

1 What mandrills leave behind: using fecal samples to characterize the major histocompatibility complex
2 in a threatened primate

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18

19 **Abstract**

20 The major histocompatibility complex (MHC) can be useful in guiding conservation planning
21 because of its influence on immunity, fitness, and reproductive ecology in vertebrates. The mandrill
22 (*Mandrillus sphinx*) is a threatened primate endemic to central Africa. Considerable research in this
23 species has shown that the MHC is important for disease resistance, mate choice, and reproductive
24 success. However, all previous MHC research in mandrills has focused on an inbred semi-captive
25 population, so their genetic diversity may have been underestimated. Here we expand our current
26 knowledge of mandrill MHC variation by performing next-generation sequencing of non-invasively
27 collected fecal samples from a large wild horde in central Gabon. We observe MHC lineages and alleles
28 shared with other primates, and we uncover forty-five putative new class II MHC DRB alleles, including
29 representatives of the DRB9 pseudogene, which has not previously been identified in mandrills. We also
30 document methodological challenges associated with fecal samples in NGS-based MHC research. Even
31 with high read depth, the replicability of alleles from fecal samples was lower than that of tissue
32 samples, and allele assignments are inconsistent between sample types. Further, the common
33 assumption that variants with very high read depth should represent true alleles does not appear to be
34 reliable for fecal samples. Nevertheless, the use of degraded DNA in the present study still enabled
35 significant progress in quantifying immunogenetic diversity and its evolution in wild primates.

36 **Keywords:** Noninvasive samples, major histocompatibility complex, Illumina sequencing, replicability,
37 *Mandrillus sphinx*, primates

38 **Introduction**

39 The genes of the major histocompatibility complex (MHC) have drawn considerable attention
40 from conservationists over past decades due to their role in adaptive immunity (Manlik et al., 2019;
41 Sommer, 2005). MHC loci encode cell-surface glycoproteins that bind antigenic peptides from pathogens
42 and present them to T cells to initiate an immune response (Kaufman et al., 1984; Unanue, 1984). The
43 amino acids in the antigen binding groove determine its binding properties, so variability in these amino
44 acids enables immune responses against multiple pathogens (Matsumura et al., 1992; Ou et al., 1998).
45 Class II MHC genes, which bind extracellular peptides, are under strong positive selection and include
46 some of the most polymorphic loci in the vertebrate genome (Hughes & Nei, 1989; Klein et al., 1993;
47 Radwan et al., 2020). These crucial functional genes have been used to study host-parasite co-evolution
48 (Biedrzycka et al., 2018; Hedrick, 2002), delineate conservation units (Vásquez-Carrillo et al., 2014; Zhu
49 et al., 2013), and infer migratory connectivity (Rodríguez et al., 2011).

50 MHC diversity has been well characterized in humans and some non-human primates, especially
51 those used in medical research (Bontrop et al., 1999; Knapp et al., 1997; Otting et al., 2002). In humans,
52 the most diverse MHC gene family, the class II DRB, includes nine lineages denoted DRB1-9 (Robinson et
53 al., 2020). Of these, DRB1, 3, 4, and 5 are functional and highly variable, each containing hundreds or
54 thousands of alleles (Klein et al., 2007). The lineages of the class II DRB predate primate speciation and
55 are conserved across species (Geluk et al., 1993; Kelley et al., 2005; Slierendregt et al., 1992). This
56 phenomenon, known as trans-species polymorphism (TSP), has been documented in multiple MHC
57 markers, such as the DQB and DPB genes (Doxiadis et al., 2006; Otting et al., 2002; Song et al., 2016).
58 Shared lineages have also been identified in primate species as distantly related as humans and owl
59 monkeys (*Aotus* spp.) (Suárez et al., 2006), although it remains unclear whether this similarity is due to
60 TSP or convergent evolution.

61 In addition to its role in immunity, the MHC also influences primate reproductive ecology.
62 Heterozygote advantage has been documented in baboons (*Papio ursinus*) (Huchard et al., 2010) and
63 rhesus macaques (*Macaca mulatta*) (Sauermann et al., 2001). Several primates use the MHC as a
64 criterion for mate choice, preferring heterozygous or dissimilar mates, which can serve as an inbreeding
65 avoidance mechanism (Dandine-Roulland et al., 2019; Huchard et al., 2013; Setchell et al., 2010). The
66 MHC's influence on immunity and reproduction also makes it an important factor in conservation
67 planning. An understanding of a species' MHC diversity provides information on local adaptation,
68 vulnerability to parasites, and can guide decision-making for translocations or captive breeding
69 programs (Sommer, 2005).

70 One primate in which the importance of the MHC has been clearly demonstrated is the mandrill
71 (*Mandrillus sphinx*). Mandrill class II MHC DRB variation has previously been characterized in a single
72 captive population located at the Centre International de Recherches Médicales (CIRMF) in Gabon
73 (Abbott et al., 2006; Setchell et al., 2009). Male reproductive success increases with their MHC diversity,
74 and females preferentially mate with dissimilar males (Setchell et al., 2010), resulting in higher
75 immunogenetic variability for offspring (Setchell et al., 2013). MHC alleles are also related to the male's
76 odor profile and dramatic red facial coloration (Setchell et al., 2009, 2011).

77 Evidence for the MHC's role in mandrill reproductive ecology is strong, but our understanding of
78 their level of immunogenetic variability in the wild remains limited by the difficulties of sampling from

79 natural populations. Although MHC data have been obtained from many CIRMF individuals (n=155), the
80 colony is inbred, having originated in 1983 from fifteen individuals that were confiscated from poachers
81 and are of unknown origin (Charpentier et al., 2005; Wickings, 1995). Genetic diversity in the captive
82 colony can be assumed to be less than a wild horde, which can number hundreds of individuals
83 (Abernethy et al., 2002; Guibinga Mickala et al., 2022). Therefore, information that relies solely on the
84 captive horde may provide an incomplete characterization of MHC diversity and thus hinder our ability
85 to quantify adaptive diversity in wild populations and detect evolutionary patterns such as TSP.

86 Since mandrills are declining in numbers (Abernethy & Maisels, 2019) and are highly elusive in
87 their dense forest habitat, collecting high-quality genetic samples from wild populations is problematic.
88 Non-invasive sampling, such as collection of feces, is a possible alternative, since many samples can be
89 collected with minimal contact with the target species. A prime opportunity for non-invasive sampling of
90 wild mandrills exists in the forest-savannah mosaic in northern Lopé National Park (LNP), Gabon, which
91 is home to a horde of nearly one thousand individuals (Abernethy et al., 2002; Guibinga Mickala et al.,
92 2022). The mosaic habitat allows access to the resident horde, and since the 1980s, these mandrills have
93 been the focus of research by staff at the Station d'Etudes des Gorilles et Chimpanzees (SEGC)
94 (Abernethy et al., 2002; Guibinga Mickala et al., 2022; Harrison, 1988; Lahm, 1986; Rogers et al., 1996;
95 Telfer et al., 2003; White et al., 2010). Some SEGC mandrills have been previously fitted with radio
96 collars to aid in locating the group, simplifying non-invasive sampling of feces.

97 Despite their advantages, fecal samples generally contain degraded DNA and are prone to allelic
98 dropout and cross-contamination (Morin et al., 2001; Taberlet et al., 1999). Fecal samples have been
99 used successfully in past MHC studies, primarily using older sequencing methods such as Sanger
100 sequencing (Arguello-Sánchez et al., 2018; Yu et al., 2018; Zhang et al., 2018), single-stranded
101 conformation polymorphism (Maruya et al., 1996), or denatured gradient gel electrophoresis (Huchard
102 et al., 2006; Knapp et al., 1997; Setchell et al., 2009). To date, Hans et al. (2015) remains the only case
103 where MHC variation was characterized from wild primate fecal samples using targeted next-generation
104 sequencing (NGS), allowing the most comprehensive description of gorilla MHC that was available at the
105 time.

