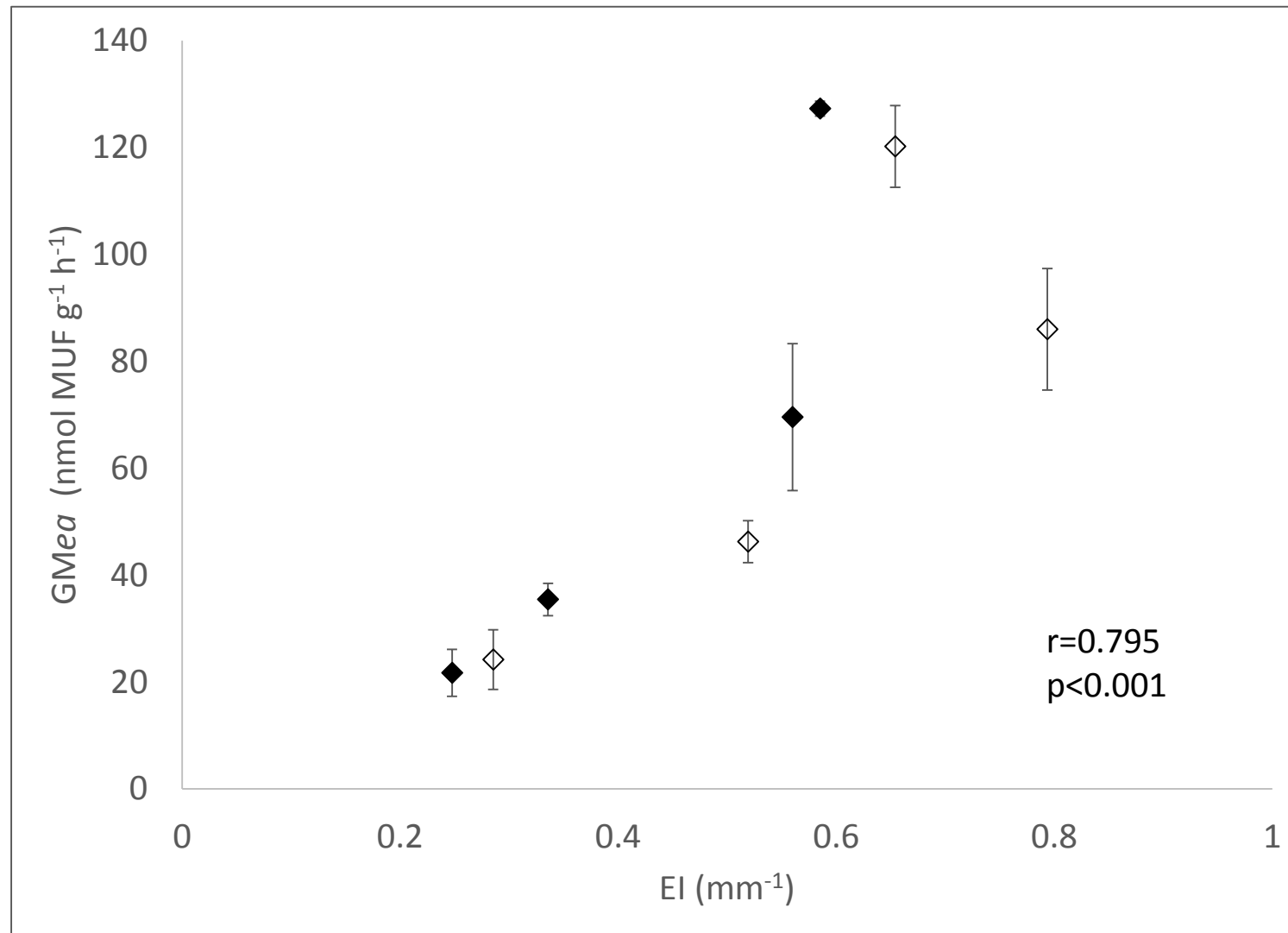
nd: not determined

Figure 2











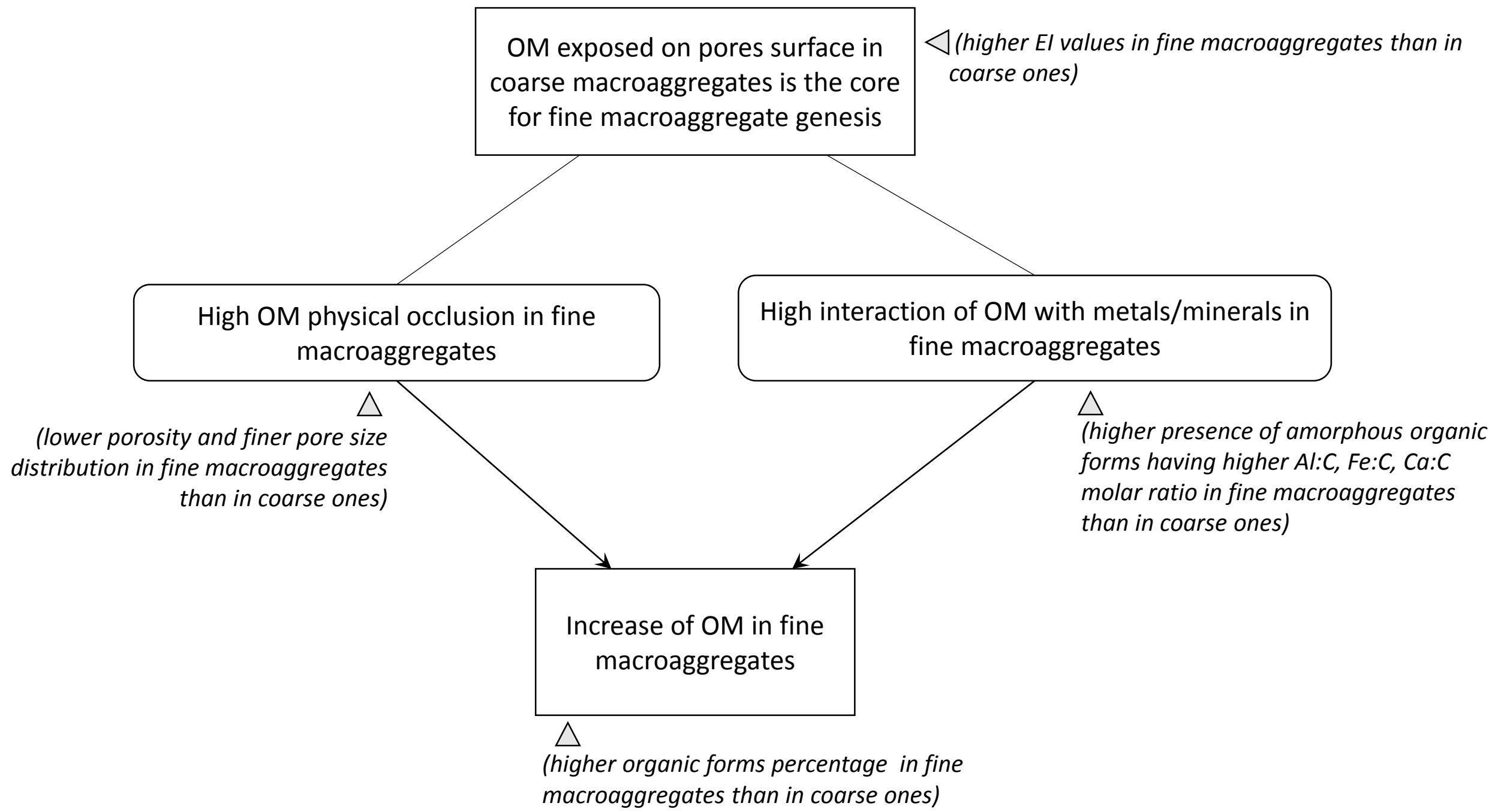


Table 1. General information of the investigated sites

Area (climate/soil type)	Site	Coordinates	Elevation (m a.s.l.)	Soil management and sampling information
Mountain (MAT: 11.6°C; MAP: 967 mm/Inceptisols)	M-OW	44° 16'29''N 11°14'53''E	630	The oak wood was a 16-year-old wood exploited for firewood. At sampling time, the wood was at the end of its cutting cycle.
	M-AA	44°16'28''N 11°15'25''E	663	The alfalfa was a 5-year-old crop not-fertilizer. At sampling time, the alfalfa was at the end of its cropping cycle.
Plain (MAT: 12.9°C ; MAP: 645 mm/Inceptisols)	P-FF	44°32'18''N 11°23'07''E	34	Since 2001, 90 kg/ha/yr g of urea has been distributed for granular treatment subdividing in two doses (45 kg/ha/yr g in April/May and 45 kg/ha/yr in October). The soil was not tilled and covered by spontaneous grasses. The soil sampling was done along the plant rows.
	P-NF	44°32'19''N 11°23'07''E	34	Since 2001, the site was not fertilized. The soil was not tilled and covered by spontaneous grasses. The soil sampling was done along the plant rows.