106 Although Hans et al. (2015) employed rigorous quality controls in their study, the reliability of
107 MHC alleles identified from non-invasive samples using NGS has not been thoroughly evaluated. Several
108 methods of assigning MHC alleles from thousands of NGS reads have been shown to have good
109 replicability between sequencing runs (83.6-98% depending on the method) (Biedrzycka et al., 2017;
110 Lighten et al., 2014; Million & Lively, 2022), but these measures have only been estimated using high
111 quality samples such as tissue. Furthermore, some of the assumptions underlying these methods,
112 namely that the sequences with the highest read depths within their amplicons should usually represent
113 true alleles (Cummings et al., 2010; Lighten et al., 2014; Sommer et al., 2013), have never been tested
114 using degraded DNA.

115 Here, we examine the reliability of MHC allele assignment from fecal samples using NGS by
116 pursuing three objectives. First, we test whether allele assignments from fecal and tissue samples are
117 equally replicable across repeated NGS runs. Second, we compare allele assignments from paired fecal
118 and tissue samples from four individuals in a single sequencing run. Third, we explore the assumption

119 that very high depth sequences in an amplicon are more likely to represent genuine alleles than are low-
120 depth sequences. If this assumption holds true in degraded samples, then variants with high read depth
121 within their amplicons should be more repeatable than low-depth variants. Next, we expand the current
122 characterization of the mandrill MHC to a wild population for the first time. We compare MHC variation
123 in the wild to that of the previously-studied CIRMF colony, and we look for evidence of lineage-sharing
124 between mandrills and other primates. Lastly, we quantify adaptive diversity by clustering alleles into
125 functional supertypes.

126 **Methods**

127 **Sample Collection**

128 Fresh samples of feces (<6 hours) were collected daily from the SEGC horde during July or
129 August of 2016 and 2017, when adult males and females are both present (Abernethy et al., 2002).
130 Researchers located the horde using radio telemetry, then followed the horde on foot, collecting ~1 cm³
131 of dung per sample. A total of 638 fecal samples were collected and stored in Falcon tubes with 25mL of
132 silica, as recommended by previous studies (Soto-Calderón et al. 2009). Samples were also collected
133 between 2016 and 2018 from 22 radio-collared mandrills. From these collared individuals, we collected
134 fourteen blood samples, nine samples of plucked hair with attached bulb tissue, and nine fecal samples.
135 DNA from fecal samples was extracted using the QIAamp DNA Stool Mini Kit (Qiagen, CA), while the
136 DNeasy Blood & Tissue Kit (Qiagen, CA) was used for blood and hair samples.

137 **PCR Amplification and Sequencing**

138 Previous studies of mandrill MHC have used a single pair of primers that are expected to amplify
139 a 252-base fragment from all MHC loci (Abbott et al., 2006; Setchell et al., 2005). Shorter fragments
140 amplify more reliably from degraded DNA (Butler et al., 2003; Wiegand & Kleiber, 2001), so we designed
141 a new pair of primers interior to the previously-used binding sites based on available mandrill MHC
142 sequences. Our primers (forward 5'-TTCTCAAYGGGACGGAGC-3', reverse 5'-GTGTCTGCAGTAGGTGCC-
143 3') amplify a 157-nucleotide fragment of the second exon of the DRB gene, encompassing close to 60%
144 of the peptide binding region (PBR), an important target of selection (Brown et al., 1993). Illumina linker
145 sequences were also added to the 5' end of each primer to facilitate library preparation and sequencing.
146 PCRs for all samples were performed in a total volume of 25µl, with 12.5 µL 2X GoTaq HotStart
147 Polymerase Master Mix (Promega), 0.5 mM MgCl₂, 400 nM of each primer, 8.25 µL of water, and 2 µL of
148 template DNA. Cycling conditions were as follows: 5 minutes initial denaturation at 98°C, followed by 38
149 cycles of 95°C for 30 seconds, 65°C for 30 seconds, and 72°C for 60 seconds, and a ten-minute final
150 extension at 72°C. Successful amplification of each sample was confirmed by agarose gel
151 electrophoresis.

152 To quantify allele replicability and establish allele assignments for each sample, three Illumina
153 runs were performed, all using 150 cycles of paired-end sequencing (Figure 1). Library preparation,
154 which included addition of barcodes, sample pooling, and quantification, was performed at the Georgia
155 Genomics and Bioinformatics Core. We aimed to achieve high read depth (≥5,000 reads) for most
156 samples in each run, although targeting a specific depth is challenging in fecal samples due to their

157 variation in target DNA concentration. The first sequencing run, performed in 2018, was an Illumina
158 MiSeq run of 192 pooled PCR products, each generated from a unique non-invasive sample. In 2019, a
159 MiSeq Nano run was conducted using the samples of blood (n=14), hair (n=6), and feces (n=4) that
160 successfully amplified from the radio-collared mandrills. The four fecal samples originated from four
161 individuals from which blood was also collected, while the remaining ten blood samples and all six hair
162 samples were all from different individuals. These 24 samples were pooled with replicate PCR products
163 for twenty-three randomly-selected non-invasive samples from the 2018 run. Finally, 192 replicate PCR
164 products (comprising nine of the blood and hair samples and 183 previously sequenced noninvasive
165 samples) were pooled into another standard MiSeq run in 2021. For repeatability calculations and
166 development of consensus allele assignments, these three runs were considered in pairs as shown in
167 Figure 1. A schematic of the overall workflow is shown in Figure 2.

168 Replicability of MHC allele assignments from feces and tissue

169 To quantify allele replicability between sequencing runs, data from each Illumina run was
170 processed independently (Figure 2a). Trimmomatic and cutPrimers were used to trim primer and
171 adapter sequences (Bolger et al., 2014; Kechin et al., 2017). Paired end reads were merged and cleaned
172 using ampliMERGE and ampliCLEAN in the ampliSAT pipeline (Sebastian et al., 2016). Merged reads were
173 discarded if their average Phred score was below 30 or if their length was outside the range of 152-160
174 bases. In ampliSAS (Sebastian et al., 2016), highly similar sequences were then clustered together using
175 a 1% substitution error threshold, a 0.001% indel error threshold, and a 30% minimum dominant
176 frequency threshold (MDF). To differentiate clusters representing true alleles from sequence artifacts,
177 we then applied the degree of change (DOC) method (Lighten et al., 2014) as implemented in ampliSAS
178 on amplicons containing at least 100 reads after filtering. In the DOC method, the read depths of each
179 variant cluster in an amplicon are added cumulatively in descending order, and the first and second
180 derivative of the resulting cumulative depth curve are used to identify a breakpoint in read depth that
181 separates true alleles from sequence artifacts.

182 After applying the DOC method to all three Illumina datasets, we calculated a replicability score
183 for each sample (R_A), defined as the proportion of variants in the sample that replicated between
184 sequencing runs. Evidence for statistically significant differences in the average R_A values calculated
185 from replicated blood/hair and fecal samples was assessed using Mann-Whitney U tests. Specifically,
186 comparison were made between datasets B and C, A and C, and C and D, as defined in Figure 1. Because
187 datasets B and D have very different sample sizes (n=9 and n=183 R_A scores respectively), their average
188 R_A scores were compared by randomly selecting 1000 sets of nine values from D to generate a
189 distribution of scores. Statistical significance was assessed based on whether the average value of B was
190 greater than the 95th percentile of the permuted distribution of scores from non-invasive samples. The
191 proportions of blood/hair and fecal samples with “perfect” R_A scores ($R_A=1$) were also compared using
192 Fisher’s Exact Test. Finally, within-run R_A scores were also calculated between the paired blood and fecal
193 samples that were included in the MiSeq Nano run.

194 Since the DOC method has been shown to have lower replicability in amplicons with less than
195 5,000 reads (Biedrzycka et al., 2017), the R_A scores for dataset D were also calculated excluding

196 amplicons below that read depth. As an alternative to the DOC method, we also assigned alleles to the
197 samples in the 2018 and 2021 runs using the default assignment method implemented in AmpliSAS
198 (Sebastian et al., 2016). Replicability scores in dataset D were then recalculated from these allele
199 assignments.

200 Replicability of high-depth sequence variants

201 Next, we explored whether a variant's read depth is associated with its status as an allele or an
202 artifact in degraded samples, following the assumption that true alleles should be more repeatable than
203 artifacts across runs. We focused on non-invasive samples for this assessment and therefore used only
204 the 2018 and 2021 MiSeq runs, which contain the bulk of the fecal samples. For each amplicon in each
205 run, alleles were ranked according to their depth, and each allele's relative frequency was calculated
206 (allele depth divided by total amplicon depth). We then combined these data from all alleles in both
207 runs and split the data into a training set and a testing set (80% and 20% of the alleles, respectively).
208 Logistic regressions were performed on the training sets to determine whether variant rank or
209 frequency are significant predictors of whether a variant replicates across runs. Because variant rank
210 and frequency are closely related, variables were tested in separate models. The strength of each
211 variable's influence was assessed by calculating odds ratios, and the predictive power of the model was
212 quantified by calculating the proportion of variants in the test set for which the model correctly
213 predicted replication status.

214 Individual Allele Assignment

215 Due to the poor replicability observed when Illumina runs are processed independently (see
216 Results), we devised a method to generate consensus allele assignments from paired runs (Figure 2b).
217 Our strategy relies on several assumptions. First, we assumed that given high amplicon sequencing
218 depth, all alleles should be detected even if at very low read depth. Past studies show that some alleles
219 amplify less efficiently than others, resulting in consistently lower read depths (Sommer et al., 2013).
220 True alleles may therefore appear at depths lower than putative sequence artifacts. This problem may
221 be exacerbated in fecal samples because they tend to be highly degraded and subject to allelic dropout
222 (Morin et al., 2001), leading to poor replicability. However, given high read depths for each amplicon,
223 we assume detection of all alleles, even if depth for a particular allele is very low (<1% of amplicon
224 depth). Second, artificial variants resulting from random single-base substitution or indels are unlikely to
225 replicate at an appreciable depth between runs. Some bases are more error-prone than others (Gilles et
226 al., 2011), resulting in a higher probability of replicable errors at these positions. However, because
227 artifacts are clustered with their parent sequences in the ampliSAT pipeline (Sebastian et al., 2016),
228 repeatable errors are still unlikely to reoccur in two independent runs. Third, chimeras and indels in
229 homopolymeric regions may be more likely to replicate between runs, but they can be easily identified
230 and removed after alignment to the parent sequence(s). Fourth, alleles resulting from cross-
231 contamination between samples during PCR are unlikely to be replicated, as PCR products for each
232 Illumina run were prepared independently.

233 Considering these four assumptions, our strategy to assign individual MHC alleles was as follows
234 (Figure 2b): each Illumina dataset was reanalyzed using the ampliSAS tool (Sebastian et al., 2016), using

235 less stringent clustering and filtering parameters. Data presented here (see Results) shows that low-
236 depth variants are sometimes repeatable, suggesting that they may be true alleles, so we aimed to
237 initially retain these variants by using more relaxed parameters. The chosen settings are still expected to
238 cluster most artificial variants resulting from substitution or indel errors with their parent alleles.
239 Substitution, indel, and minimum dominant frequency thresholds were therefore set to 1%, 0.01%, and
240 10% respectively. Minimal filtering parameters were applied, retaining variants with relative frequency
241 greater than 0.05%. For each sample, the ampliSAS-generated fasta files of variants from two runs were
242 then entered into a custom Python program that extracted all replicated variants. For each non-invasive
243 sample, replicated variants were extracted from the 2018 and the 2021 runs. For the blood and hair
244 samples, variants from the 2019 and 2021 runs were used. Resulting variants were then aligned in
245 MEGA-X (Kumar et al., 2018), where replicated artifacts resulting from indels in homopolymer regions
246 were easily identified by their introduction of alignment gaps relative to otherwise-identical parent
247 sequences. Replicated chimeras were also detected visually and by generating neighbor-joining trees for
248 each sample, since chimeras result from recombination of parent sequences and contain no unique
249 mutations. After removing replicated sequence artifacts, all remaining variants were considered
250 putative true alleles.

251 Our method is similar to that of Sommer et al., (2013) in that we rely on paired sequencing runs.
252 A key difference is that the previous approach assumes that the variant with the greatest read depth in
253 each amplicon is a true allele, and all other alleles in the sample are identified based on their
254 replicability, relative frequency, and their similarity to other variants. Our data shows that even the
255 highest-depth variant in an amplicon may not be replicable (see Results), so our method makes no
256 assumptions about relative read depth indicating allele veracity.

257 An unrooted neighbor-joining tree was then generated in MEGA-X (Kumar et al., 2018), using
258 alleles identified in the SEGC horde as well as previously-published mandrill MHC DRB sequences
259 downloaded from the Immuno-Polymorphism Database (IPD) (Maccari et al., 2020). When possible,
260 lineages of novel variants were identified based on monophyly with previously described DRB loci
261 (Abbott et al., 2006; Setchell et al., 2009). Because our sequences do not include the full exon, novel
262 alleles were named arbitrarily by number within their lineages instead of following the nomenclature
263 described by de Groot et al. (2019). Here, the putative lineage for novel alleles is given a prefix “p,” so,
264 for instance, alleles that form a monophyletic group with the DRB3 lineage would be named pDRB3-1,
265 pDRB3-2, and so forth. Alleles that do not form a monophyletic group with a known lineage are
266 designated pDRB, and sequences that are identical to a previously described allele are given the same
267 name, with the addition of the “p” prefix.

268 Assessing lineages shared with other primates

269 To look for patterns of lineage-sharing between mandrills and other primates, and to further
270 validate the identity of the alleles, each sequence was subjected to BLAST searches in IPD (Maccari et al.,
271 2020) and NCBI’s Genbank (Clark et al., 2016). Evolutionary relationships between lineages were
272 visualized by generating an unrooted neighbor-joining tree using MHC-DRB sequences from mandrills
273 and three well-studied species: olive baboons (*Papio anubis*), crab-eating macaques (*Macaca*
274 *fascicularis*), and chimpanzees (*Pan troglodytes*).

275 Sequencing short amplicons can cause an underestimate of true diversity (Llaurens et al., 2012),
276 for instance, if the fragment length is insufficient to capture polymorphisms differentiating alleles. To
277 quantify the amount of diversity lost due to our use of a short amplicon, all MHC-DRB alleles were
278 downloaded from the IPD for 15 species of cercopithecoids, platyrrhines, and apes (Supplementary
279 Table 1). The alleles were aligned with those from the present study and trimmed to equal length. We
280 counted the number of unique alleles before and after trimming to estimate the loss of allelic diversity.

281 Assessing functional diversity

282 Alleles were classified into supertypes following Doytchinova and Flower (2005). A supertype
283 represents a group of alleles with similar physio-chemical properties in the amino acids comprising the
284 antigen binding sites (ABS). Because ABS diversity is functionally important, amino acid sites involved in
285 peptide binding are likely under positive selection. To identify these positively-selected sites (PSS), per-
286 codon signatures of positive selection were evaluated by comparing rates of synonymous (dS) and
287 nonsynonymous (dN) mutations. The dN/dS comparison was performed using MEME (Murrell et al.,
288 2012) and FUBAR (Murrell et al., 2013) within the DataMonkey server (Weaver et al., 2018). MEME
289 compares the dN and dS rates at each site, accounting for the possibility that strength of selection may
290 vary across phylogenetic branches, while FUBAR assumes pervasive selection. The positions of ABS
291 codons are likely to be similar within a species, so to increase statistical power, this analysis was applied
292 to a dataset including both novel and previously-published alleles.

293 Codons under positive selection were then extracted from the sequences. We quantified each
294 allele's binding properties at the PSS using five physiochemical measurements (z-scores): z1
295 (hydrophobicity), z2 (steric bulk), z3 (polarity) and z4 and z5 (electronic effects) (Sandberg et al., 1998).
296 Z-scores were then transformed by principal components analysis and alleles classified into supertypes
297 using a Discriminant Analysis of Principal Components (DAPC) (Jombart et al., 2010) in the R package
298 adegenet (Jombart & Ahmed, 2011). This classification method maximizes the between-group z-score
299 variance while minimizing within-group variance, generating allele groups with functionally distinct PSS.

300 Results

301 Replicability of MHC allele assignments from feces and tissue

302 After filtering, 2018 and 2021 MiSeq runs had comparable read depths, although nearly 60% of
303 the reads from the 2021 run were lost during filtering (Table 1). As an expected consequence of the
304 sequencing technology used, the 2019 MiSeq Nano run had much lower read depth. According to a
305 Fisher Exact test comparing allele counts in each run, the 2021 run detected fewer alleles per sample
306 than the 2018 run ($p=0.005$), although there was no significant difference in the number of alleles
307 detected between the 2018 MiSeq and the 2019 MiSeq Nano runs ($p=0.86$) or the 2019 and 2021 runs
308 ($p=0.07$).