MAT: mean annual temperature; MAP: mean annual precipitation

Table 2. Percentage of total porosity, organic carbon and presence of organic matter forms in coarse and fine macroaggregates. Numbers in the brackets represent the standard deviation values. In the bottom, the ANOVA results are reported

Macroaggregate class	Sites	Total porosity <sup>a</sup> (%)	Organic carbon <sup>b</sup> g kg <sup>-1</sup> <sub>aggregate</sub>	Organic matter forms <sup>a</sup> (%)
coarse	M-OW	5.96 (1.67)	49.6 (4.6)	8.27 (1.29)
	M-AA	9.08 (1.00)	10.9 (3.5)	6.51 (0.95)
	P-NF	5.93 (1.89)	6.6 (1.8)	4.19 (0.88)
	P-FF	8.19 (1.43)	7.9 (1.2)	5.58 (1.10)
fine	M-OW	4.42 (0.97)	52.4 (7.3)	17.2 (4.48)
	M-AA	6.71 (1.43)	12.1 (3.4)	17.7 (1.94)
	P-NF	3.70 (0.78)	7.8 (1.2)	14.4 (2.99)
	P-FF	6.53 (1.18)	9.3 (3.0)	13.5 (3.41)
coarse vs fine macroaggregates		***	ns	***
within coarse macroaggregates		site: ns	site: ***	ns
within fine macroaggregates		site: ns	site: **	ns

<sup>a</sup>measured on macroaggregate thin sections; <sup>b</sup>measured on grounded macroaggregates.

M-OW and M-AA: 16-yrs old oak wood and 5-yrs old alfalfa in mountain area, respectively; P-NF and P-FF: non-fertilized and fertilized walnut grove in plain area.

ns: not significant (p>0.05); \*\*:p<0.01; \*\*\*: p<0.001

Table 3. Organic matter forms distribution in coarse and fine macroaggregates. Numbers in the brackets represent the standard deviation values

macroaggregate	sites	Organs (%)	Yellow	Red	Black
class			amorphous	amorphous	amorphous
			forms (%)	forms (%)	forms (%)
coarse	M-OW	3.31 (0.9)	1.87 (0.2)	2.32 (0.6)	3.16 (0.7)
	M-AA	1.11 (0.4)	-	2.09 (0.9)	3.51 (0.3)
	P-NF	-	1.10 (0.4)	1.29 (0.3)	2.07 (0.4)
	P-FF	-	1.10 (0.5)	2.14 (0.5)	3.14 (0.7)
fine	M-OW	-	1.21 (0.4)	7.77 (0.8)	8.51 (1.2)
	M-AA	-	1.84 (0.8)	6.07 (0.7)	12.1 (1.2)
	P-NF	-	-	6.64 (0.7)	9.72 (1.5)
	P-FF	-	-	5.65 (0.8)	5.30 (0.9)
coarse vs fine		-	-	***	***
macroaggregates					
within coarse					
site		-	-	*	*
macroaggregates					
within fine					
site		-	-	***	***
macroaggregates					

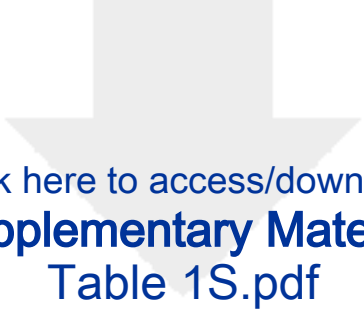
M-OW and M-AA: 16-yrs old oak wood and 5-yrs old alfalfa in mountain area, respectively; P-NF and P-FF: non-fertilized and fertilized walnut grove in plain area.

ns: not significant ( $p > 0.05$ ); \*:  $p < 0.05$ ; \*\*\*:  $p < 0.001$

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:



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