309 Replicate sequence data were obtained from 181 of the 183 non-invasive samples sequenced in
310 the 2018 and 2021 MiSeq runs. Twenty-two non-invasive samples and nine blood/hair samples
311 sequenced successfully in both the 2019 and 2021 runs. The average R_A score from the nine blood/hair
312 samples ($R_A=0.76$, dataset B in Figure 1 and Table 2) is higher than the entire distribution of average

313 scores calculated from 1000 randomly-drawn sets of nine non-invasive samples from dataset D
314 (maximum R_A from permuted data=0.69), indicating a significant difference in replicability. The
315 blood/hair samples also had a significantly higher percentage of perfect R_A scores (dataset B, 55.6%)
316 than the replicated non-invasive samples (dataset C, 13.6%) (Fisher Exact Test, $p=0.016$) (Table 2). The
317 22 non-invasive samples sequenced in both the 2019 and 2021 runs also had significantly lower R_A
318 scores (dataset C, $R_A=0.31$) than the blood/hair samples (dataset B, $R_A=0.76$) ($p=0.003$) (Table 2). There
319 was no significant difference in R_A scores between any of the sets of non-invasive samples (datasets A
320 and C, and C and D, in Figure 1 and Table 2).

321 For the four mandrills with blood and fecal samples sequenced in the MiSeq Nano run, allele
322 assignments varied by sample type. Within-run R_A scores between paired blood and fecal samples were
323 0, 0.33, 0.33, and 1. Blood samples also obtained between 2.9 and 4 times more read depth than did the
324 fecal samples (read depth range=3368-4489 and 962-1535 for blood and fecal samples respectively).

325 When R_A scores from dataset D were calculated using only amplicons with >5,000 reads ($n=154$
326 from dataset D), replicability levels did not differ (mean $R_A = 0.32$). Average scores were also very similar
327 when alleles were assigned using AmpliSAS's built-in assignment method (mean $R_A = 0.35$).

328 Replicability of high-depth variants

329 In logistic regressions, both variant rank and frequency were significant predictors of variant
330 replication ($p<0.001$ for each model). For each step downward in variant rank, for instance, from the
331 highest depth to the second highest depth, variants were 25.9% (95% CI: 20.8-30.8%) less likely to
332 replicate across runs. Unsurprisingly, similar results were apparent from the model using variant
333 frequency as a predictor. For every 1% increase in a variant's within-amplicon frequency, that variant is
334 on average 3.1% (95% CI: 2.4%-3.8%) more likely to replicate in a subsequent run. In the test dataset,
335 the models successfully predicted whether or not a variant would replicate in 65.1% and 65.5% of cases
336 when using rank and frequency respectively.

337 Despite these associations, the variants with the highest depth in each amplicon in the 2018 run
338 failed to replicate in 36.8% of amplicons in the 2021 run, and the top two variants failed to re-occur
339 45.6% of the time. In histograms of variant frequency and replication status (Figure 3), it is evident that,
340 although many low-frequency variants fail to replicate, an appreciable number do re-occur in
341 subsequent runs.

342 MHC characterization from consensus genotypes

343 Of the 181 non-invasive fecal samples that successfully replicated in the 2018 and 2021 runs,
344 consensus allele assignments could be generated for 170 samples, representing at least 162 individuals
345 based on microsatellite data in an unrelated study (Guibinga Mickala et al., 2022). For the remaining 11
346 samples, no variants replicated between runs, despite the relaxed clustering and filtering parameters
347 used for this step. All nine replicated blood and hair samples had replicated variants and could be
348 assigned alleles.

349 Sixty-two alleles were detected in the SEGC horde, 17 of which match those reported in the
350 CIRMF semi-captive mandrills (n=155) (Abbott et al., 2006; Setchell et al., 2009) (Supplementary Fig. 1).
351 We found a maximum of 11 alleles per sample, with an average of 3.62 (\pm 1.88), suggesting up to six
352 MHC-DRB loci. The most common allele (Masp-pDRB9-1) appeared in 111 samples (58.4%). Eighteen
353 alleles were only identified in a single animal, but in accordance with our assignment procedure, they
354 were considered true alleles because they replicated across runs. One of these matched the previously
355 published mandrill allele Masp-DRB1*04:02 (Abbott et al., 2006), lending support to the validity of these
356 singletons (Supplementary Fig. 1).

357 When these alleles were combined in a neighbor-joining tree with 23 previously-published
358 alleles (Abbott et al., 2006; Setchell et al., 2009), two monophyletic groups were apparent (Figure 4).
359 The first ("clade 1") contains 63 alleles with unique amino acid sequences, representing DRB lineages 1,
360 3, 5, and 6. All previously-described mandrill alleles belong to this clade. The second monophyletic group
361 ("clade 2") includes 22 alleles that are highly divergent from clade 1, with 20 unique amino acid
362 sequences. In BLAST searches of the IPD (Maccari et al., 2020), clade 2 alleles closely match the primate
363 DRB9 lineage. Masp-pDRB9-1, the most common allele in the dataset (Supplementary Fig. 1), shares
364 97% identity with the crab-eating macaque DRB9 (*Macaca fascicularis*) (GenBank accession number
365 MW679616.1). Individuals possessing Masp-pDRB9-1 have on average 0.96 more alleles than those that
366 do not, a significant difference according to a Mann-Whitney U test ($Z=0.03$, $p=0.002$). All clade 2 alleles
367 contain a single nucleotide deletion between exon sites 82 and 83 and an insertion at position 170. Two
368 alleles, Masp-pDRB9-12 and Masp-pDRB9-21, contain a stop codon caused by a G to T substitution at
369 amino acid sites 35 and 53 respectively, and an additional 2-base deletion. Seven clade 2 sequences
370 have deletions of 1-3 bases. By contrast, clade 1 contains no deletions, and the only stop codon present
371 is in a sequence belonging to the DRB6 pseudogene (Bontrop et al., 1999).

372 Lineage-sharing between primate species

373 Lineage-sharing between mandrills and olive baboons, chimpanzees, and crab-eating macaques
374 can be observed in all DRB loci (Figure 5). Some alleles cluster more closely by DRB lineage than by
375 species, although considerable paraphyly exists.

376 Of the 62 sequences, four are identical to alleles in other primates. Thirty mandrill samples
377 possessed the sequence Masp-pDRB-17, which matches Paan-DRB*W001:02 (IPD accession NHP04483),
378 previously identified in olive baboons. Two individuals carry a DRB6 allele fragment, designated pDRB6-
379 2, from the same species (Paan-DRB6*01:05, IPD accession NHP04517). A sequence (Masp-pDRB5-3)
380 matching crab-eating macaque's Mafa-DRB5*03:02 (IPD accession NHP00322) (Blancher et al., 2006;
381 Leuchte et al., 2004), appears in two mandrill samples. Finally, one sample includes Masp-pDRB5-2,
382 which matches Patr-DRB5*03:11 (IPD accession NHP00891), previously found in chimpanzees (Fan et al.,
383 1989; Kenter et al., 1992).

384 After downloading MHC-DRB sequences from fifteen nonhuman primates and counting the
385 number of unique sequences before and after trimming, we determined that an average of 13.46%
386 (\pm 12.18) of each species' allelic diversity was lost by using the shorter amplicon (Supplementary Table
387 1). When the shortened sequences (n=856 alleles from all species combined) were compared across

388 species, 684 unique alleles were found. However, alleles identical across the shortened length were
389 almost always between species in the same genus. The only exception was between gorillas (*Gorilla*
390 *gorilla*) and chimpanzees, for which alleles Gogo-DRB6*01:03N and Patr-DRB6*01:05N were identical
391 after trimming. While some allelic diversity within mandrills was likely lost due to our use of a short
392 amplicon, the shared sequences between mandrills and baboons, macaques, and chimpanzees are
393 unusual and may be indicative of biological phenomena.

394 Functional diversity

395 Clade 1 and clade 2 alleles may be subjected to different selective pressures and so were
396 analyzed separately in MEME. The pseudogenic DRB6 sequences were also excluded. There was no
397 evidence for selection on the clade 2 alleles, which is characteristic of loss of functionality. In the clade 1
398 alleles, nine codons had significantly higher rates of nonsynonymous than synonymous mutations
399 ($p < 0.1$), indicating positive selection. The nine sites (amino acids 5, 9, 15, 33, 34, 41, 47, 48, and 51)
400 correspond to DRB exon sites 28, 32, 38, 56, 57, 64, 70, 71, and 74. All of these, with the exception of
401 exon site 28, were also identified by the program FUBAR (Murrell et al., 2013). Seven of these PSS match
402 the ABS identified in humans using x-ray crystallography (Figure 6) (Brown et al., 1993). According to the
403 DAPC, these sequences cluster into six functional supertypes containing 5-14 alleles each (Figure 4). Wild
404 mandrills possess an average of 1.9 (± 1.03) supertypes each, with a maximum of five per individual.

405 Discussion

406 Replicability of MHC sequencing

407 We found that between-run repeatability of MHC alleles is poor in fecal samples compared to
408 blood and plucked hair. Furthermore, paired blood and fecal samples from the same individuals did not
409 yield equivalent assignments, although only four pairs were compared, and read depth was low for
410 these samples. Studies have shown that replication is critical to producing reliable data from degraded
411 samples (Morin et al., 2001; Taberlet et al., 1996), and it is unsurprising that the same would apply to
412 NGS. More research is needed to determine a suitable number of replicates for reliable allele
413 assignments from non-invasive samples, and an appropriate method to differentiate alleles from
414 artifacts.

415 One caveat to this study is that the technical variation between the runs used for replicability
416 tests may have reduced replicability scores overall. The 2021 run appeared to be of lower quality, given
417 the high proportion of reads lost during filtering and the identification of fewer alleles per sample after
418 DOC processing. The 2019 run used MiSeq Nano, which produces lower read depth than a MiSeq run.
419 However, the 2019 and 2021 runs included both feces and blood/hair, so any negative bias in R_A scores
420 would have affected all sample types. Indeed, the scores for blood/hair samples are lower than
421 previously documented, although this could also be a result of stochasticity due to the small sample size
422 (Biedrzycka et al., 2017; Lighten et al., 2014). However, because all samples were affected, the reduced
423 R_A scores of fecal samples relative to blood/hair is still meaningful.

424 We also found that a variant's replication across runs is related to its within-amplicon rank and
425 frequency. However, low-frequency sequences were sometimes repeatable, while the highest-ranking

426 variants frequently failed to reappear in a second run. If replicability reflects a variant's status as an
427 allele or an artifact, then neither frequency nor rank appear to be particularly useful in classifying
428 sequences from non-invasive samples. However, all MHC genotyping methods thus far have relied on
429 these factors (Babik et al., 2009; Grogan et al., 2016; Lighten, van Oosterhout, Paterson, et al., 2014;
430 Sommer et al., 2013; Stutz & Bolnick, 2014), generally assuming that the top-ranking variant in an
431 amplicon is a true allele. While this assumption may be valid for high-quality samples, it appears
432 unsubstantiated for degraded DNA. If these methods were applied to a single run of non-invasive
433 samples, the error rate would likely be very high. Our consensus allele-calling method circumvents this
434 issue, since it considers all replicated variants regardless of depth as potential alleles before error
435 screening.

436 The high read depth in this study allowed the identification of poorly-amplifying alleles, but
437 depth also leads to a caveat. Biedrzycka et al. (2017) showed that consensus assignment methods
438 relying on sequence replication (Sommer et al., 2013) are prone to a high false discovery rate when read
439 depths are high, presumably because higher depth increases the probability of recovering replicated
440 errors. We observed such artifacts, but they were removed from the consensus during error-screening.
441 Furthermore, if false allele discovery occurred commonly in high-depth amplicons, then the number of
442 alleles included in a sample's consensus should increase with sample depth. We found no evidence of
443 such a trend (data not shown).

444 MHC diversity in wild mandrills

445 The described allele assignment method requires validation using individuals with known allele
446 assignments, so our individual assignments must be interpreted cautiously. However, we have
447 confidence in the alleles identified, and our results yield interesting conclusions.

448 Twice as many alleles were identified in the wild population compared to the captive horde,
449 although only six supertype clusters were formed compared to eleven in a previous study (Setchell et al.,
450 2009, 2010). This difference could be due to our novel primers and next-generation sequencing. The
451 lower allelic diversity in the captive colony may also be due to their documented inbreeding depression
452 (Charpentier et al., 2005). We identified up to eleven alleles per animal, four more than in the captive
453 horde (Setchell et al., 2009). This finding is partially explained by the "clade 2 alleles," which account for
454 up to three alleles per animal and are characterized by mutations that may disrupt transcribed amino
455 acids. The compensatory indels observed at base 82 and 170 have also been identified in human DRB9
456 alleles (Haas et al., 1987), supporting our classification of this clade as DRB9. This lineage may contribute
457 to the copy number variation commonly observed in the MHC (Bontrop, 2006; Doxiadis et al., 2012),
458 since the average allele count of individuals possessing the Masp-pDRB9-1 allele is higher by almost one.
459 This finding suggests that this allele may be fixed on certain haplotypes, which could explain its frequent
460 occurrence.

461 The DRB9 is an ancient MHC fragment and has been amplified in gorillas, orangutans,
462 chimpanzees, and crab-eating macaques (Gongora, Figueroa, and Klein 1996), although publicly-
463 available sequences remain limited. Here, the lineage may have been revealed by our use of novel
464 primers. Previous studies of mandrill MHC have used the same primers that were thought to amplify all

465 DRB loci across primates (Abbott et al., 2006; Setchell et al., 2009). However, in the DRB9 sequence from
466 the crab-eating macaque, the binding site for the reverse primer used by Setchell et al. (2009) contains
467 mutations that would likely preclude primer binding at the 3' end. By contrast, our primer pair is
468 complementary to alleles in clade 1 (DRB1-6) and clade 2 (DRB9) in macaques and mandrills. This
469 suggests that using only one pair of primers may underestimate MHC diversity (see also Llaurens et al.,
470 2012).

471 We have also noted four sequences that are shared between mandrills and olive baboons
472 (Masp-pDRB-17 and Masp-pDRB6-2), crab-eating macaques (Masp-pDRB5-3), and chimpanzees (Masp-
473 pDRB5-2). Mutations may have occurred outside the fragment sequenced here, but the shared
474 sequences are more conserved than is typically found across genera, according to our analyses of
475 publicly available MHC data. These similarities could be explained by TSP, which is known to occur in the
476 primate MHC (Geluk et al., 1993; Suárez et al., 2006; Yasukochi & Satta, 2014).

477 However, one of the shared sequences, Masp-pDRB6-2, is likely a pseudogene, having lost its
478 function a very long time ago (Figuroa et al., 1991). It is unclear why this allele would have been
479 preserved through positive selection, but pseudogenes may instead be maintained through balancing
480 selection. The MHC accumulates recessive deleterious mutations as a “sheltered load” (van Oosterhout,
481 2009). These mutations are rarely expressed in the homozygous condition and can accumulate without
482 being removed through purifying selection. When a locus evolves into a pseudogene and positive
483 selection relaxes, purifying selection may continue to act on the peri-MHC region. This could retain the
484 same haplotypes over evolutionary time and explain TSP of non-functional pseudogenes (van
485 Oosterhout, 2009).

486 Another possible explanation for the sequences shared with olive baboons (pDRB-17 and
487 pDRB6-2) is historical introgression. Introgression of baboons (*Papio hamadryas*) has been documented
488 in geladas (*Theropithecus gelada*) (Dunbar & Dunbar, 1974) and kipunji (*Rungwecebus kipunji*) (Roberts
489 et al. 2010; Zinner, Arnold, and Roos 2009). As central African forest cover has shifted over the millennia
490 (Maley, 1996; Maley et al., 2018), mandrill and baboon habitats may have overlapped. The two species
491 are group-living and primarily terrestrial (Abernethy et al., 2002; Higham et al., 2009), and they may be
492 infected by similar pathogens. Since MHC alleles are thought to be under pathogen-mediated selection
493 (Spurgin & Richardson, 2010), if a beneficial allele in baboons was introduced to the mandrill population,
494 positive selection may increase that allele's frequency. Although this is a highly speculative hypothesis, it
495 could explain the incidence of the pDRB-17 allele in mandrills.

496 Future directions

497 This study highlights the advantages and challenges of non-invasive genetic research. Fecal
498 samples enabled MHC characterization from many wild individuals, but technical obstacles remain that
499 inhibit effective use of targeted NGS with degraded samples. Optimization of sampling, laboratory, and
500 sequencing procedures may be required, and the importance of replication in generating allele
501 assignments merits further investigation. Up to eight replicates have been encouraged for microsatellite
502 data from fecal samples (Taberlet et al., 1996), but this number would be cost-prohibitive for many NGS
503 studies. It remains to be seen whether two replicates are sufficient, and the inclusion of within-run

504 replicates would be beneficial in future studies to rule out potential batch effects during sequencing.
505 Our procedure should also be validated using an individual with known MHC alleles, and such an
506 individual was unavailable in this study.

507 Alternative sequencing approaches may also be explored. Genotyping-in-thousands by
508 sequencing (GT-seq) (Campbell et al., 2015) and targeted capture enrichment (Fontseré et al., 2021)
509 show promise for non-invasive samples (Hayward et al., 2022; Natesh et al., 2019; Schmidt et al., 2020),
510 but these may be less useful for *de novo* sequencing of specific MHC loci. Portable sequencers such as
511 the Oxford Nanopore's MinION offer the potential to sequence samples in the field, which may improve
512 data quality since fecal DNA degrades with time until extraction (Soto-Calderón et al. 2009). The
513 MinION's PCR-free technology also eliminates PCR-generated sequence errors (Payne et al., 2021;
514 Wanner et al., 2021). However, the MinION sequencer has a high error rate otherwise (Loit et al., 2019),
515 and further optimization for fecal samples would be required.

516 Despite problems with replicability, lineage-sharing was still detected. The degree to which the
517 nonfunctional DRB9 is preserved across primates warrants investigation, as it is likely widespread
518 despite having been reported in only a few species. Identical allele fragments shared between mandrills
519 and other primates should also be confirmed through sequencing of the entire DRB region, as these
520 alleles may have implications for the evolution of disease resistance in primates.

521 Our study focuses on the southern mandrill subpopulation, but collecting MHC data from the
522 genetically-distinct mandrill population north of the Ogooué River may reveal insights into their
523 evolution and historical introgression with olive baboons (Telfer et al., 2003). Northern mandrills are in
524 closer proximity to the baboon range and thus may be more likely to have been involved in introgression
525 events. If introgression has occurred, baboon genetic material may also be detectable in northern
526 mandrill populations. MHC alleles could have been passed to the southern population through historical
527 immigration, but nuclear gene flow between these populations has never been assessed.

528 Although the exact role of MHC diversity in species viability is not well understood (Radwan et
529 al., 2010), our findings of diverse DRB genes bode well for the species' ability to adapt to shifting
530 pathogen pressures over time. Our results also reveal interesting evolutionary relationships between
531 mandrills and other primates, and studies of other populations will be integral to uncovering the roles of
532 TSP and potential introgression in the evolution of primate MHC.

533

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871

872 **Statements and Declarations**

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877 Competing Interests

878 The authors have no financial or non-financial interests to disclose.

879 Author Contributions

880 All authors collaboratively conceived of the study topic and design. AW, AGM, and DL collected samples.
881 AW and AGM performed laboratory work, and AW, JL, CvO, and NA planned and performed data
882 analysis. The manuscript was written by AW, with input from all authors.

883 Data Accessibility Statement

884 Replicability data, MHC allele sequences, consensus MHC allele assignments, and Python scripts will be
885 stored at DataDryad.org. MHC nucleotide and amino acid sequences have also been provided in the
886 Supplementary Material.

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888 **Tables**

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Table 1. Summary information from each of the three sequencing runs

	Raw reads	Filtered reads	Mean depth per amplicon	Mean allele count
2018 Miseq	7,429,011	4,215,792	21,957 (SD=19,001)	3.91 (SD=2.02)
2019 Miseq Nano	289,775	120,608	2,566 (SD=1,444)	3.48 (SD=1.70)
2021 Miseq	13,952,325	5,077,399	28,052 (SD=18,032)	3.83 (SD=2.76)

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Table 2. Replicability statistics for all Illumina runs

Runs Compared	R _A Score Dataset	Sample Type	Mean R _A	SD R _A	%R _A =1	%R _A =0
2018 Miseq & 2019 Miseq Nano	A	Non-invasive (n=22)	0.44	0.30	13.6	9.1
2019 Miseq Nano & 2021 Miseq	B	Blood/Hair (n=9)	0.76	0.29	55.6	0
2018 Miseq & 2021 Miseq	C	Non-invasive (n=22)	0.31	0.33	13.6	27.3
2018 Miseq & 2021 Miseq	D	1000 random draws of n=9	0.05-0.69	0.07-0.48	0-44.4	0-77.8

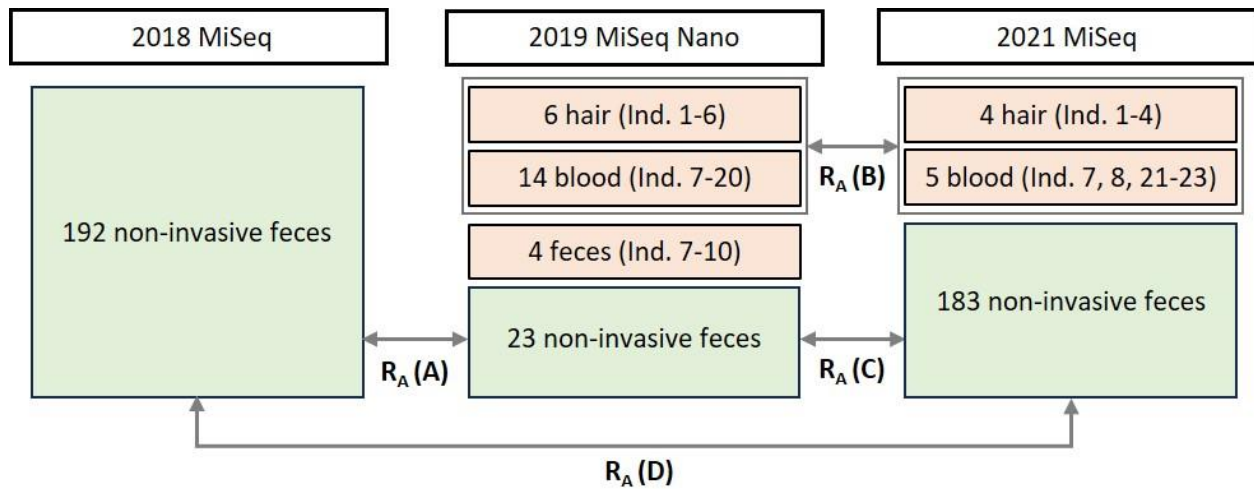
R_A = proportion of variants that replicated between runs. Letters A, B, C, and D correspond to sequencing run comparisons shown in Figure 1. Significant *R_A* score comparisons: B&C ($p=0.003$), D&B (*R_A* score from B > the 95th percentile of score distribution from D).

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895 **Figures**

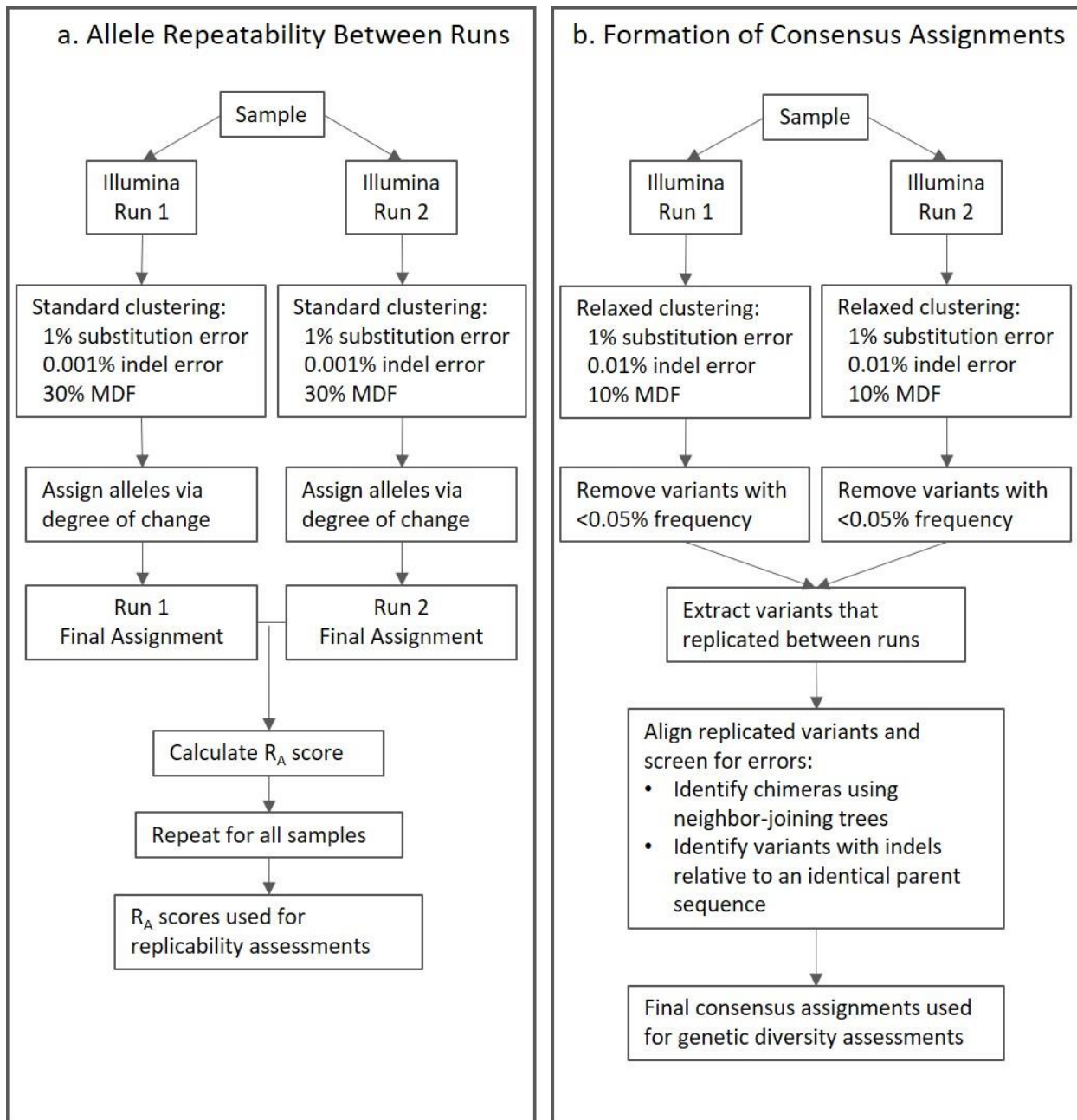


896 **Fig. 1.** Between-run replicability scores calculated between different sample types in three independent
 897 Illumina runs. Gray arrows show the pairs of Illumina runs used to calculate R_A scores, and different
 898 datasets of R_A scores are denoted by letters A (n=23 replicated fecal samples), B (n=9 replicated tissue
 899 samples), C (n=23 replicated fecal samples), and D (n=183 replicated fecal samples). Pink boxes
 900 represent samples from radio-collared mandrills, and green boxes represent non-invasively collected
 901 fecal samples.

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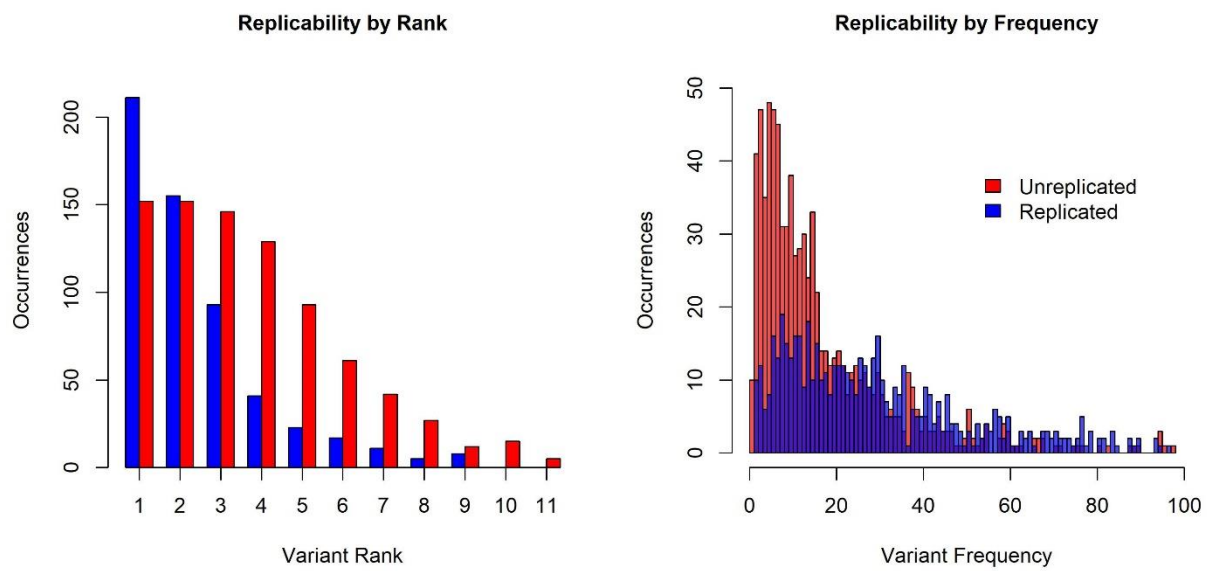
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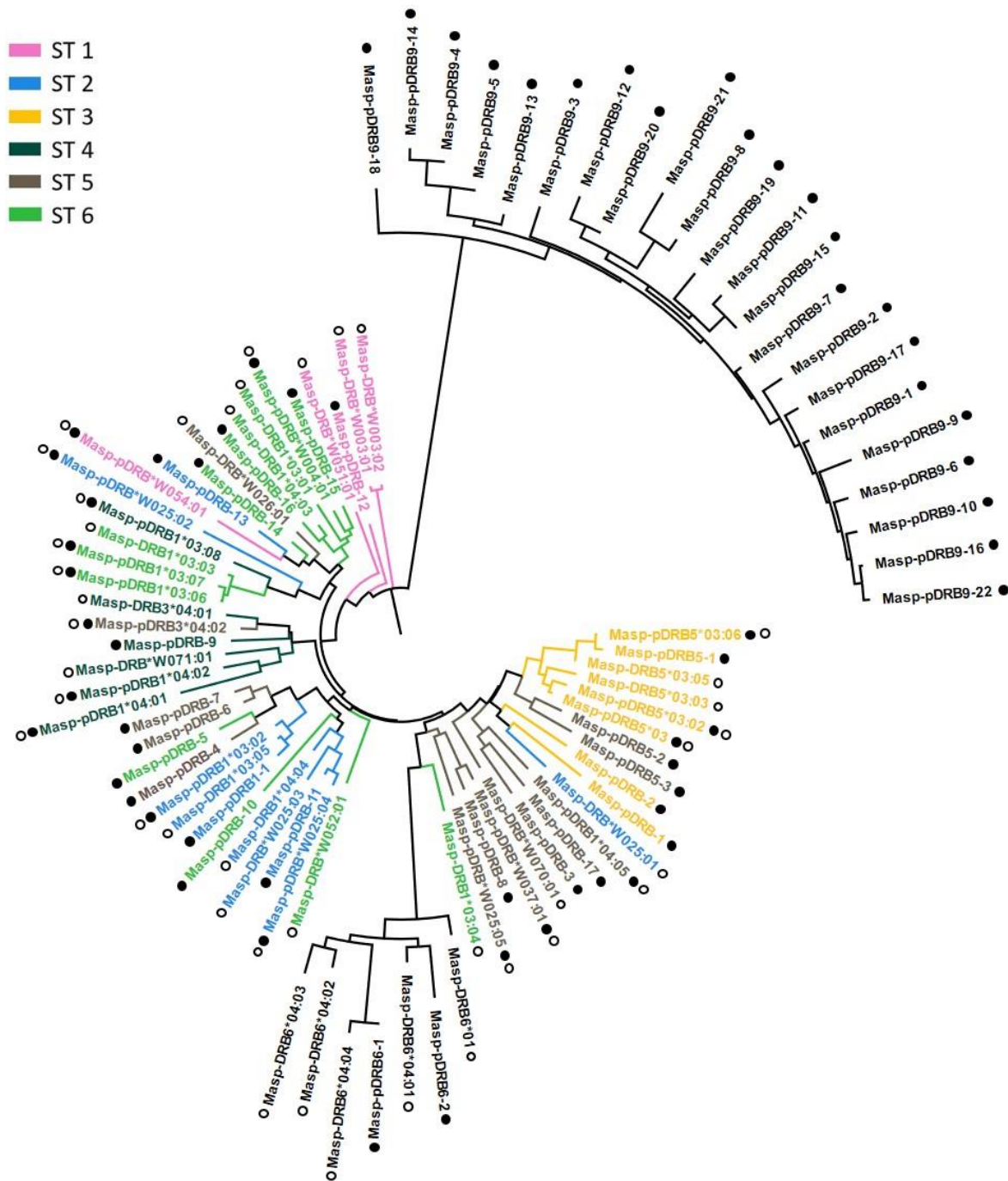
Fig. 2. The above figure shows the processes undertaken for each sample to determine its repeatability score between runs (a) and its consensus allele assignment (b). Pairs of sequencing runs used for each sample are shown in Figure 1. MDF = minimum dominant frequency threshold



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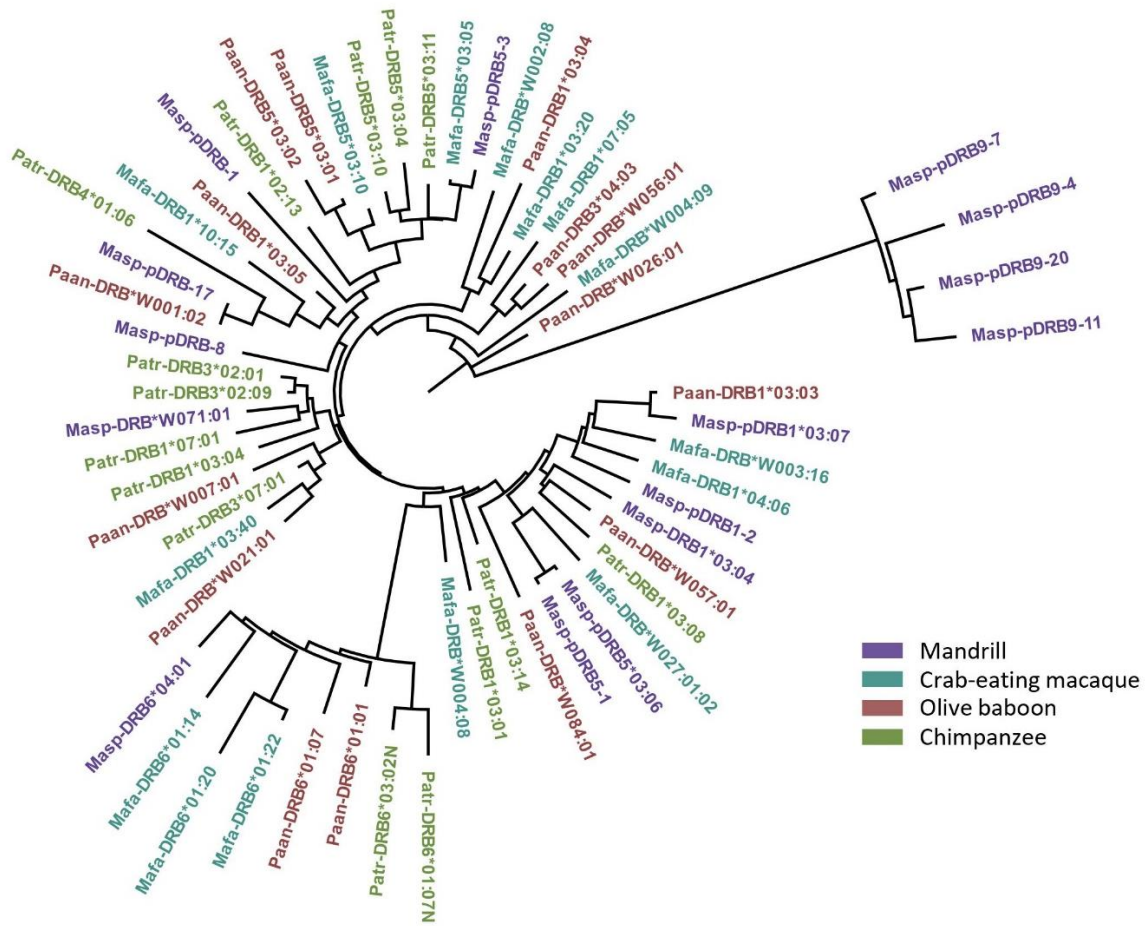
Fig. 3. Graphs showing relative frequencies (right) and per amplicon ranks (left) of variants that replicated (blue) and those that did not (red), between the 2018 and 2021 Illumina Miseq runs.

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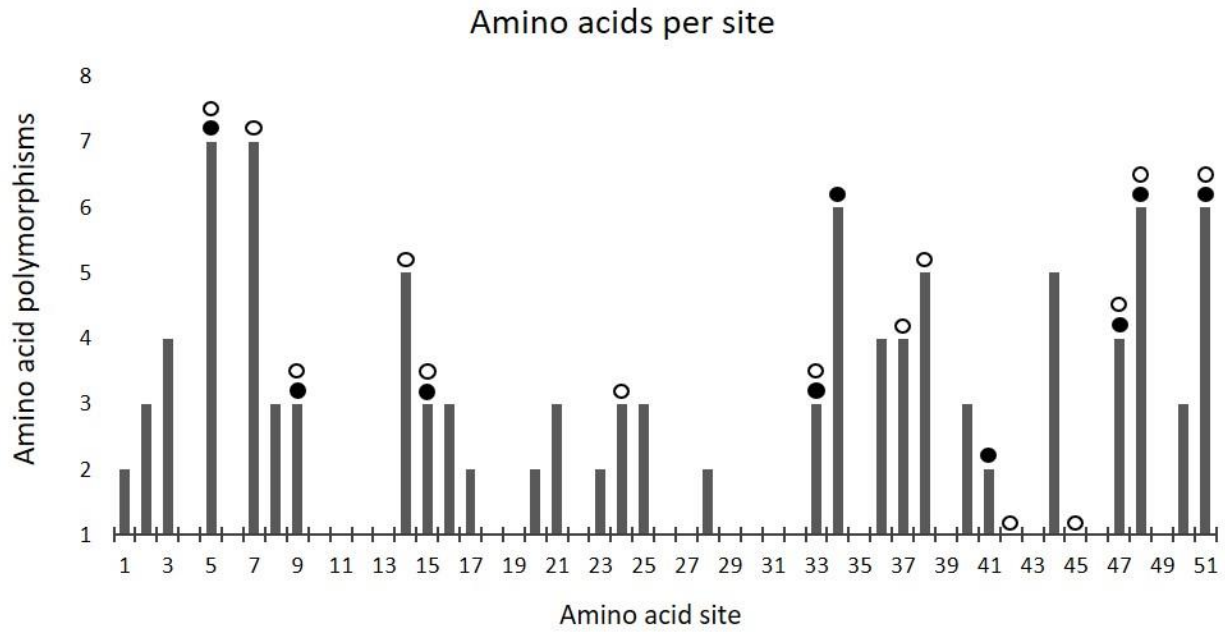


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Fig. 4. Neighbor-joining tree of all known mandrill MHC-DRB alleles. Alleles are colored by supertype (ST), and nonfunctional loci (DRB6 and putative DRB9) are represented in black. Alleles found in the captive and wild populations are designated with open and filled circles, respectively.



920
 921 **Fig. 5** An unrooted neighbor-joining tree showing DRB lineages from mandrills and other primates. For
 922 simplicity, only twenty sequences per species are shown.



923

Fig. 6. A plot of amino acid polymorphisms at each codon. Black circles indicate sites from the current study with significant evidence for positive selection, and open circles indicate sites identified in Brown et al. (1993) as part of the HLA peptide binding region.